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T E Mürdter ET AL: "Activity Levels of Tamoxifen Metabolites at the Estrogen Receptor and the Impact of Genetic Polymorphisms of Phase I and II Enzymes on Their Concentration Levels in Plasma", Clinical Pharmacology and Therapeutics, vol. 89, no. 5, 30 March 2011 (2011-03-30) , pages 708-717, XP055436740, US ISSN: 0009-9236, DOI: 10.1038/clpt.2011.27

AHMAD A ET AL: "Endoxifen, a new cornerstone of breast cancer therapy: demonstration of safety, tolerability, and systemic bioavailability in healthy human subjects.", CLINICAL PHARMACOLOGY AND THERAPEUTICS DEC 2010 LNKD- PUBMED:20981001, Bd. 88, Nr. 6, Dezember 2010 (2010-12), Seiten 814-817, XP008157006, ISSN: 1532-6535

DATABASE MEDLINE [Online] US NATIONAL LIBRARY OF MEDICINE (NLM), BETHESDA, MD, US; Mai 2011 (2011-05), MADLENSKY L ET AL: "Tamoxifen metabolite concentrations, CYP2D6 genotype, and breast cancer outcomes.", XP002685041, Database accession no. NLM21430657 & MADLENSKY L ET AL: "Tamoxifen metabolite concentrations, CYP2D6 genotype, and breast cancer outcomes.", CLINICAL PHARMACOLOGY AND THERAPEUTICS MAY 2011 LNKD- PUBMED:21430657, Bd. 89, Nr. 5, Mai 2011 (2011-05), Seiten 718-725, ISSN: 1532-6535

VALLÉE E ET AL: "Individual and combined activities of clarithromycin and its 14-hydroxy metabolite in a murine model of Haemophilus influenzae infection", JOURNAL OF ANTIMICROBIAL CHEMOTHERAPY, OXFORD UNIVERSITY PRESS, GB, Bd. 27, Nr. Suppl. A, 1. Februar 1991 (1991-02-01), Seiten 31-41, XP008157004, ISSN: 0305-7453, DOI: 10.1093/JAC/27.SUPPL_A.31

MARTIN S J ET AL: "In vitro activity of clarithromycin alone and in combination with ciprofloxacin or levofloxacin against Legionella spp.: enhanced effect by the addition of the metabolite 14-hydroxy clarithromycin", DIAGNOSTIC MICROBIOLOGY AND INFECTIOUS DISEASES, ELSEVIER SCIENCE PUBLISHING CO., AMSTERDAM, NL, Bd. 29, Nr. 3, 1. November 1997 (1997-11-01), Seiten 167-171, XP002536389, ISSN: 0732-8893, DOI: 10.1016/S0732-8893(97)81806-8

Vedlagt foreligger en oversettelse av patentkravene til norsk. I hht patentloven § 66i gjelder patentvernet i Norge bare så langt som det er samsvar mellom oversettelsen og teksten på behandlingsspråket. I saker om gyldighet av patentet skal kun teksten på behandlingsspråket legges til grunn for avgjørelsen. Patentdokument utgitt av EPO er tilgjengelig via Espacenet (<http://worldwide.espacenet.com>), eller via søkemotoren på vår hjemmeside her: <https://search.patentstyret.no/>

Genotype and phenotype-based medicinal formulations

- The invention relates to a combination of two or more pharmaceutically active substances, of which at least one is a metabolic product ("metabolite") of the other
- 5 ("parent substance"), in particular the dosages thereof are selected such that genotypically or phenotypically (definition of genotype <http://de.wikipedia.org/wiki/Genotyp>, definition of phenotype: <http://de.wikipedia.org/wiki/Ph%C3%A4notyp>) related variability in the conversion of the parent substance to the metabolite(s) in particular individuals is compensated for.
- 10 The invention relates more precisely to a combination of the breast cancer medicament tamoxifen and its active metabolite endoxifen as defined in the attached claims.

In pharmacotherapy, there are numerous examples of pharmaceuticals, the pharmacological action of which arises from the interplay of the administered parent

15 substance with metabolites which develop in the body of the patient. Such so-called active metabolites are generally formed via enzymatically catalysed processes, which can take place in, for example, the liver, the kidneys, the intestine or any other organ of the body. The activity of these enzymatic processes can widely differ in different individuals. The reasons for enzyme activities differing from individual to individual

20 are diverse in nature. Firstly, there are individual variations in the quantity of the expressed enzyme variants which can be brought about by, for example, enzyme inhibitors or inducers or else genetic causes. Secondly, there are individual variations in the activity of the expressed enzyme variants which can occur owing to, for example, enzyme inhibitors or inducers or else genetic causes. Many active

25 pharmaceutical ingredients are known cytochrome P450 enzyme inhibitors, for example:

2-(4-chlorophenoxy)ethanol, acarbose, acebutolol, acenocoumarol, acetazolamide, adefovir, ademetonine, ajmaline, albendazole, alitretinoin, allopurinol, alosetron, ambroxol, amphetamine, amiloride, aminogluthetimide,

30 aminophenazone, amiodarone, amitriptyline, amlodipine, amodiaquine, amprenavir, anastrozole, androstandolone, aprepitant, aripiprazole, arsenic trioxide, artemisinin, artesunate, astemizole, atazanavir, atomoxetine,

atorvastatin, atovaquone, atropine, azapropazone, azelastine, azithromycin, barnidipine, benazepril, benidipine, benzbromarone, benzethonium, benzocaine, bergapten, betamethasone, betaxolol, bezafibrate, bicalutamide, bifonazole, biperiden, bortezomib, bromazepam, bromocriptine, 5 brompheniramine, budipine, buprenorphine, bupropion, calcitriol, candesartan, capecitabine, carbamazepine, carbinoxamine, carteolol, caspofungin, celecoxib, cerivastatin, quinidine, quinine, chloramphenicol, chlormadinone, chloroquine, chlorphenamine, chlorpromazine, chlorzoxazone, ciclosporin, cimetidine, ciprofibrate, ciprofloxacin, cisapride, cisplatin, citalopram, clarithromycin, 10 clemastine, clevidipine, clindamycin, clobetasol, clofazimine, clofenotane, clofibrate, clomethiazole, clomifene, clomipramine, clonazepam, clopidogrel, clotiazepam, clotrimazole, clozapine, cocaine, codeine, caffeine, colchicine, colecalciferol, cyclizine, cylcophosphamide, cyproterone, dacarbazine, dactinomycin, dalfopristine, danazol, dantrolene, daunorubicin, deferoxamine, delarvirdine, desipramine, desloratadine, desvenlafaxine, dexamethasone, 15 dexamfetamine, dexfenfluramine, dexibuprofen, dextrometorphan, dextropropoxyphene, diazepam, diclofenac, dicoumarol, dihydralazine, dihydroergotamine, diiodohydroxypropane, diltiazem, dimethyl sulphoxide, dimetotiazine, diosmectite, diosmin, diphenhydramine, disulfiram, docetaxel, dolasetron, dopamine, doxepin, doxorubicin, doxycycline, ebastine, econazole, 20 efavirenz, emetine, enoxacin, enoxolone, enprostil, entacapone, epinastine, epinephrine, eplerenone, eprosartan, ergometrine, ergotamine, erythromycin, escitalopram, estriol, etanautine, ethanol, ethinylestradiol, ethotoin, etodolac, etomidate, etoposide, etoricoxib, etretinate, exemestane, ezetimibe, felbamate, felodipine, fenfluramine, fenofibrate, fentanyl, fexofenadine, flecainide, 25 fluconazole, flumequine, fluorouracil, fluoxetine, fluphenazine, flurazepam, flurbiprofen, flurithromycin, flutamide, fluvastatin, fluvoxamine, fomepizole, formestane, fosamprenavir, fosphenytoin, gefitinib, gemfibrozil, glibenclamide, gliclazide, glucose, glutethimide, granisetron, g-strophanthin, halofantrine, haloperidol, histamine, hydralazine, hydrocortisone, hydroxycarbamide, 30 hydroxychloroquine, hydroxyzine, ibuprofen, idarubicin, ifosfamide, imatinib, imipramine, indinavir, indometacin, insulin, ipriflavone, irbesartan, irinotecan,

isoconazole, isoflurane, isoniazid, isoprenaline, isopropanol, isosorbide
 dinitrate, isradipine, itraconazole, josamycin, ketoconazole, ketoprofen,
 labetalol, lafutidine, lansoprazole, leflunomide, lentinan, lercarnidipine,
 letrozole, levofloxacin, levomepromazine, levonorgestrel, lidocaine,
 5 lomefloxacin, lomustine, loperamide, lopinavir, loratadine, lornoxicam,
 losartan, lovastatin, manidipine, masoprocol, meclozine, medazepam,
 medroxyprogesterone, medrysone, mefenamic acid, mefloquine, meglutol,
 melatonin, meloxicam, melperone, memantine, menadione, mephenytoin,
 mequitazine, mesuximide, metamfetamine, metformin, methadone,
 10 methazolamide, methoxsalen, methylphenidate, methylphenobarbital,
 methylprednisolone, metoclopramide, metoprolol, metronidazole, metyrapone,
 mexiletine, mianserin, mibefradil, miconazole, midazolam, midecamycin,
 midodrine, mifepristone, minoxidil, miocamycin, mirtazapine, mitoxantrone,
 mizolastine, moclobemide, modafinil, mometasone, montelukast, moracizine,
 15 nefazodone, nelfinavir, neostigmine, nevirapine, nicardipine, niclosamide,
 nicotinamide, nifedipine, nicotine, nicotic acid, nilutamide, nilvadipine,
 nimesulide, nisoldipine, nitrendipine, nitroprusside, norepinephrine,
 norfloxacin, nortriptyline, noscapine, octopamine, ofloxacin, olanzapine,
 oleandomycin, omeprazole, ondansetron, orphenadrine, oxamniquine,
 20 oxatomide, oxcarbazepine, oxprenolol, oxybutynin, oxycodone, paclitaxel,
 pancreozymin (cholecystokinin), pantoprazole, paracetamol, parecoxib,
 pargyline, paroxetine, pazopanib, pefloxacin, pentoxyverin, perazine, pergolide,
 perhexiline, perphenazine, phenazone, phenelzine, phenobarbital,
 phensuximide, phentermine, phenylbutazone, phenylpropanolamine, phenytoin,
 25 physostigmine, pilocarpine, pimozide, pindolol, pioglitazone, piroxicam,
 pranlukast, prasterone, pravastatin, praziquantel, prednisolone, prednisone,
 primaquine, pristnamycin, probenecid, progesterone, proguanil, promethazine,
 propafenone, propanol, propiverine, propofol, propranolol, pyrimethamine,
 quassia, mercury, quetiapine, quinidine, quinine, quinupristin, rabeprazole,
 30 raloxifene, ranitidine, reboxetine, retinol, rifampicin, risperidone, ritonavir,
 rivastigmine, rofecoxib, rokitamycin, ropinirole, rosiglitazone, rosuvastatin,
 roxithromycin, rutoside, salbutamol, salicylamide, salmeterol, saquinavir,

selegiline, seratrovast, sertaconazole, sertraline, sildenafil, silymarin, simvastatin, sirolimus, somatostatin, sorbitol, sparteine, spironolactone, nitrogen monoxide, sulconazole, sulfadiazine, sulfadimethoxine, sulfadimidine, sulfafurazole, sulfamethizole, sulfamethoxazole, sulfamoxole, sulfanilamide, 5 sulfaphenazole, sulfapyridine, sulfinpyrazone, sulindac, sulpiride, suprofen, tacrolimus, tamoxifen, tegaserod, telithromycin, telmisartan, temafloxacin, teniposide, tenofovir, terbinafine, terconazole, terfenadine, teriparatide, testosterone, tetracycline, theophylline, thiamazole, thiopental, thioridazine, thiosulphate, thiotepa, tiabendazole, tibolone, ticlopidine, timolol, tinidazole, 10 tioconazole, tiopronin, tiotixen, tocainide, tocopherol, tofisopam, tolbutamide, tolcapone, topiramate, topotecan, torasemide, tramadol, tranylcypromine, trastuzumab, treosulfan, tretinoin, triamterene, triazolam, trichloroethylene, triclosan, trimethoprim, tripeleminamine, triprolidine, troglitazone, troleandomycin, tropisetron, trospium, ursodeoxycholic acid, valdecoxib, 15 valproic acid, valsartan, venlafaxine, verapamil, vinblastine, vincristine, vinorelbine, virginiamycin, voriconazole, vorozole, warfarin, yohimbine, zafirlukast, ziprasidone, zolpidem, zonisamide.

Particular emphasis is given here to: fluvoxamine, ciprofloxacin, gemfibrozil, fluconazole, bupropion, cinacalcet, fluoxetine, paroxetine, quinidine, indinavir, 20 nelfinavir, ritonavir, clarithromycin, itraconazole, ketoconazole, nefazodone, saquinavir, telithromycin, trimethoprim, amiodarone, duloxetine, sertraline, terbinafine, aprepitant, erythromycin, verapamil, diltiazem, cimetidine, amiodarone [http://medicine.iupui.edu/clinpharm/ddis/table.aspx as of 09.05.2012].

Known inhibitors of phase 2 enzymes are, inter alia:

25 acarbose, acetylcholine, acetylsalicylic acid, amitriptyline, apomorphine, artemisinin, ascorbic acid, bendroflumethiazide, bergapten, bromocriptine, carbachol, carbamazepine, carmustine, celecoxib, chenodeoxycholic acid, quinine, chlorhexidine, chloroquine, cimetidine, clomipramine, clonidine, cocaine, cortisone, dactinomycin, desipramine, diazepam, dicoumarol, 30 dicycloverine, diosmin, disulfiram, doxepin, enoxolone, entacapone, estradiol, etacrynic acid, fluconazole, fluphenazine, folic acid, haloperidol, hematin,

hydrocortisone, hymecromone, ibuprofen, imipramine, indometacin, iproniazid, ketoprofen, lidocaine, lopinavir, medroxyprogesterone, melatonin, mepacrine, mercaptamine, mersalyl, mesalazine, methyldopa, moclobemide, naproxen, sodium citrate, sodium salicylate, niflumic acid, nicotine, olsalazine, oxedrine, paclitaxel, pargyline, phenylbutazone, physostigmine, pipamperone, polihexanide, primaquine, probenecid, progesterone, propylthiouracil, pyridoxal, pyridoxine, pyrimethamine, ranitidine, ritonavir, salicylamide, salicylic acid, saquinavir, silymarin, sulphobromophthalein, sulindac, tacrine, tamoxifen, tetracycline, thiomersal, tolcapone, triclosan, tubocurarine, vecuronium, warfarin, hydrogen peroxide.

Examples of known cytochrome P450 enzyme inducers are:

2-(4-chlorophenoxy)ethanol, acarbose, acetylsalicylic acid, acriflavinium chloride, albendazole, aldosterone, alum, aminoglutetimide, aminosalicilyc acid, amobarbital, angiotensinamide, aprepitant, aprobarbital, aripiprazole, artemisinin, ascorbic acid, azatidine, beclometasone, benoxaprofen, beta-carotene, betamethasone, bexarotene, bezafibrate, biotin, bosentan, bucladesine, buserelin, captopril, carbamazepine, carbamide, carboplatin, quinidine, quinine, chlordiazepoxide, chlorothiazide, chlorpromazine, ciclosporin, ciprofibrate, ciprofloxacin, cisplatin, calcitriol, clarithromycin, clofenotane, clofibrate, clomifen, clonazepam, clonidine, clotrimazole, clozapine, colchicine, colestyramine, corticotropin, cyclobarbital, cyclophosphamide, dapsone, daunorubicin, dexamethasone, dextropropoxyphene, diazepam, dibutyl phthalate, diclofenamide, dicloxacillin, dicycloverine, diethyl ether, diethylstilbestrol, diiodohydroxypropane, dinoprostone, diosmectite, diosmin, docetaxel, doxorubicin, doxylamine, efavirenz, eletriptan, enoxacin, ergocalciferol, erythromycin, estriol, ethanol, ethinylestradiol, etoposide, fenbendazole, felbamate, fluconazole, flucloxacillin, flufenamic acid, fluorescein, fluvastatin, gemfibrozil, glucose, glutathione, glycerol, glycyrrhizic acid, granisetron, griseofulvin, guanethidine, haloperidol, histamine, hydrocortisone, hydroxycarbamide, ifosfamide, insulin, ipriflavone, isoflurane, isoniazid, isoprenaline, isopropanol, itraconazole, ketoconazole, cocaine, lansoprazole, lindane, loratadine, lovastatin, lynestrenol, mebendazole,

5 mecamylamine, medroxyprogesterone, metamizole, methadone, metharbital,
 methohexital, methylprednisolone, methyltestosterone, metoclopramide,
 metyrapone, mifepristone, mirtazapine, mitobronitol, mitomycin, mitotane,
 moclobemide, modafinil, sodium chloride, sodium salicylate, nelfinavir,
 10 nevirapine, nicardipine, nicotinamide, nifedipine, nicotine, nitrazepam,
 norethisterone, omeprazole, ondansetron, oxcarbazepine, oxiconazole,
 oxolamine, oxomemazine, paclitaxel, pantoprazole, paracetamol, permethrin,
 pethidine, phenobarbital, phenoxymethylpenicillin, phentermine,
 15 phenylbutazone, phenylephrine, phenytoin, pindolol, pioglitazone,
 pipamperone, pleconaril, prednisolone, prednisone, primaquine, primidone,
 pristinamycin, probenecid, progesterone, propylthiouracil, pyridostigmine,
 pyridoxine, mercury, quinine, rabeprazole, reboxetine, reserpine, retinol,
 rifabutin, rifampicin, rifapentine, rifaximin, ritonavir, rofecoxib, salicylic acid,
 secobarbital, seratrovast, silymarin, spironolactone, streptozocin, sulfadimidine,
 20 sulfinpyrazone, tamoxifen, temozolomide, terbinafine, terfenadine,
 testosterone, tetrabenazine, tetramethrin, thalidomide, thiamine, thiram,
 tiabendazole, tienilic acid, tocopherol, topiramate, toptecan, tretinoin,
 triamcinolone acetonide, triamcinolone, troglitazone, troglitazone, tryptophan,
 ursodeoxycholic acid, valproic acid, verapamil, vinblastine, virginiamycin,
 25 voglibose.

Particular emphasis is given here to: modafinil, nafcillin, omeprazole, phenobarbital,
 phenytoin, rifampin, secobarbital, carbamazepine, norethindrone, prednisone,
 rifampicin, dexamethasone, isoniazid, efavirenz, nevirapine, barbiturates,
 glucocorticoids, oxcarbazepine, pioglitazone, rifabutin, troglitazone
 25 [<http://medicine.iupui.edu/clinpharm/ddis/table.aspx> as of 09.05.2012].

The known inducers of phase 2 enzymes include, inter alia:
 acetylcholine, acetylsalicylic acid, adenosine, amphetamine, aminophylline,
 androstanolone, angiotensinamide, argatroban, ascorbic acid, benfluorex, beta-
 carotene, betamethasone, bucladesine, calcitriol, carbamazepine, chlorambucil,
 30 chlorphenamine, cisapride, cisplatin, clofibrate, clozapine, cocaine,
 corticotropin, desipramine, dexamethasone, dexamphetamine, diazepam,

diclofenac, diethylcarbamazine, diethyl ether, dinoprostone, disulfiram, doxorubicin, entacapone, epinephrine, esketamine, estradiol, estriol, ethanol, flunarizine, fluoxetine, gabapentin, glyceryl trinitrate, glycine, g-strophantin, hydralazine, hydrocortisone, hymecromone, ibuprofen, imipramine, indometacin, insulin, isoprenaline, ketamine, lamotrigine, levetiracetam, levodopa, lindane, melatonin, melphalan, mequinol, metamizole, methionine, methotrexate, metoclopramide, nabumetone, nandrolone, norepinephrine, olanzapine, paracetamol, pargyline, phenobarbital, phenytoin, pipamperone, progesterone, promegestone, propylthiouracil, retinol, rofecoxib, spironolactone, nitrogen monoxide, sulindac, sultiam, tamoxifen, testosterone, theophylline, tiadenol, tibolone, tioguanine, triamcinolone, trimethoprim, troglitazone, valproic acid, verapamil, warfarin, hydrogen peroxide.

[<http://bioinformatics.charite.de/supercyp> as of 24.04.2012]. Besides active pharmaceutical ingredients, dietary components may also have inhibitory and/or inducing effects on enzymes, transporters, receptors or other proteins.

Known examples thereof are, inter alia: broccoli, grilled meat, St John's wort, tobacco smoke, cheese, red wine, grapefruit juice, folic acid, vitamin K, vitamin E, vitamin B6 and St John's wort [Gröber, U. (2009) "Interaktionen Arzneimittel und Mikronährstoffe für die Kitteltasche [Interactions: Pharmaceuticals and Micronutrients (Pocket Guide)]" Wissenschaftliche Verlagsgesellschaft mbH Stuttgart; Wentworth, J. M., M. Agostini, et al. (2000). "St John's wort, a herbal antidepressant, activates the steroid X receptor." *J Endocrinol* 166(3): R11-16., <http://medicine.iupui.edu/clinpharm/ddis/table.aspx> as of 09.05.2012]. Similar to the inducing effect of grilled meat on cytochrome P450 1A1 (CYP1A1), the enzyme can also be induced by polycyclic aromatics, which are present in cigarette smoke. For instance, it is described in the literature that the activity of CYP1A1 in the lungs, liver and intestine of smokers is increased in proportion to their cigarette consumption [Czekaj, P., A. Wiaderkiewicz, et al. (2005). "Tobacco smoke-dependent changes in cytochrome P450 1A1, 1A2, and 2E1 protein expressions in fetuses, newborns, pregnant rats, and human placenta." *Arch Toxicol* 79(1): 13-24.; Fontana, R. J., K. S. Lown, et al. (1999). "Effects of a chargrilled meat diet on expression of CYP3A,

- CYP1A, and P-glycoprotein levels in healthy volunteers." *Gastroenterology* 117(1): 89-98.; Kim, J. H., M. E. Sherman, et al. (2004). "Expression of cytochromes P450 1A1 and 1B1 in human lung from smokers, non-smokers, and ex-smokers." *Toxicol Appl Pharmacol* 199(3): 210-219.; Pelkonen, O., M. Pasanen, et al. (1986). "The effect of cigarette smoking on 7-ethoxyresorufin O-deethylase and other monooxygenase activities in human liver: analyses with monoclonal antibodies." *Br J Clin Pharmacol* 22(2): 125-134.; Zevin, S. and N. L. Benowitz (1999). "Drug interactions with tobacco smoking. An update." *Clin Pharmacokinet* 36(6): 425-438.].
- Furthermore, the pharmacological action of the parent substance and its metabolite(s) may also be dependent on the quantity or the activity of expressed protein variants, receptor variants or transporter variants, which may likewise greatly differ from individual to individual or within an individual owing to inhibition or induction or genetic causes.
- Examples of transporter inducers are: dexamethasone, doxorubicin, flavonoids, St John's wort, phenobarbital, phenytoin, rifampicin, vinblastine.
- Examples of transporter inhibitors are:
- rifampicin, cyclosporin A, gemfibrozil, lopinavir, ritonavir, clarithromycin, furosemide, indometacin, probenecid, naproxen, ibuprofen, piroxicam, acetylsalicylic acid, paracetamol, phenacetin, ketoprofen, enalapril, bumetanide, cefoperazone, azathioprine, methotrexate, valproate, flufenamate, phenylbutazone, levofloxacin, dexamethasone, cytarabine, ampicillin, amoxicillin, ciclacillin, cephalixin, cefadroxil, cephradine, cefdinir, ceftibuten, cefixime, captopril, amiodarone, quinidine, lidocaine, itraconazole, ketoconazole, diltiazem, felodipine, nifedipine, nitrendipine, verapamil, indinavir, nelfinavir, saquinavir, ethinylestradiol, norgestrel, progesterone, testosterone, tacrolimus, erythromycin, mifepristone, paroxetine, talinolol, tamoxifen, terfenadine, trifluoperazine, vincristine.
- [Shitara, Y. (2011). "Clinical importance of OATP1B1 and OATP1B3 in drug-drug interactions." *Drug Metab Pharmacokinet* 26(3): 220-227.; Van Aubel, R. A., R.

Masereeuw, et al. (2000). "Molecular pharmacology of renal organic anion transporters." *Am J Physiol Renal Physiol* 279(2): F216-232.; <http://www.pharmazeutische-zeitung.de/index.php?id=2381>].

- 5 Of particular importance to pharmacotherapy are those differences in protein activity which have a genetic cause. As a result of sequence variations (<http://de.wikipedia.org/wiki/Polymorphismus>) in the alleles and/or as a result of a varying number of alleles present, it is possible for different variants and/or quantities of a protein to be expressed. Both, the expressed variant and the expressed quantity of
10 a protein, can have a strong influence on the activity of the protein variant.

In the literature, a well studied example of a polymorphic protein is cytochrome P450 2D6 (CYP2D6), an enzyme for which it is known that there is a multiplicity of different gene variants which can be classified into four different phenotypes. The
15 customary designations for this purpose are: PM = "poor metabolizer", IM = "intermediate metabolizer", EM = "extensive metabolizer" and UM = "ultrarapid metabolizer" [Zanger, U. M., J. Fischer, et al. (2001). "Comprehensive analysis of the genetic factors determining expression and function of hepatic CYP2D6." *Pharmacogenetics* 11(7): 573-585].

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Besides CYP2D6, there are numerous other polymorphic enzymes from the class of cytochrome P450 (CYP) isoenzymes:

25 CYP1A1, CYP1A2, CYP1B1, CYP2A6, CYP2A13, CYP2B6, CYP2C8, CYP2C9, CYP2C11, CYP2C18, CYP2C19, CYP2D6, CYP2E1, CYP2F1, CYP2J2, CYP2S1, CYP2W1, CYP3A4, CYP3A5, CYP3A7, CYP3A43, CYP4A11, CYP4B1, CYP4F2, CYP4F22, CYP7A1, CYP4B1, CYP7B1, CYP8A1, CYP8B1, CYP11A, CYP11B1, CYP11B2, CYP17A, CYP19A, CYP21A, CYP24A, CYP26A1, CYP26B, CYP27A, CYP27B, CYP46A,
30 CYP51A.

Particular emphasis is given here to: CYP1A2, CYP2B6, CYP2C8, CYP2C9, CYP2C19, CYP2D6, CYP2E1, CYP3A4, CYP3A5, CYP3A7 [http://bioinformatics.charite.de/supercyp as of 24.04.2012; Tamaki, Y., T. Arai, et al. (2011). "Association between cancer risk and drug-metabolizing enzyme gene (CYP2A6, CYP2A13, CYP4B1, SULT1A1, GSTM1, and GSTT1) polymorphisms in cases of lung cancer in Japan." *Drug Metab Pharmacokinet* 26(5): 516-522.].

There are similarly numerous polymorphic phase 2 enzymes or other enzymes in metabolism, for example:

10 N-acetyltransferase 2 (NAT2), thiopurine S-methyltransferase (TPMT), uridine 5'-diphospho-glucuronosyltransferase (UGT) 1A1, UGT1A3, UGT1A4, UGT1A5, UGT1A6, UGT1A7, UGT1A8, UGT1A9, UGT1A10, UGT2A1, UGT2A2, UGT2A3, UGT2B4, UGT2B7, UGT2B10, UGT2B15, UGT2B17, sulfotransferase (SULT) 1A1, SULT1A2, SULT1A3, SULT1E1, SULT2A1, 15 SULT2B1, SULT4A1, glutathione S-transferase (GST) A1, GSTA2, GSTA3, GSTA4, GSTA5, GSTM1, GSTM2, GSTM3, GSTM4, GSTM5, GSTP1, GSTT1, GSTT2, GSTO1, GSTO2, catechol-o-methyltransferase (COMT), flavin-dependent monooxygenase 3 (FMO), dihydropyrimidine dehydrogenase (DPD), methylenetetrahydrofolate reductase (MTHFR).

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Particular emphasis is given here to: NAT2, TPMT, UGT1A1, UGT1A4, UGT2B7, UGT2B15, SULT1A1, SULT1A2, SULT2A1, GSTM1, GSTP1, GSTT1, COMT, DPD, MTHFR [Hickman, D. and E. Sim (1991). "N-acetyltransferase polymorphism. Comparison of phenotype and genotype in humans." *Biochem Pharmacol* 42(5): 1007-1014.; Yates, C. R., E. Y. Krynetski, et al. (1997). "Molecular diagnosis of thiopurine S-methyltransferase deficiency: genetic basis for azathioprine and mercaptopurine intolerance." *Ann Intern Med* 126(8): 608-614.; Bernard, O., J. Tojcic, et al. (2006). "Influence of nonsynonymous polymorphisms of UGT1A8 and UGT2B7 metabolizing enzymes on the formation of phenolic and acyl glucuronides of mycophenolic acid." *Drug Metab Dispos* 34(9): 1539-1545.; Bushey, R. T., G. Chen, et al. (2011). "Characterization of UDP-glucuronosyltransferase 2A1 (UGT2A1) variants and their potential role in tobacco carcinogenesis." *Pharmacogenet Genomics* 21(2): 55-65.;

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glucuronosyltransferase 2B15 D85Y and 2B17 deletion polymorphisms predict the glucuronidation pattern of androgens and fat mass in men." *J Clin Endocrinol Metab* 92(12): 4878-4882.; Yang, J., L. Cai, et al. (2012). "Genetic Variations and Haplotype Diversity of the UGT1 Gene Cluster in the Chinese Population." *PLoS One* 7(4): e33988.; Arslan, S. (2010). "Genetic polymorphisms of sulfotransferases (SULT1A1 and SULT1A2) in a Turkish population." *Biochem Genet* 48(11-12): 987-994.; Hirata, H., Y. Hinoda, et al. (2008). "CYP1A1, SULT1A1, and SULT1E1 polymorphisms are risk factors for endometrial cancer susceptibility." *Cancer* 112(9): 1964-1973.; Ji, Y., I. Moon, et al. (2007). "Human hydroxysteroid sulfotransferase SULT2B1 pharmacogenomics: gene sequence variation and functional genomics." *J Pharmacol Exp Ther* 322(2): 529-540.; Ramsey, T. L., H. Y. Meltzer, et al. (2011). "Evidence for a SULT4A1 haplotype correlating with baseline psychopathology and atypical antipsychotic response." *Pharmacogenomics* 12(4): 471-480.; Tamaki, Y., T. Arai, et al. (2011). "Association between cancer risk and drug-metabolizing enzyme gene (CYP2A6, CYP2A13, CYP4B1, SULT1A1, GSTM1, and GSTT1) polymorphisms in cases of lung cancer in Japan." *Drug Metab Pharmacokinet* 26(5): 516-522.; Thomae, B. A., B. W. Eckloff, et al. (2002). "Human sulfotransferase SULT2A1 pharmacogenetics: genotype-to-phenotype studies." *Pharmacogenomics J* 2(1): 48-56.; Thomae, B. A., O. F. Rifki, et al. (2003). "Human catecholamine sulfotransferase (SULT1A3) pharmacogenetics: functional genetic polymorphism." *J Neurochem* 87(4): 809-819.; Breton, C. V., H. Vora, et al. (2009). "Variation in the GST mu locus and tobacco smoke exposure as determinants of childhood lung function." *Am J Respir Crit Care Med* 179(7): 601-607.; Chen, Y. L., H. S. Tseng, et al. (2010). "Glutathione S-Transferase P1 (GSTP1) gene polymorphism increases age-related susceptibility to hepatocellular carcinoma." *BMC Med Genet* 11: 46.; Coles, B. F., F. Morel, et al. (2001). "Effect of polymorphism in the human glutathione S-transferase A1 promoter on hepatic GSTA1 and GSTA2 expression." *Pharmacogenetics* 11(8): 663-669.; Moyer, A. M., Z. Sun, et al. (2010). "Glutathione pathway genetic polymorphisms and lung cancer survival after platinum-based chemotherapy." *Cancer Epidemiol Biomarkers Prev* 19(3): 811-821.; Tetlow, N., M. Coggan, et al. (2004). "Functional polymorphism of human glutathione transferase A3: effects on xenobiotic metabolism and steroid biosynthesis." *Pharmacogenetics* 14(10): 657-663.; Tran, A., F. Bournierias,

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- 20 There are also numerous examples of polymorphic transporters and/or receptors and/or other proteins.

Examples of polymorphic transporters are:

- 25 ABCA1, ABCA2, ABCA3, ABCA4, ABCA7, ABCA8, ABCA12, ABCA13, ABCB1, ABCB2, ABCB4, ABCB5, ABCB7, ABCB8, ABCB9, ABCB10, ABCB11, ABCC1, ABCC2, ABCC3, ABCC4, ABCC5, ABCC6, ABCC8, ABCC9, ABCC10, ABCC11, ABCD1, ABCD2, ABCD3, ABCD4, ABCe1, ABCF1, ABCG1, ABCG2, ABCG4, ABCG5, ABCG8, OAT1, OAT2, OAT3, OAT4, URAT5, OATP1A2, OATP1B1, OATP1B3, OATP1C1, OATP1B1, OCT1, OCT2, OCT3, OCTN1, OCTN2, SLC22A16
- 30 [Akiyama, Y., K. I. Fujita, et al. (2011). "Association of ABCC2 genotype with efficacy of first-line FOLFIRI in Japanese patients with advanced colorectal

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- 25 multidrug resistance in epilepsy." *Pharmacogenomics* 12(3): 319-325.; Liptrott, N. J., S. Pushpakom, et al. (2012). "Association of ABCC10 polymorphisms with nevirapine plasma concentrations in the German Competence Network for HIV/AIDS." *Pharmacogenet Genomics* 22(1): 10-19.; Maia-Lopes, S., J. Aguirre-Lamban, et al. (2009). "ABCA4 mutations in Portuguese Stargardt
- 30 patients: identification of new mutations and their phenotypic analysis." *Mol Vis* 15: 584-591.; Matsukawa, T., M. Asheuer, et al. (2011). "Identification of novel SNPs of ABCD1, ABCD2, ABCD3, and ABCD4 genes in patients with

X-linked adrenoleukodystrophy (ALD) based on comprehensive resequencing and association studies with ALD phenotypes." *Neurogenetics* 12(1): 41-50.; Minster, R. L., S. T. DeKosky, et al. (2009). "No association of DAPK1 and ABCA2 SNPs on chromosome 9 with Alzheimer's disease." *Neurobiol Aging* 30(11): 1890-1891.; Moitra, K., M. Scally, et al. (2011). "Molecular evolutionary analysis of ABCB5: the ancestral gene is a full transporter with potentially deleterious single nucleotide polymorphisms." *PLoS One* 6(1): e16318.; Pietrzak-Nowacka, M., K. Safranow, et al. (2012). "Association of C49620T ABCC8 polymorphism with anthropometric and metabolic parameters in patients with autosomal dominant polycystic kidney disease: a preliminary study." *Nefrologia* 32(2): 153-159.; Saito, S., A. Iida, et al. (2002). "Identification of 779 genetic variations in eight genes encoding members of the ATP-binding cassette, subfamily C (ABCC/MRP/CFTR)." *J Hum Genet* 47(4): 147-171.; Saito, S., A. Iida, et al. (2002). "Three hundred twenty-six genetic variations in genes encoding nine members of ATP-binding cassette, subfamily B (ABCB/MDR/TAP), in the Japanese population." *J Hum Genet* 47(1): 38-50.; Sasaki, T., T. Hirota, et al. (2011). "Systematic screening of human ABCC3 polymorphisms and their effects on MRP3 expression and function." *Drug Metab Pharmacokinet* 26(4): 374-386.; Schulz, V., D. Hendig, et al. (2005). "Analysis of sequence variations in the ABCC6 gene among patients with abdominal aortic aneurysm and pseudoxanthoma elasticum." *J Vasc Res* 42(5): 424-432.; Shulenin, S., L. M. Noguee, et al. (2004). "ABCA3 gene mutations in newborns with fatal surfactant deficiency." *N Engl J Med* 350(13): 1296-1303.; Toyoda, Y. and T. Ishikawa (2010). "Pharmacogenomics of human ABC transporter ABCC11 (MRP8): potential risk of breast cancer and chemotherapy failure." *Anticancer Agents Med Chem* 10(8): 617-624.; Wasmuth, H. E., A. Glantz, et al. (2007). "Intrahepatic cholestasis of pregnancy: the severe form is associated with common variants of the hepatobiliary phospholipid transporter ABCB4 gene." *Gut* 56(2): 265-270.; Yin, J. Y., Q. Huang, et al. (2009). "Characterization and analyses of multidrug resistance-associated protein 1 (MRP1/ABCC1) polymorphisms in Chinese population." *Pharmacogenet Genomics* 19(3): 206-216.; Yu, X., H. Xie, et al.

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Particular emphasis is given here to: ABCB1 (p-glycoprotein), ABCC1 (MRP1), ABCG2 (BCRP), OATP1B1, OAT3, OCT1, OCT2, OCT3, SLC22A16.

5 In pharmacotherapy, such differences in enzyme activity or enzyme quantity may have a dramatic influence on the success of treatment, since they directly influence the pharmacokinetics – and here in particular the exposure – of the substances which are substrates for one or more polymorphic enzymes and of the metabolite(s) formed by the polymorphic enzyme. The same applies to such differences in protein activity or
10 protein quantity, since receptors, transporters or other proteins may also directly influence the pharmacokinetics – and here in particular the exposure – of the substances which are substrates for one or more polymorphic proteins. In addition, a direct effect on the pharmacodynamics may also occur here if these proteins are involved in the mechanism of action.

15

There was therefore the need for improved pharmacotherapy in the use of active ingredients, the action of which is dependent on the quantity or the activity of expressed and/or inhibited/induced protein variants, enzyme variants, receptor variants or transporter variants, with said pharmacotherapy compensating for the
20 aforementioned variations.

The present disclosure is based on a novel formulation concept, more particularly in the form of a fixed-dose combination (FDC), in which pre-known individual differences in the activity of a relevant protein are taken into consideration in the
25 dosage of two or more pharmacologically active substances, of which one or more are metabolites of the other substance, in order to ensure optimal success of treatment. The novel formulation concept is based on compensation of the varying exposure to the parent substance and one or more active metabolites by a specific dosage of the combination of parent substance and one/more metabolites that is individually adapted
30 to the genotype or phenotype. The pharmacokinetic goal is to establish a "bioequivalence"-like steady-state situation (i.e. following repeated intake), i.e. conformity of plasma concentration changes of the concerned substances within

predefined limits (for this purpose, it is possible to use, for example, the criteria common in another context; see in this regard "Prior Art"), with respect to a reference population which has to be defined from the specific context.

- 5 To study the formulation concept according to the invention, pharmacotherapy with tamoxifen was chosen as an example, without restricting the concept to said example.

The present invention is based on this example and is defined in the claims.

- 10 In the case of a CYP2D6 polymorphism, a population consisting of extensive metabolizers (EMs) would be an example of a meaningful reference population, since this phenotype represents the wild type and is the most widespread in many geographical regions [Sistonen, J., A. Sajantila, et al. (2007). "CYP2D6 worldwide genetic variation shows high frequency of altered activity variants and no continental structure." Pharmacogenet Genomics 17(2): 93-101.]. Using the example of a known
15 cancer medicament, tamoxifen, the problem of genotype- or phenotype-dependent exposure of active metabolites shall be illustrated without being restricted thereto.

- Tamoxifen is a well known pharmaceutical ingredient used for treating oestrogen
20 receptor-positive (ER+) breast cancer. The parent substance is subject to a complex metabolization scheme, which is shown in **figure 1**. In the human body (among others), tamoxifen is converted into three active metabolites (N-desmethyltamoxifen, 4-hydroxytamoxifen, endoxifen). Among the active metabolites, endoxifen in particular, a secondary metabolite of tamoxifen, is of importance, since a large
25 percentage of the formation of endoxifen is catalysed via the polymorphic CYP2D6. As a result, the endoxifen concentration in the blood of a breast cancer patient is dependent on the CYP2D6 genotype or phenotype thereof. In the case of a CYP2D6 PM, there is practically no CYP2D6 activity and the concentration of the active metabolite endoxifen is consequently very low [Murdter, T. E., W. Schroth, et al.
30 (2011). "Activity levels of tamoxifen metabolites at the estrogen receptor and the impact of genetic polymorphisms of phase I and II enzymes on their concentration levels in plasma." Clin Pharmacol Ther 89(5): 708-717.; Jin, Y., Z. Desta, et al. (2005).

"CYP2D6 Genotype, Antidepressant Use, and Tamoxifen Metabolism During Adjuvant Breast Cancer Treatment." *Journal of the National Cancer Institute* 97(1): 30-39.; Gjerde, J., M. Hauglid, et al. (2008). "Effects of CYP2D6 and SULT1A1 genotypes including SULT1A1 gene copy number on tamoxifen metabolism." *Ann Oncol* 19(1): 56-61.; Borges, S., Z. Desta, et al. (2006). "Quantitative effect of CYP2D6 genotype and inhibitors on tamoxifen metabolism: implication for optimization of breast cancer treatment." *Clin Pharmacol Ther* 80(1): 61-74.; Madlensky, L., L. Natarajan, et al. (2011). "Tamoxifen metabolite concentrations, CYP2D6 genotype, and breast cancer outcomes." *Clin Pharmacol Ther* 89(5): 718-725.; Lim, J. S., X. A. Chen, et al. (2011). "Impact of CYP2D6, CYP3A5, CYP2C9 and CYP2C19 polymorphisms on tamoxifen pharmacokinetics in Asian breast cancer patients." *Br J Clin Pharmacol* 71(5): 737-750.; Lim, H. S., H. Ju Lee, et al. (2007). "Clinical implications of CYP2D6 genotypes predictive of tamoxifen pharmacokinetics in metastatic breast cancer." *J Clin Oncol* 25(25): 3837-3845.; Kiyotani, K., T. Mushiroda, et al. (2010). "Significant effect of polymorphisms in CYP2D6 and ABCC2 on clinical outcomes of adjuvant tamoxifen therapy for breast cancer patients." *J Clin Oncol* 28(8): 1287-1293.; Irvin, W. J., Jr., C. M. Walko, et al. (2011). "Genotype-Guided Tamoxifen Dosing Increases Active Metabolite Exposure in Women With Reduced CYP2D6 Metabolism: A Multicenter Study." *J Clin Oncol* 29(24): 3232-3239.]. In the case of a CYP2D6 IM, the endoxifen concentration is likewise still distinctly below the level which can be observed in the case of an EM or the (relatively rare in Europeans) UM phenotype. In this connection, a study also showed a distinct gene dosage effect between CYP2D6 EM, IM, and PM genotypes or phenotypes and their respective steady-state endoxifen concentrations [Jin, Y., Z. Desta, et al. (2005). "CYP2D6 Genotype, Antidepressant Use, and Tamoxifen Metabolism During Adjuvant Breast Cancer Treatment." *Journal of the National Cancer Institute* 97(1): 30-39]. The genotype- or phenotype-dependent exposures of endoxifen are shown by way of example in **figure 2**. Within a population of breast cancer patients, the exposure of endoxifen is thus dependent on the frequency distribution of the various CYP2D6 genotypes or phenotypes. This frequency distribution differs greatly between regions or ethnic groups [Bernard, S., K. A. Neville, et al. (2006). "Interethnic differences in genetic polymorphisms of CYP2D6 in

the U.S. population: clinical implications." *Oncologist* 11(2): 126-135.; Bradford, L. D. (2002). "CYP2D6 allele frequency in European Caucasians, Asians, Africans and their descendants." *Pharmacogenomics* 3(2): 229-243.; Sachse, C., J. Brockmoller, et al. (1997). "Cytochrome P450 2D6 variants in a Caucasian population: allele frequencies and phenotypic consequences." *Am J Hum Genet* 60(2): 284-295.; Sistonen, J., A. Sajantila, et al. (2007). "CYP2D6 worldwide genetic variation shows high frequency of altered activity variants and no continental structure." *Pharmacogenet Genomics* 17(2): 93-101.]. In the case of Europeans, EM is the predominant genotype [Sistonen, J., A. Sajantila, et al. (2007). "CYP2D6 worldwide genetic variation shows high frequency of altered activity variants and no continental structure." *Pharmacogenet Genomics* 17(2): 93-101.].

There is now a range of studies which provides evidence for the dependency of the therapeutic success of tamoxifen on the CYP2D6 genotype or phenotype [Bijl, M., R. van Schaik, et al. (2009). "The CYP2D6*4 polymorphism affects breast cancer survival in tamoxifen users." *Breast Cancer Res Treat* 118(1): 125-130.; Bonanni, B., D. Macis, et al. (2006). "Polymorphism in the CYP2D6 Tamoxifen-Metabolizing Gene Influences Clinical Effect but Not Hot Flashes: Data From the Italian Tamoxifen Trial." *Journal of Clinical Oncology* 24(22): 3708-3709.; Brauch, H., W. Schroth, et al. (2008). "Clinical Relevance of CYP2D6 Genetics for Tamoxifen Response in Breast Cancer." *Breast Care (Basel)* 3(1): 43-50.; Brauch, H. B., W. Schroth, et al. (2011). "CYP2D6 and Tamoxifen: Awaiting the Denouement." *Journal of Clinical Oncology* 29(34): 4589-4590.; Goetz, M. P., A. Kamal, et al. (2008). "Tamoxifen pharmacogenomics: the role of CYP2D6 as a predictor of drug response." *Clin Pharmacol Ther* 83(1): 160-166.; Goetz, M. P., S. K. Knox, et al. (2007). "The impact of cytochrome P450 2D6 metabolism in women receiving adjuvant tamoxifen." *Breast Cancer Res Treat* 101(1): 113-121.; Goetz, M. P., J. M. Rae, et al. (2005). "Pharmacogenetics of tamoxifen biotransformation is associated with clinical outcomes of efficacy and hot flashes." *J Clin Oncol* 23(36): 9312-9318.; Ingelman-Sundberg, M., S. C. Sim, et al. (2007). "Influence of cytochrome P450 polymorphisms on drug therapies: pharmacogenetic, pharmacoeigenetic and clinical aspects." *Pharmacol Ther* 116(3): 496-526.; Newman, W. G., K. D. Hadfield, et al. (2008).

"Impaired tamoxifen metabolism reduces survival in familial breast cancer patients." Clin Cancer Res 14(18): 5913-5918.; Schroth, W., L. Antoniadou, et al. (2007). "Breast cancer treatment outcome with adjuvant tamoxifen relative to patient CYP2D6 and CYP2C19 genotypes." J Clin Oncol 25(33): 5187-5193.; Schroth, W., M. P. Goetz, et al. (2009). "Association between CYP2D6 polymorphisms and outcomes among women with early stage breast cancer treated with tamoxifen." JAMA 302(13): 1429-1436; Goetz, M.P., et al., CYP2D6 metabolism and patient outcome in the Austrian Breast and Colorectal Cancer Study Group trial (ABCSG) 8. Clin Cancer Res, 2013. 19(2): p. 500-7.; Brauch, H., et al., Tamoxifen Use in Postmenopausal Breast Cancer: CYP2D6 Matters. J Clin Oncol, 2012.]. PMs consequently benefit these studies distinctly less from tamoxifen therapy than IMs, and these in turn less than EMs or UMs, and this is reflected, for example, in published relapse-free survival curves (so-called Kaplan-Meier plots). Examples of such published plots are shown in **figure 3**. In the past, these study results were interpreted to mean that the main action in breast cancer therapy with tamoxifen originates from its metabolite endoxifen (tamoxifen is occasionally also referred to in the literature as a "prodrug" [Goetz, M. P., A. Kamal, et al. (2008). "Tamoxifen pharmacogenomics: the role of CYP2D6 as a predictor of drug response." Clin Pharmacol Ther 83(1): 160-166.]). Experts are also currently discussing the proposal of whether endoxifen should not be directly administered instead of tamoxifen, and initial studies have been published which have the goal of authorization of pure endoxifen as an agent for breast cancer therapy [Ahmad, A., S. M. Ali, et al. (2010). "Orally administered endoxifen is a new therapeutic agent for breast cancer." Breast Cancer Res Treat 122(2): 579-584.; Ahmad, A., S. Shahabuddin, et al. (2010). "Endoxifen, a new cornerstone of breast cancer therapy: demonstration of safety, tolerability, and systemic bioavailability in healthy human subjects." Clin Pharmacol Ther 88(6): 814-817.].

Similarly, there have been discussions for some time among experts [de Graan, A. J., S. F. Teunissen, et al. (2011). "Dextromethorphan as a phenotyping test to predict endoxifen exposure in patients on tamoxifen treatment." J Clin Oncol 29(24): 3240-3246.; Irvin, W. J., Jr., C. M. Walko, et al. (2011). "Genotype-Guided Tamoxifen Dosing Increases Active Metabolite Exposure in Women With Reduced CYP2D6 Metabolism: A Multicenter Study." J Clin Oncol 29(24): 3232-3239.; Brauch, H., W.

Schroth, et al. (2008). "Clinical Relevance of CYP2D6 Genetics for Tamoxifen Response in Breast Cancer." *Breast Care (Basel)* 3(1): 43-50.; Lim, J. S., X. A. Chen, et al. (2011). "Impact of CYP2D6, CYP3A5, CYP2C9 and CYP2C19 polymorphisms on tamoxifen pharmacokinetics in Asian breast cancer patients." *Br J Clin Pharmacol* 71(5): 737-750.] as to whether patients should not be genotyped or phenotyped prior to tamoxifen treatment in order to restrict administration to the EMs and UMs, who benefit more (and so patients with the CYP2D6 PM and IM genotype or phenotype would have to manage without this inherently important treatment option). A further therapy strategy which is currently being discussed is that of increasing the dose of tamoxifen on the basis of genotype or phenotype in order to achieve, in patients of the CYP2D6 IM and PM phenotype, similar endoxifen concentrations as are achieved in CYP2D6 EM patients under normal tamoxifen therapy. In this connection, one study shows that this approach might possibly be a solution for CYP2D6 IM patients, but for patients of the CYP2D6 PM phenotype, comparable concentrations of endoxifen were definitely not achieved. Consequently, this option is not conceivable for patients of the CYP2D6 PM phenotype [Irvin, W. J., Jr., C. M. Walko, et al. (2011). "Genotype-Guided Tamoxifen Dosing Increases Active Metabolite Exposure in Women With Reduced CYP2D6 Metabolism: A Multicenter Study." *J Clin Oncol* 29(24): 3232-3239.].

According to the latest scientific knowledge, it has to be assumed that the positive action of tamoxifen in ER+ breast cancer can be attributed to the combination of the active components. Without doubt, tamoxifen itself has an anti-oestrogenic (and thus cancer-inhibiting) action, as do the two primary metabolites 4-hydroxytamoxifen and N-desmethyltamoxifen, which would not circulate in the plasma of the patient if endoxifen were administered, and it has to be assumed that the entire action of tamoxifen therapy is only achieved through the interplay of the parent substance and its active metabolites [V.C. Craig, Long-Term Tamoxifen Treatment for Breast Cancer, S. 32, Allen, K. E., E. R. Clark, et al. (1980). "Evidence for the metabolic activation of non-steroidal antioestrogens: a study of structure-activity relationships." *Br J Pharmacol* 71(1): 83-91.; Kemp, J. V., H. K. Adam, et al. (1983). "Identification and biological activity of tamoxifen metabolites in human serum." *Biochem Pharmacol* 32(13): 2045-2052.]. Consequently, it is doubtful whether exclusive endoxifen therapy

can be a meaningful alternative to tamoxifen therapy; on the contrary, it has to be assumed that sole endoxifen administration is not an appropriate measure against the CYP2D6-dependence of tamoxifen therapy in oestrogen receptor-positive breast cancer.

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The scientific prior art relating to tamoxifen therapy in breast cancer is very well documented. Although it concerns a relatively old substance, the CYP2D6 genotype- or phenotype-dependence of tamoxifen therapy is the subject of current research and lively discussions in the specialist field.

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There was therefore the specific need for a tamoxifen treatment which takes into account the CYP2D6 genotype or phenotype and which enables patients of the CYP2D6 IM and PM phenotype to achieve endoxifen concentrations similar to those achieved in CYP2D6 EM patients under normal tamoxifen therapy and might accordingly also lead to promising therapy in the PMs and IMs in the form of breast cancer risk minimization.

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To achieve the object, the present invention proposes combined administration of tamoxifen and endoxifen in a pharmaceutical formulation, more particularly in a fixed-dose combination (FDC). The FDC formulation according to the invention is dosed in a genotype- or phenotype-specific manner.

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FDCs consisting of two or more substances which are not related to one another like parent substance and metabolite are known according to the prior art and are, for example, used successfully in HIV therapy, type 2 diabetes therapy, hypertension therapy, hyperlipidaemia therapy or in the therapy of malaria and tuberculosis [Anvikar, A. R., B. Sharma, et al. (2012). "Artesunate-amodiaquine fixed dose combination for the treatment of Plasmodium falciparum malaria in India." Malar J 11(1): 97. Ayede, I. A., A. G. Falade, et al. (2010). "An open randomized clinical trial in comparing two artesunate-based combination treatments on Plasmodium falciparum malaria in Nigerian children: artesunate/sulphamethoxypyrazine/pyrimethamine (fixed dose over 24 hours) versus artesunate/amodiaquine (fixed dose over 48 hours)." Malar

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- J 9: 378.,Bramlage, P., W. P. Wolf, et al. (2010). "Effectiveness and tolerability of a fixed-dose combination of olmesartan and amlodipine in clinical practice." *Vasc Health Risk Manag* 6: 803-811.,Gadzhanova, S., M. Gillies, et al. (2011). "Fixed dose combination diabetes medicines - usage in the Australian veteran population." *Aust Fam Physician* 40(10): 811-815.,Honda, M., M. Ishisaka, et al. (2011). "Open-label randomized multicenter selection study of once daily antiretroviral treatment regimen comparing ritonavir-boosted atazanavir to efavirenz with fixed-dose abacavir and lamivudine." *Intern Med* 50(7): 699-705.,Kauf, T. L., K. L. Davis, et al. (2012). "Spillover adherence effects of fixed-dose combination HIV therapy." *Patient Prefer Adherence* 6: 155-164.,Kim, S. H., K. H. Ryu, et al. (2011). "Efficacy of fixed-dose amlodipine and losartan combination compared with amlodipine monotherapy in stage 2 hypertension: a randomized, double blind, multicenter study." *BMC Res Notes* 4: 461.,Mathew, J. L. (2009). "Fixed dose drug combination for treatment of tuberculosis." *Indian Pediatr* 46(10): 877-880.,Mengden, T., R. Hubner, et al. (2011). "Office and ambulatory blood pressure control with a fixed-dose combination of candesartan and hydrochlorothiazide in previously uncontrolled hypertensive patients: results of CHILI CU Soon." *Vasc Health Risk Manag* 7: 761-769.,Mengden, T., S. Uen, et al. (2009). "Management of hypertension with fixed dose combinations of candesartan cilexetil and hydrochlorothiazide: patient perspectives and clinical utility." *Vasc Health Risk Manag* 5: 1043-1058.,Okpechi, I. G., H. S. Schoeman, et al. (2011). "Achieving blood pressure goals sTudy in uncontrolled hypertensive patients treated with a fixed-dose combination of ramipril/hydrochlorothiazide: the ASTRAL study." *Cardiovasc J Afr* 22(2): 79-84.,Reynolds, J. K. (2009). "Fixed-dose combination of sitagliptin and metformin for the treatment of type 2 diabetes." *Diabetes Metab Syndr Obes* 2: 127-134.,Shiga, Y., S. Miura, et al. (2011). "Comparison of the efficacy and safety of single-pill fixed-dose combinations of losartan/hydrochlorothiazide and valsartan/hydrochlorothiazide in patients with hypertension (SALT-VAT study)." *Intern Med* 50(21): 2477-2483.].
- 30 The advantages compared to separate administration of two or more active ingredients are the simpler logistics, the reduced costs in manufacture and distribution, and (crucial in the case of tamoxifen/endoxifen) improved compliance in the patients.

A fixed-dose combination, more particularly a genotype- or phenotype-specific FDC, containing a parent substance and one or more potential metabolites and serving to compensate for genotype- or phenotype-related variability of the metabolite concentration is not known according to the prior art. Similarly, a fixed-dose combination containing a parent substance and one or more potential metabolites and serving to compensate for "phenotype-copying" related variability of the metabolite concentration is not known according to the prior art. Here, "phenotype-copying" means that, as a result of simultaneous administration of one medicament which is converted into one/more active metabolites via an enzyme and one potent enzyme inhibitor or enzyme inducer which inhibits or induces said conversion, the original phenotype of the patient is converted into another on the basis of the interaction between enzyme and enzyme inhibitor or enzyme inducer. A plausible example here is the administration of a potent CYP2D6 inhibitor (for example, paroxetine) to a patient of the CYP2D6 EM phenotype who is simultaneously receiving tamoxifen. As a result of the active ingredient-mediated (for example, paroxetine) CYP2D6 inhibition, the original CYP2D6 EM patient is in effect an IM or PM and has, accordingly, lower endoxifen concentrations, the active secondary metabolite of tamoxifen [Borges, S., Z. Desta, et al. (2006). "Quantitative effect of CYP2D6 genotype and inhibitors on tamoxifen metabolism: implication for optimization of breast cancer treatment." Clin Pharmacol Ther 80(1): 61-74.; Jin, Y., Z. Desta, et al. (2005). "CYP2D6 Genotype, Antidepressant Use, and Tamoxifen Metabolism During Adjuvant Breast Cancer Treatment." Journal of the National Cancer Institute 97(1): 30-39., Stearns, V., M. D. Johnson, et al. (2003). "Active tamoxifen metabolite plasma concentrations after coadministration of tamoxifen and the selective serotonin reuptake inhibitor paroxetine." J Natl Cancer Inst 95(23): 1758-1764.].

Instead of breast cancer therapy purely with endoxifen, the approach involving a combined administration according to the invention of tamoxifen and endoxifen is advantageous in those patients who are not sufficiently able to form endoxifen (i.e. CYP2D6 PMs and IMs), owing to the demonstrated efficacy of tamoxifen, N-desmethyltamoxifen and 4-hydroxytamoxifen. The goal of such a combined

administration should be to compensate for the genotype- or phenotype-related reduced formation of endoxifen by administration of an appropriate endoxifen dose and, at the same time, to adapt the dose of tamoxifen if necessary such that PMs and IMs achieve steady-state plasma concentrations of tamoxifen, N-desmethyltamoxifen, 5 4-hydroxytamoxifen and endoxifen comparable to EMs or UMs under sole tamoxifen administration.

Beyond the aforementioned advantages of the tamoxifen-endoxifen FDCs for CYP2D6 IMs and PMs, application of the proposed fixed combination, more particularly 20 mg 10 of tamoxifen and 3 mg of endoxifen, may also be advantageous under certain circumstances in CYP2D6 EMs and IMs. For example, in the initial phase of tamoxifen therapy, the period until attainment of the desired equilibrium concentration (also termed steady-state concentration) can be considerably shortened. In the case of the standard therapeutic dosage of 20 mg of tamoxifen, the steady-state concentration 15 of endoxifen in an example population consisting of European patients of the CYP2D6 EM genotype or phenotype is achieved after about 80 days [Fabian C, Sternson L, El-Serafi M, Cain L, Hearne E.; Clinical pharmacology of tamoxifen in patients with breast cancer: correlation with clinical data. *Cancer*. 1981 Aug 15;48(4):876-82.; Jin Y, Desta Z, Stearns V, Ward B, Ho H, Lee KH, Skaar T, Storniolo AM, Li L, Araba A, 20 Blanchard R, Nguyen A, Ullmer L, Hayden J, Lemler S, Weinshilboum RM, Rae JM, Hayes DF, Flockhart DA.; CYP2D6 genotype, antidepressant use, and tamoxifen metabolism during adjuvant breast cancer treatment. *J Natl Cancer Inst*. 2005 Jan 5;97(1):30-9.; Fuchs WS, Leary WP, van der Meer MJ, Gay S, Witschital K, von Nieciecki A.; Pharmacokinetics and bioavailability of tamoxifen in postmenopausal 25 healthy women. *Arzneimittelforschung*. 1996 Apr;46(4):418-22.]. By contrast, if the tamoxifen therapy is initially carried out with the proposed fixed combination, it is shown, on the basis of the PBPK model, that the effective steady-state concentrations of endoxifen appear distinctly faster, viz. after just 9 days, as shown in **figures** 11 and 12.

30 The advantages of the fixed tamoxifen-endoxifen combination that are shown for the start of breast cancer therapy with tamoxifen can, in addition, also be transferred to the frequently occurring real-life situation of continuous medicament intake being

- interrupted (also referred to as non-compliance). Such non-compliance is known in tamoxifen patients and well documented. Poor compliance is associated with a possible poorer response to tamoxifen therapy [Barron, T.I., et al., Early discontinuation of tamoxifen: a lesson for oncologists. *Cancer*, 2007. 109(5): p. 832-9.;
- 5 Dezentje, V.O., et al., Effect of concomitant CYP2D6 inhibitor use and tamoxifen adherence on breast cancer recurrence in early-stage breast cancer. *J Clin Oncol*, 2010. 28(14): p. 2423-9.; Friese, C.R., et al., Adjuvant endocrine therapy initiation and persistence in a diverse sample of patients with breast cancer. *Breast Cancer Res Treat*, 2013.; Hershman, D.L., et al., Early discontinuation and nonadherence to adjuvant
- 10 hormonal therapy in a cohort of 8,769 early-stage breast cancer patients. *J Clin Oncol*, 2010. 28(27): p. 4120-8.; McCowan, C., et al., Cohort study examining tamoxifen adherence and its relationship to mortality in women with breast cancer. *Br J Cancer*, 2008. 99(11): p. 1763-8.; Partridge, A.H., Non-adherence to endocrine therapy for breast cancer. *Ann Oncol*, 2006. 17(2): p. 183-4.; Rae, J.M., et al., Cytochrome P450
- 15 2D6 activity predicts discontinuation of tamoxifen therapy in breast cancer patients. *Pharmacogenomics J*, 2009. 9(4): p. 258-64.; Ruddy, K.J. and A.H. Partridge, Adherence with adjuvant hormonal therapy for breast cancer. *Ann Oncol*, 2009. 20(3): p. 401-2.; Ziller, V., et al., Adherence to adjuvant endocrine therapy in postmenopausal women with breast cancer. *Ann Oncol*, 2009. 20(3): p. 431-6.].
- 20 In the event of a tamoxifen drug holiday, the plasma levels of tamoxifen and its active metabolites (thus, endoxifen too in particular) fall below the therapeutically effective threshold. Similar to the initial tamoxifen therapy, the fixed combination can likewise be advantageously used here in CYP2D6 EMs and IMs in order to speed up the renewed attainment of effective concentrations, as shown by the results of the
- 25 simulations in **figures** 15 to 18.

Therefore, the present disclosure provides a pharmaceutical formulation containing a parent substance, the action of which is dependent on the quantity or the activity of expressed and/or inhibited/induced protein variants, enzyme variants, receptor variants or transporter variants, and one or more potential metabolites of the parent substance.

30 In particular, the dosage of the formulation according to the invention is defined in a genotype- or phenotype-specific manner.

However, such a combined formulation of multiple pharmaceutically active substances is associated with difficulties. The main difficulty is that of determining the optimal endoxifen and tamoxifen dose which ensures the therapeutically effective steady-state plasma levels in CYP2D6 PMs and IMs.

In the present invention, this further object was achieved by means of a method based on the use of a coupled physiologically based pharmacokinetic (PBPK) model for tamoxifen, 4-hydroxytamoxifen, N-desmethyltamoxifen and endoxifen. Said method and the corresponding commercially available model *PK-Sim*®/*MoBi*® are described in the applications WO2007/147539, WO05/116854 and WO 05/033982, the teachings of which are hereby integrated in this respect, and are used in the present invention to develop a method based on a coupled PBPK model. The development of the coupled PBPK model for tamoxifen, N-desmethyltamoxifen, 4-hydroxytamoxifen and endoxifen in CYP2D6 EMs and PMs has already been described [Dickschen, K., et al., Physiologically-based pharmacokinetic modeling of tamoxifen and its metabolites in women of different CYP2D6 phenotypes provides new insight into the tamoxifen mass balance. *Frontiers in Pharmacology*, 2012. 3.]. The method was subsequently used, by way of example, to optimize the tamoxifen and endoxifen doses in CYP2D6 PMs and IMs. The only difference between the published CYP2D6 PM model parameterization and the CYP2D6 IM parameterization additionally presented here is the factor used for CYP2D6 enzyme activity (IM: 0.62; PM 0.015 [Coller, J. K., N. Krebsfaenger, et al. (2002). "The influence of CYP2B6, CYP2C9 and CYP2D6 genotypes on the formation of the potent antioestrogen Z-4-hydroxy-tamoxifen in human liver." *Br J Clin Pharmacol* 54(2): 157-167.]). **Figure 4** shows a diagram of the coupled PBPK model for tamoxifen and its three active metabolites (N-desmethyltamoxifen, 4-hydroxytamoxifen, endoxifen).

The present disclosure also provides a method for preparing a fixed-dose combination pharmaceutical formulation comprising a parent substance, the action of which is dependent on the amount or the activity of expressed and/or inhibited/induced protein

variants, enzyme variants, receptor variants or transporter variants, and at least one metabolite of the parent substance, comprising the following steps:

- 5 a) inputting of an organism, of its genotype or phenotype, of the parent substance and at least the metabolite of the parent substance, of an optimal reference steady-state plasma level for the parent substance for a reference genotype or reference phenotype in the case of delivery of the parent substance alone into an input module,
- 10 b) forwarding of the data from a) into a calculation module comprising a substance data module, an organism data module, a genotype data module or a phenotype data module, and a physiologically based pharmacokinetic model, wherein the substance data module comprises data concerning the physicochemical and/or biochemical properties of the substance(s), the organism module comprises data concerning the compartments of the organism, and the genotype data module or phenotype data module
15 comprises genotype- or phenotype-specific data,
- c) automatically selecting parent substance and metabolite-specific data from the substance data module,
- d) automatically selecting organism-specific data from the organism data module on the basis of input a),
- 20 e) automatically selecting genotype- or phenotype-specific data from the genotype data module or phenotype data module,
- f) forwarding of the selected data from a) to e) into the physiologically based pharmacokinetic model,
- g) calculating, by means of the physiologically based pharmacokinetic model,
25 an optimized dosage for the parent substance for the reference genotype or reference phenotype in order to attain the inputted optimal reference plasma level for the parent substance from a),
- h) calculating the reference steady-state plasma level for the metabolites (N-desmethyltamoxifen, 4-hydroxytamoxifen, endoxifen) for the reference
30 genotype or reference phenotype in the case of administration of the dose of parent substance calculated in g),

- i) calculating a plasma level of the metabolite(s) that is reduced owing to the genotype or phenotype inputted in a) with respect to the corresponding reference plasma level in the case of administration of the dose of parent substance calculated in g),
- 5 j) calculating a metabolite dose and a parent substance dose for the combined attainment of the reference plasma level for the metabolite(s) from h) and of the reference plasma level for the parent substance from a),
- k) outputting the metabolite dose and the parent substance dose for the fixed-dose combination pharmaceutical formulation via an output module, and/or
- 10 l) forwarding the dose calculated in j) into an automated device for dosing medicaments.

In the present invention, automated devices for dosing medicaments mean devices for preparing dosage forms such as, for example, tablets, capsules, liquid dosage or
15 elements thereof, and also apparatuses for measuring out the dosage, such as a balance, unit-dose systems known in the prior art, or a device for volumetrically or gravimetrically measuring out liquids.

Optionally, the calculation module additionally has an administration module which
20 comprises data concerning dosage forms such as, for example, tablets, capsules, liquid dosage, or elements thereof. Said data usually comprise release properties of the dosage form, such as immediate, delayed release and also differentiated (e.g. by means of a layered active-ingredient distribution) or simultaneous release (e.g. by means of joint granulation) for combination formulations. In the input module, the dosage form
25 can then be selectively defined, and the data concerning the corresponding dosage form are automatically selected from the administration module and forwarded to the physiologically based pharmacokinetic model.

The calculation module calculates the optimal medicament dose for the parent
30 substance and the metabolite(s) and, where appropriate, an optimal dosing regimen. It consists of computer-implemented software and the hardware required to execute the program. The hardware is generally a commercially available PC. It is either directly

connected to an input device, as in the case of a laptop computer with a built-in keyboard or chip card reader, or set up locally and connected to the input device (server). In principle, all common transmission technologies, both cable-based and wireless methods, are suitable and conceivable. Particularly preferred is wireless transmission of the patient information inputted via the handheld input module or the chip card reader.

The software makes it possible to manage all information relevant to calculating the optimal medicament dosage in one or more databases. In a preferred embodiment of the method, it is also possible to carry out the calculation of a patient-specific dose. This information relevant to calculating the medicament dose is usually divided into organism-specific, substance-specific, genotype- or phenotype-specific and preferably administration-specific data, and preferably stored, automatically retrievable, in corresponding data modules.

In a preferred embodiment which is particularly relevant to personalized medication, physiological (or anthropometric) information, pathological information, possibly information relating to additionally administered medicaments, so-called co-medication, are also likewise stored, automatically retrievable, in data modules as patient-specific data.

The substance data include, for example, lipophilicity, free plasma fraction, blood-plasma ratio, partition coefficients, permeability, volume of distribution, clearance, nature of the clearance, clearance proportions, nature of the excretion, dosing regimen, transporter substrate, pharmacokinetic and/or pharmacodynamic end-point and adverse effects.

Relevant medicament information is, more particularly, the recommended therapeutic dosage (according to information from the manufacturer), pharmacokinetic and/or pharmacodynamic end-point, clearance (total clearance as blood or plasma clearance in a reference population or a reference individual) and nature of the clearance (hepatic-metabolic, biliary, renal, etc.) and the proportions of the individual processes with respect to the total clearance, kinetic parameters of active transporters/receptors/enzymes if the medicament and/or its metabolite(s) is substrate

for one or more active transporters/receptors/enzymes, and physicochemical and pharmacokinetic information such as, for example, lipophilicity, unbound fraction in plasma, plasma proteins to which the medicament and/or its metabolite(s) binds, blood-plasma distribution coefficient, or volume of distribution.

- 5 Empirical knowledge which, for example, can be obtained through the research of case studies can likewise additionally be part of the databases with substance information or information relating to co-medication.

Analogous to patient-specific information, relevant physiological or anthropometric and pathophysiological information is, for example, in each case age, gender, race,
10 weight, height, body mass index, lean body mass, fat-free body mass, gene expression data, diseases, allergies, medication, renal function and hepatic function. Relevant pathophysiological information is, more particularly, diseases, allergies, renal function and hepatic function.

In the case of co-medication, the corresponding aforementioned information
15 concerning all additional administered medicaments is part of the database relating to the co-medication.

The optimal dosage and, where appropriate, the optimal dosing regimen are calculated on the basis of the substance-specific data, organism-specific data and genotype- or phenotype-specific data possibly combined with the administration-specific data using
20 a rational mathematical model for calculating the pharmacokinetic and pharmacodynamic behaviour of the substances to be administered (parent substance and metabolite(s)) on the basis of the information present in the databases. In this connection, rational mathematical models can, for example, be allometric scaling functions or physiologically based pharmacokinetic models.

25 In a preferred embodiment of the invention, a physiologically based pharmacokinetic/pharmacodynamic simulation model is used to calculate the individual dosage. Particularly preferred is the dynamically generated physiologically based simulation model described in detail in WO2005/633982.

A particular advantage when using the physiologically based simulation model from WO2005/633982 is the possibility of dynamically simulating simultaneous administration of multiple medicaments and their interaction. In this connection, dynamically means that, in the interaction, the kinetics of the two (possibly, also, more than two) interacting substances can be taken into consideration. This is advantageous over a static consideration in which, for example, an enzyme or a transporter is completely or partly inhibited in a time-independent manner, since the dynamic simulation allows optimization of the dosing regimen. A possible result of such optimization of the dosing regimen is, for example, the maintenance of a maximum interval of, for example, 12 hours (for a once daily administration) when administering two interacting substances in order to minimize the mutual influence.

Particularly suitable for carrying out the method according to the invention is the systems biology software suite consisting of PK-Sim® and MoBi® from Bayer Technology Services GmbH.

Processes such as protein inhibition or induction are known to be time-dependent, and so interaction effects based on said processes are also likewise time-dependent. In specific cases, these dynamic effects, which take place on a time scale of several days or weeks, can require the need for adaptation of the dose of a medicament over the course of therapy. A simple static consideration or merely the issuing of a warning to the handler in the case of immediate administration of mutually influencing medicaments, as are known according to the prior art, does not do justice to such complex, dynamic effects.

Exemplarily, the method according to the invention is capable of simulating the steady-state plasma levels of the four substances tamoxifen, 4-hydroxytamoxifen, N-desmethyltamoxifen and endoxifen in breast cancer patients with differing CYP2D6 genotypes or phenotypes according to the tamoxifen dose. Through an adaptation of the tamoxifen dose, which may be necessary, and a simultaneous simulation of administration of increasing endoxifen dosages, the model makes it possible to address the question of the optimal dosage of the two active ingredients in CYP2D6 IMs and PMs. In this specific case, the steady-state plasma levels are the pharmacologically critical parameter; the precise time course of the plasma concentration is secondary

here. According to the invention, a suitable combination of substances is usually determined per genotype or phenotype, which combination compensates for the difference of said genotype or phenotype compared to the reference.

- 5 As dosage form, commercially available 20 mg tamoxifen tablet formulations with a once daily administration were taken as a basis, with none of the formulations being delayed or retarded on account of the formulation. Such a dosage form is, for example, described in the product information for Nolvadex® 20 mg film-coated tablets from Astra Zeneca or for Tamoxifen-rationpharm® 10 mg/20 mg/30 mg tablets from
10 Ratiopharm, in section 6.1 in both cases.

In the present example, it was possible to show that a combination consisting of 20 mg of tamoxifen and 3 mg of endoxifen in CYP2D6 PMs leads to plasma levels of tamoxifen, N-desmethyldtamoxifen, 4-hydroxytamoxifen and endoxifen that are
15 comparable to those in the case of sole administration of 20 mg of tamoxifen in CYP2D6 EMs. In CYP2D6 IMs, the combination of 20 mg of tamoxifen and 1 mg of endoxifen was found to be optimal (**figures 5-7**).

The present disclosure therefore provides:

- 20 - a fixed-dose combination formulation comprising 15–25 mg of tamoxifen and 0.25–5.0 mg of endoxifen.

More particularly:

- a fixed-dose combination formulation for CYP2D6 IM patients comprising 15–25 mg of tamoxifen and 0.25–2.00 mg of endoxifen, more particularly 18–
25 22 mg of tamoxifen and 0.5–1.5 mg of endoxifen, particularly preferably 20 mg of tamoxifen and 1.0 mg of endoxifen (**figure 8 A b**)) and
- a fixed-dose combination formulation for CYP2D6 PM patients comprising 15–25 mg of tamoxifen and 1.0–5.0 mg of endoxifen, more particularly 18–22 mg of tamoxifen and 2.0–4.0 mg of endoxifen, more particularly 20 mg of
30 tamoxifen and 3.0 mg of endoxifen (**figure 8 A c**)).

- Further components of the formulation according to the invention are known from the prior art. For the preparation of a formulation according to the invention, use is made of the formulation from, inter alia, the product information for Nolvadex® 20 mg film-coated tablets from Astra Zeneca or for Tamoxifen-rationpharm® 10mg/20mg/30mg tablets from Ratiopharm and Ahmad, A., et al., Endoxifen, a new cornerstone of breast cancer therapy: demonstration of safety, tolerability, and systemic bioavailability in healthy human subjects. Clin Pharmacol Ther, 2010. 88(6): p. 814-7 and US 2009-0291134 A1.
- 10 In order to achieve higher endoxifen exposures in breast cancer patients, the tamoxifen dose was, in the past, also increased on an experimental basis. Instead of the 20 mg of tamoxifen per day, which is effective in CYP2D6 EM, up to 40 mg of tamoxifen per day as two individual doses were administered in CYP2D6 IMs and PMs. However, even this severe increase in the dose of the parent substance did not lead to the
- 15 endoxifen concentrations observed in CYP2D6 EMs following a therapeutic dose of 20 mg of tamoxifen [Irvin, W.J., Jr., et al., Genotype-Guided Tamoxifen Dosing Increases Active Metabolite Exposure in Women With Reduced CYP2D6 Metabolism: A Multicenter Study. J Clin Oncol, 2011. 29(24): p. 3232-9.]. Therefore, a particular advantage of the described genotype- or phenotype-specific combined administration
- 20 of tamoxifen and endoxifen is that the tamoxifen exposure in CYP2D6 IMs and PMs is not greatly elevated compared to the CP2D6 EMs (in contrast to the increase in tamoxifen dose that is currently being propagated in the scientific community).
- However, since tamoxifen (and similarly the propagated non-fixed-dose combination
- 25 therapy of tamoxifen and endoxifen in CYP2D6 PMs and IMs) must be taken once daily over a long period (typically 5 years), a second difficulty of a potential combination therapy is that of ensuring best possible compliance. It is known that compliance (and thus the success of treatment) in the case of a medicamentous therapy drops with the number of tablets which must be taken. For this reason, it is
- 30 advantageous to combine tamoxifen and endoxifen to form an FDC. An FDC then contains in each case a defined dose of the two active ingredients, dependent on the

CYP2D6 genotype or phenotype (PM or IM), in the form of a single dosage form (e.g. tablet or capsule).

Thus, a further preferred embodiment of the invention is in each case a genotype- or
5 phenotype-specific fixed-dose combination of tamoxifen and endoxifen in the
aforementioned ratios.

The approach shown using the example of tamoxifen/endoxifen can also be readily
transferred to other combinations of parent substance plus one (or more) metabolites,
10 the formation of which is influenced by genotypic or phenotypic particularities and by
the phenomenon of "phenotype copying", which has already been mentioned above.
More particularly, for the optimization of codeine action, an FDC, more precisely a
genotype- or phenotype-specific FDC of codeine and morphine (the conversion of
which from codeine is likewise catalysed by CYP2D6), would be applicable.

15 Examples of further potential candidates would be, inter alia: ezlopitant, donepezil,
clopidogrel, cyclophosphamide, azathioprine, irinotecan, leflunomide, capecitabine,
prasugrel, venlafaxine, losartan, tolterodine, tramadol, oxycodone, hydrocodone,
doxorubicin, mycophenolate mofetil, estramustine, ifosfamide, gemcitabine, etoposide,
20 terfenadine, methotrexate.

The described disclosure of a pharmaceutical formulation, preferably an FDC,
containing a parent substance and one or more metabolites can be readily transferred to
other active-ingredient candidates. In the tamoxifen-endoxifen example detailed above,
25 the problem is the insufficient conversion of tamoxifen to endoxifen in patients having
a CYP2D6 IM or PM phenotype. As shown exemplarily, the combination of the
standard dose of the parent substance with a genotype- or phenotype-specific
endoxifen dose for CYP2D6 IMs or for CYP2D6 PMs in a fixed combined
pharmaceutical formulation can make up for this insufficiency and differences in the
30 therapy response are eliminated.

Essentially, the principle of a genotype- or phenotype-specific pharmaceutical
formulation, preferably an FDC, consisting of a parent substance and one or more

metabolites can be firstly transferred to all parent substances which, owing to a polymorphic enzyme, protein, receptor or transporter, are converted into one or more active metabolites and/or bound and/or transported and/or develop their pharmacodynamic action.

- 5 A further example of the conversion of a parent substance into an active metabolite via a polymorphic enzyme is clopidogrel. Clopidogrel inhibits blood coagulation, after it has been converted into its active metabolite, by blocking ADP-dependent thrombocyte activation via the glycoprotein IIb/IIIa receptor complex. Clopidogrel is converted into its active metabolite via, inter alia, the polymorphic enzyme CYP2C19.
- 10 CYP2C19 is subject to a pronounced genetic polymorphism. Similar to CYP2D6, CYP2C19 PMs can therefore be found in the population. Here, too, it is reasonable to suspect that patients having a CYP2C19 PM genotype or phenotype might not benefit sufficiently from therapy with clopidogrel [Simon T, Bhatt DL, Bergougnan L, Farenc C, Pearson K, Perrin L, Vicaut E, Lacrete F, Hurbin F, Dubar M.; Genetic polymorphisms and the impact of a higher clopidogrel dose regimen on active metabolite exposure and antiplatelet response in healthy subjects., Clin Pharmacol Ther. 2011 Aug;90(2):287-95.; Lee JB, Lee KA, Lee KY.; Cytochrome P450 2C19 polymorphism is associated with reduced clopidogrel response in cerebrovascular disease. Yonsei Med J. 2011 Sep;52(5):734-8.; Kazui M, Nishiya Y, Ishizuka T, Hagihara K, Farid NA, Okazaki O, Ikeda T, Kurihara A.; Identification of the human cytochrome P450 enzymes involved in the two oxidative steps in the bioactivation of clopidogrel to its pharmacologically active metabolite. Drug Metab Dispos. 2010 Jan;38(1):92-9.; Savi P, Pereillo JM, Uzabiaga MF, Combalbert J, Picard C, Maffrand JP, Pascal M, Herbert JM.; Identification and biological activity of the active metabolite of clopidogrel. Thromb Haemost. 2000 Nov, 84(5):891-6.; Cervinski MA, Schwab MC, Lefferts JA, Lewis LD, Lebel KA, Tyropolis AM, Pflueger SM, Tsongalis GJ.; Establishment of a CYP2C19 genotyping assay for clinical use. Am J Clin Pathol. 2013 Feb, 139(2):202-7; Frelinger AL 3rd, Lee RD, Mulford DJ, Wu J, Nudurupati S, Nigam A, Brooks JK, Bhatt DL, Michelson AD.; A randomized, 2-
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its active metabolite in order to make up for the insufficient formation of active metabolite in CYP2C19 PMs.

To determine the optimal reference steady-state plasma level, it is possible to use either determined data, or a pharmacokinetic model such as PK-Sim® and MoBi® which can calculate the plasma level after input of a reference dose.

Furthermore, the principle of a genotype- or phenotype-specific pharmaceutical formulation, preferably an FDC, consisting of a parent substance and one or more metabolites can be transferred to all parent substances which, by means of an enzyme, protein, receptor or transporter which can be inhibited/induced, are converted into one or more active metabolites and/or bound and/or transported and/or develop their pharmacodynamic action.

As already detailed above using the example of tamoxifen and the CYP2D6 inhibitor paroxetine, the required simultaneous administration of a pharmaceutical ingredient A and a pharmaceutical ingredient B, where A must be converted into an active metabolite via an enzyme in order to develop its entire action and B inhibits said enzyme, can in effect convert a patient from an EM genotype or phenotype into a PM genotype or phenotype. As a result of the medically indicated simultaneous administration of paroxetine, the patient is in effect converted into a CYP2D6 PM, which can, accordingly, convert less tamoxifen into endoxifen. Using the concept detailed above, it is likewise possible here to calculate a genotype- or phenotype-specific pharmaceutical formulation, preferably an FDC, consisting of tamoxifen and endoxifen which can make up for the insufficiency of endoxifen formation from tamoxifen owing to the inhibition of CYP2D6 caused by paroxetine.

Analogously, the concept would be applicable in the case of a required and medically indicated simultaneous administration of clopidogrel and the competitive CYP2C19 inhibitor omeprazole. A resulting reduced conversion of clopidogrel into its active metabolite can likewise be made up for, using the concept and method detailed above, by calculating a genotype- or phenotype-specific pharmaceutical formulation, preferably an FDC, consisting of clopidogrel and its active metabolite.

The concept explained above is also capable of compensating for a combination of a genetic polymorphism and an enzyme inhibition and/or enzyme induction which additively reduce/increase the same or different enzymes or proteins or receptors or transporters in terms of their activity. This is explained exemplarily using the example of a patient having a CYP2D6 PM genotype or phenotype who is receiving tamoxifen therapy and additionally requires the administration of paroxetine. The effect on the formation of endoxifen from tamoxifen via CYP2D6 can be taken into account by the principle detailed above and an optimal genotype- or phenotype-specific pharmaceutical formulation, preferably an FDC, consisting of tamoxifen and endoxifen can be calculated. Analogously, this can also be comprehended using the example of a patient having a CYP2C19 PM genotype or phenotype under clopidogrel therapy who now requires the administration of omeprazole.

Figures:

The figures illustrate the inventive concept for tamoxifen therapy and show the results of the tamoxifen/endoxifen FDC dose finding using PK-Sim® as per the method according to the invention as an example, without restricting the concept to said example.

Figure 1 shows an extract from the complex biotransformation scheme for tamoxifen in humans. About 90% of tamoxifen is metabolized to N-desmethyltamoxifen and about 7% to 4-hydroxytamoxifen. Endoxifen is formed from N-desmethyltamoxifen exclusively via the polymorphic cytochrome P450 (CYP) 2D6. The formation of 4-hydroxytamoxifen from tamoxifen occurs via the polymorphic CYP2D6 to an extent of about 50%. Thus, CYP2D6 is largely involved in the essential endoxifen formation steps [Coller, J. K., N. Krebsfaenger, et al. (2002). "The influence of CYP2B6, CYP2C9 and CYP2D6 genotypes on the formation of the potent antioestrogen Z-4-hydroxy-tamoxifen in human liver." Br J Clin Pharmacol 54(2): 157-167.; Desta, Z., B. A. Ward, et al. (2004). "Comprehensive evaluation of tamoxifen sequential biotransformation by the human cytochrome P450 system in vitro: prominent roles for CYP3A and CYP2D6." J Pharmacol Exp Ther 310(3): 1062-1075.; Kaku, T., K. Ogura, et al. (2004). "Quaternary ammonium-linked glucuronidation of tamoxifen by human liver microsomes and UDP-glucuronosyltransferase 1A4." Biochem Pharmacol

67(11): 2093-2102.; Murdter, T. E., W. Schroth, et al. (2011). "Activity levels of tamoxifen metabolites at the estrogen receptor and the impact of genetic polymorphisms of phase I and II enzymes on their concentration levels in plasma." Clin Pharmacol Ther 89(5): 708-717.; Nishiyama, T., K. Ogura, et al. (2002). "Reverse
 5 geometrical selectivity in glucuronidation and sulfation of cis- and trans-4-hydroxytamoxifens by human liver UDP-glucuronosyltransferases and sulfotransferases." Biochem Pharmacol 63(10): 1817-1830.; Sun, D., G. Chen, et al. (2006). "Characterization of tamoxifen and 4-hydroxytamoxifen glucuronidation by human UGT1A4 variants." Breast Cancer Res 8(4): R50.; Sun, D., A. K. Sharma, et al.
 10 (2007). "Glucuronidation of active tamoxifen metabolites by the human UDP glucuronosyltransferases." Drug Metab Dispos 35(11): 2006-2014.]

Figure 2 shows cytochrome P450 (CYP) 2D6 genotype- or phenotype-dependent steady-state concentrations of endoxifen in the context of tamoxifen therapy in patients
 15 of the CYP2D6 extensive metabolizer (EM), intermediate metabolizer (IM) or poor metabolizer (PM) phenotype. A gene dosage effect of the endoxifen concentration is evident: patients having two functional CYP2D6 alleles (EMs) show a distinctly higher endoxifen exposure than patients having only one CYP2D6 functional allele (IMs) or no functional CYP2D6 allele (PM). [Figures from (from top left to bottom right):
 20 [Kiyotani, K., T. Mushiroda, et al. (2010). "Significant effect of polymorphisms in CYP2D6 and ABCC2 on clinical outcomes of adjuvant tamoxifen therapy for breast cancer patients." J Clin Oncol 28(8): 1287-1293.; Murdter, T. E., W. Schroth, et al. (2011). "Activity levels of tamoxifen metabolites at the estrogen receptor and the impact of genetic polymorphisms of phase I and II enzymes on their concentration
 25 levels in plasma." Clin Pharmacol Ther 89(5): 708-717.; Lim, J. S., X. A. Chen, et al. (2011). "Impact of CYP2D6, CYP3A5, CYP2C9 and CYP2C19 polymorphisms on tamoxifen pharmacokinetics in Asian breast cancer patients." Br J Clin Pharmacol 71(5): 737-750.; Lim, H. S., H. Ju Lee, et al. (2007). "Clinical implications of CYP2D6 genotypes predictive of tamoxifen pharmacokinetics in metastatic breast cancer." J Clin Oncol 25(25): 3837-3845.; Borges, S., Z. Desta, et al. (2006). "Quantitative effect of CYP2D6 genotype and inhibitors on tamoxifen metabolism: implication for optimization of breast cancer treatment." Clin Pharmacol Ther 80(1):

61-74.; Jin, Y., Z. Desta, et al. (2005). "CYP2D6 Genotype, Antidepressant Use, and Tamoxifen Metabolism During Adjuvant Breast Cancer Treatment." *Journal of the National Cancer Institute* 97(1): 30-39.]

Figure 3 shows relapse-free survival curves (Kaplan-Meier) for breast cancer patients under tamoxifen therapy according to the cytochrome P450 (CYP) 2D6 extensive metabolizer (EM), intermediate (IM), or poor metabolizer (PM) genotype or phenotype. [Figures from (group 1 to 3): Schroth, W., M. P. Goetz, et al. (2009). "Association between CYP2D6 polymorphisms and outcomes among women with early stage breast cancer treated with tamoxifen." *JAMA* 302(13): 1429-1436.; Goetz, M. P., S. K. Knox, et al. (2007). "The impact of cytochrome P450 2D6 metabolism in women receiving adjuvant tamoxifen." *Breast Cancer Res Treat* 101(1): 113-121.; Goetz, M. P., J. M. Rae, et al. (2005). "Pharmacogenetics of tamoxifen biotransformation is associated with clinical outcomes of efficacy and hot flashes." *J Clin Oncol* 23(36): 9312-9318.]

Figure 4 shows a diagram of the compartments of the coupled physiologically based pharmacokinetic (PBPK) model as used in PK-Sim® for the simulation of the cytochrome P450 (CYP) 2D6 genotype- or phenotype-specific formation of N-desmethyltamoxifen, 4-hydroxytamoxifen and endoxifen following the administration of the parent substance tamoxifen or for the simulation of the simultaneous administration of tamoxifen and endoxifen according to the CYP2D6 genotype or phenotype and the resulting serum concentrations. In the intracellular compartment of the liver, tamoxifen gives rise to N-desmethyltamoxifen and 4-hydroxytamoxifen, and so the tamoxifen PBPK model acts as a developing function for the two primary metabolites. Analogously, the secondary metabolite endoxifen arises in the intracellular compartments of the PBPK models of N-desmethyltamoxifen and 4-hydroxytamoxifen.

Figure 5A shows coupled PBPK models for tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH), endoxifen (END) in CYP2D6 extensive metabolizer, intermediate metabolizer and poor metabolizer (EM/IM/PM) genotype or phenotype populations. Steady-state plasma concentrations of tamoxifen, N-desmethyltamoxifen, 4-hydroxytamoxifen and endoxifen following once daily

administration of 20 mg of tamoxifen over 1 year in example populations of European women of the cytochrome P450 (CYP) 2D6 extensive metabolizer (EM), intermediate metabolizer (IM) and poor metabolizer (PM) genotype or phenotype. Box-and-whisker plots show the 5th, 25th, 50th, 75th, and 95th percentiles of the respective populations.

- 5 Symbols represent experimental data for the model validation [from left to right: Gjerde, J. Geisler, et al. (2010). "Associations between tamoxifen, estrogens, and FSH serum levels during steady state tamoxifen treatment of postmenopausal women with breast cancer." BMC Cancer 10: 313.; Gjerde, J., M. Hauglid, et al. (2008). "Effects of CYP2D6 and SULT1A1 genotypes including SULT1A1 gene copy number on
- 10 tamoxifen metabolism." Ann Oncol 19(1): 56-61.; Madlensky, L., L. Natarajan, et al. (2011). "Tamoxifen metabolite concentrations, CYP2D6 genotype, and breast cancer outcomes." Clin Pharmacol Ther 89(5): 718-725.; Murdter, T. E., W. Schroth, et al. (2011). "Activity levels of tamoxifen metabolites at the estrogen receptor and the
- 15 levels in plasma." Clin Pharmacol Ther 89(5): 708-717.; Irvin, W. J., Jr., C. M. Walko, et al. (2011). "Genotype-Guided Tamoxifen Dosing Increases Active Metabolite Exposure in Women With Reduced CYP2D6 Metabolism: A Multicenter Study." J Clin Oncol 29(24): 3232-3239.]. **Figure 5B** shows an alternative depiction.

Figure 6A shows the result of the endoxifen dose finding using PK-Sim® as per the method according to the invention for the simultaneous administration with tamoxifen

20 in CYP2D6 IM patients. **Figure 6A** shows steady-state plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) following once daily administration of 20 mg of tamoxifen on a daily basis over 1 year in example populations of European patients with the cytochrome

25 P450 (CYP) 2D6 extensive metabolizer (EM) or intermediate metabolizer (IM) genotype or phenotype in comparison with experimental data from patients of the CYP2D6 EM genotype or phenotype. Steady-state plasma concentrations of tamoxifen, N-desmethyltamoxifen, 4-hydroxytamoxifen and endoxifen in example populations of European patients of the CYP2D6 IM genotype or phenotype following

30 simultaneous once daily administration of 20 mg of tamoxifen plus 0.5 mg or 1 mg or 1.5 mg of endoxifen, in addition, over 1 year. CYP2D6 IM patients who received 20 mg of tamoxifen plus 1 mg of endoxifen showed equivalent endoxifen concentrations

with respect to CYP2D6 EM patients who received 20 mg of tamoxifen once daily over 1 year. [From left to right: Gjerde, J. Geisler, et al. (2010). "Associations between tamoxifen, estrogens, and FSH serum levels during steady state tamoxifen treatment of postmenopausal women with breast cancer." *BMC Cancer* 10: 313.; Gjerde, J., M. Hauglid, et al. (2008). "Effects of CYP2D6 and SULT1A1 genotypes including SULT1A1 gene copy number on tamoxifen metabolism." *Ann Oncol* 19(1): 56-61.; Madlensky, L., L. Natarajan, et al. (2011). "Tamoxifen metabolite concentrations, CYP2D6 genotype, and breast cancer outcomes." *Clin Pharmacol Ther* 89(5): 718-725.; Murdter, T. E., W. Schroth, et al. (2011). "Activity levels of tamoxifen metabolites at the estrogen receptor and the impact of genetic polymorphisms of phase I and II enzymes on their concentration levels in plasma." *Clin Pharmacol Ther* 89(5): 708-717.; Irvin, W. J., Jr., C. M. Walko, et al. (2011). "Genotype-Guided Tamoxifen Dosing Increases Active Metabolite Exposure in Women With Reduced CYP2D6 Metabolism: A Multicenter Study." *J Clin Oncol* 29(24): 3232-3239] **Figure 6B** shows an alternative depiction. Serving as comparison are the determined steady-state trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) in European patients of the CYP2D6 EM genotype or phenotype following once daily administration of 20 mg of tamoxifen over 1 year, shown as a grey band (5th–95th percentiles) with a median (dark-grey line). CYP2D6 IM patients who received 20 mg of tamoxifen plus 1 mg of endoxifen showed equivalent endoxifen concentrations with respect to CYP2D6 EM patients who received 20 mg of tamoxifen once daily over 1 year.

Figure 7A shows the result of the endoxifen dose finding using PK-Sim® as per the method according to the invention for the simultaneous once daily administration with tamoxifen in CYP2D6 PM patients. **Figure 7A** shows steady-state plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) following administration of 20 mg of tamoxifen once daily over 1 year in example populations of European patients with the cytochrome P450 (CYP) 2D6 extensive metabolizer (EM) or poor metabolizer (PM) genotype or phenotype in comparison with experimental data from patients of the CYP2D6 EM genotype or phenotype. Steady-state plasma concentrations of tamoxifen, N-desmethyltamoxifen, 4-hydroxytamoxifen and endoxifen in example

populations of European patients of the CYP2D6 PM genotype or phenotype following simultaneous administration of 20 mg of tamoxifen plus 1 mg or 2 mg or 3 mg or 4 mg of endoxifen, in addition, over 1 year. CYP2D6 PM patients who received 20 mg of tamoxifen plus 3 mg of endoxifen showed equivalent endoxifen concentrations with respect to CYP2D6 EM patients who received 20 mg of tamoxifen once daily over 1 year. [From left to right: Gjerde, J. Geisler, et al. (2010). "Associations between tamoxifen, estrogens, and FSH serum levels during steady state tamoxifen treatment of postmenopausal women with breast cancer." *BMC Cancer* 10: 313.; Gjerde, J., M. Hauglid, et al. (2008). "Effects of CYP2D6 and SULT1A1 genotypes including SULT1A1 gene copy number on tamoxifen metabolism." *Ann Oncol* 19(1): 56-61.; Madlensky, L., L. Natarajan, et al. (2011). "Tamoxifen metabolite concentrations, CYP2D6 genotype, and breast cancer outcomes." *Clin Pharmacol Ther* 89(5): 718-725.; Murdter, T. E., W. Schroth, et al. (2011). "Activity levels of tamoxifen metabolites at the estrogen receptor and the impact of genetic polymorphisms of phase I and II enzymes on their concentration levels in plasma." *Clin Pharmacol Ther* 89(5): 708-717.; Irvin, W. J., Jr., C. M. Walko, et al. (2011). "Genotype-Guided Tamoxifen Dosing Increases Active Metabolite Exposure in Women With Reduced CYP2D6 Metabolism: A Multicenter Study." *J Clin Oncol* 29(24): 3232-3239.] **Figure 7B** shows an alternative depiction. Serving as comparison are the pre-determined steady-state trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) in European patients of the CYP2D6 EM genotype or phenotype following once daily administration of 20 mg of tamoxifen over 1 year, shown as a grey band (5th–95th percentiles) with a median (dark-grey line). CYP2D6 PM patients who received 20 mg of tamoxifen plus 3 mg of endoxifen showed equivalent endoxifen concentrations with respect to CYP2D6 EM patients who received 20 mg of tamoxifen once daily over 1 year.

Figure 8 shows genotype- or phenotype-based dosing of tamoxifen and endoxifen as a loose combination (A) or as an FDC (B).

Figures 9 and 10 show a diagram of the modular design of PK-Sim®.

Figures 11 to 14 show the influence of an initial breast cancer therapy with the fixed combination of 20 mg of tamoxifen and 3 mg of endoxifen on the attainment of the endoxifen steady-state concentrations, systematically investigated by means of the PBPK model for CYP2D6 EMs and IMs.

5

Figure 11 shows the result of the loading dose study using PK-Sim® as per the method according to the invention for the simultaneous administration of tamoxifen and endoxifen in CYP2D6 EM patients. **Figure 11** shows the trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) following simultaneous once daily administration of 20 mg of tamoxifen and 3 mg of endoxifen in European patients having the cytochrome P450 (CYP) 2D6 extensive metabolizer (EM) genotype or phenotype. Serving as comparison are the pre-determined steady-state trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) in European patients of the CYP2D6 EM genotype or phenotype following once daily administration of 20 mg of tamoxifen over 1 year, shown as a grey band (5th–95th percentiles) with a median (dark-grey line). Taken as the time point was the day before the day on which the median trough level of the endoxifen concentration first exceeds the median trough-level endoxifen concentration in the example population consisting of European patients of the CYP2D6 EM genotype or phenotype under standard therapy, in this case, day 9.

Figure 12 shows the result of the loading-dose control study using PK-Sim® as per the method according to the invention for the simultaneous administration of tamoxifen and endoxifen in CYP2D6 EM patients. **Figure 12** shows the trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) following simultaneous once daily administration of 20 mg of tamoxifen in European patients having the cytochrome P450 (CYP) 2D6 extensive metabolizer (EM) genotype or phenotype. Serving as comparison are the pre-determined steady-state trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) in European patients of the CYP2D6 EM genotype or phenotype following once daily administration of 20 mg of tamoxifen over 1 year, shown as a

grey band (5th–95th percentiles) with a median (dark-grey line). Taken as the time point was the day on which the median trough level of the endoxifen concentration first reaches the median trough-level endoxifen concentration in the example population consisting of European patients of the CYP2D6 EM genotype or phenotype under standard therapy, in this case, day 120.

Figure 13 shows the result of the loading dose study using PK-Sim® as per the method according to the invention for the simultaneous administration of tamoxifen and endoxifen in CYP2D6 IM patients. **Figure 13** shows the trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) following simultaneous once daily administration of 20 mg of tamoxifen and 3 mg of endoxifen in European patients having the cytochrome P450 (CYP) 2D6 intermediate metabolizer (IM) genotype or phenotype. Serving as comparison are the pre-determined steady-state trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) in European patients of the CYP2D6 EM genotype or phenotype following once daily administration of 20 mg of tamoxifen over 1 year, shown as a grey band (5th–95th percentiles) with a median (dark-grey line). Taken as the time point was the day before the day on which the median trough level of the endoxifen concentration first exceeds the median trough-level endoxifen concentration in the example population consisting of European patients of the CYP2D6 EM genotype or phenotype under standard therapy, in this case, day 13.

Figure 14 shows the result of the loading-dose control study using PK-Sim® as per the method according to the invention for the simultaneous administration of tamoxifen and endoxifen in CYP2D6 IM patients. **Figure 14** shows the trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) following simultaneous once daily administration of 20 mg of tamoxifen and 1 mg of endoxifen in European patients having the cytochrome P450 (CYP) 2D6 intermediate metabolizer (IM) genotype or phenotype. Serving as comparison are the pre-determined steady-state trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) in European patients of the CYP2D6

EM genotype or phenotype following once daily administration of 20 mg of tamoxifen over 1 year, shown as a grey band (5th–95th percentiles) with a median (dark-grey line). Taken as the time point was the day on which the median trough level of the endoxifen concentration first reaches the median trough-level endoxifen concentration in the example population consisting of European patients of the CYP2D6 EM genotype or phenotype under standard therapy, in this case, day 67.

In summary, the direct comparison between the administration of 20 mg of tamoxifen in CYP2D6 EMs or 20 mg of tamoxifen and 1 mg of endoxifen according to the invention in IMs and the administration according to the invention of 20 mg of tamoxifen and 3 mg of endoxifen in CYP2D6 EMs or IMs clearly shows that the endoxifen steady-state concentration is reached substantially faster with the administration of the FDC (consisting of 20 mg of tamoxifen and 3 mg of endoxifen), on average about 111 days or 54 days faster, than with the standard dose (consisting of 20 mg of tamoxifen for EMs and 20 mg of tamoxifen and 1 mg of endoxifen according to the invention).

Figures 15 to 18 show simulations in the investigation of non-compliance. The following scenarios were simulated:

Figure 15 shows the result of the compliance-dose study using PK-Sim® as per the method according to the invention for the simultaneous administration of tamoxifen and endoxifen in CYP2D6 EM patients. **Figure 15** shows the trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) following administration of 20 mg of tamoxifen once daily for 6 months and drug holidays of 2, 4, 8 and 12 weeks in duration in European patients having the cytochrome P450 (CYP) 2D6 extensive metabolizer (EM) genotype or phenotype. This was subsequently followed by the simultaneous once daily administration of 20 mg of tamoxifen and 3 mg of endoxifen. Serving as comparison are the pre-determined steady-state trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) in European patients of the CYP2D6 EM genotype or phenotype following once daily administration of 20 mg of tamoxifen

- over 1 year, shown as a grey band (5th–95th percentiles) with a median (dark-grey line). Taken as the time point was the day before the day on which the median trough level of the endoxifen concentration first exceeds the median trough-level endoxifen concentration in the example population consisting of European patients of the
- 5 CYP2D6 EM genotype or phenotype under standard therapy, in this case, day 2 after the start of FDC intake in the case of the 2-week drug holiday, day 3 after the start of FDC intake in the case of the 4-week drug holiday, day 7 after the start of FDC intake in the case of the 8-week drug holiday, and day 9 after the start of FDC intake in the case of the 12-week drug holiday.
- 10 Figure 16 shows the result of the compliance-dose control study using PK-Sim® as per the method according to the invention for the simultaneous administration of tamoxifen and endoxifen in CYP2D6 EM patients. Figure 16 shows the trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-
- 15 hydroxytamoxifen (4OH) and endoxifen (END) following administration of 20 mg of tamoxifen once daily for 6 months and drug holidays of 2, 4, 8 and 12 weeks in duration in European patients having the cytochrome P450 (CYP) 2D6 extensive metabolizer (EM) genotype or phenotype. This was subsequently followed by the once daily administration of 20 mg of tamoxifen. Serving as comparison are the pre-
- 20 determined steady-state trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) in European patients of the CYP2D6 EM genotype or phenotype following once daily administration of 20 mg of tamoxifen over 1 year, shown as a grey band (5th–95th percentiles) with a median (dark-grey line). Taken as the time point was the day on
- 25 which the median trough level of the endoxifen concentration first reaches the median trough-level endoxifen concentration in the example population consisting of European patients of the CYP2D6 EM genotype or phenotype under standard therapy, in this case, day 269 after the start of FDC intake in the case of the 2-week drug holiday, day 334 after the start of FDC intake in the case of the 4-week drug holiday, day >336 after the start of FDC intake in the case of the 8-week drug holiday, and day >336 after the
- 30 start of FDC intake in the case of the 12-week drug holiday.

Figure 17 shows the result of the compliance-dose study using PK-Sim® as per the method according to the invention for the simultaneous administration of tamoxifen and endoxifen in CYP2D6 IM patients. **Figure 17** shows the trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) following simultaneous administration of 20 mg of tamoxifen and 1 mg of endoxifen once daily for 6 months and drug holidays of 2, 4, 8 and 12 weeks in duration in European patients having the cytochrome P450 (CYP) 2D6 intermediate metabolizer (IM) genotype or phenotype. This was subsequently followed by the simultaneous once daily administration of 20 mg of tamoxifen and 3 mg of endoxifen. Serving as comparison are the pre-determined steady-state trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) in European patients of the CYP2D6 EM genotype or phenotype following once daily administration of 20 mg of tamoxifen over 1 year, shown as a grey band (5th–95th percentiles) with a median (dark-grey line). Taken as the time point was the day before the day on which the median trough level of the endoxifen concentration first exceeds the median trough-level endoxifen concentration in the example population consisting of European patients of the CYP2D6 EM genotype or phenotype under standard therapy, in this case, day 4 after the start of FDC intake in the case of the 2-week drug holiday, day 7 after the start of FDC intake in the case of the 4-week drug holiday, day 10 after the start of FDC intake in the case of the 8-week drug holiday, and day 11 after the start of FDC intake in the case of the 12-week drug holiday.

Figure 18 shows the result of the compliance-dose control study using PK-Sim® as per the method according to the invention for the simultaneous administration of tamoxifen and endoxifen in CYP2D6 IM patients. **Figure 18** shows the trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) following simultaneous administration of 20 mg of tamoxifen and 1 mg of endoxifen once daily for 6 months and drug holidays of 2, 4, 8 and 12 weeks in duration in European patients having the cytochrome P450 (CYP) 2D6 intermediate metabolizer (IM) genotype or phenotype. This was subsequently followed by the once daily simultaneous administration of 20 mg of tamoxifen and 1 mg of endoxifen. Serving as comparison are the pre-determined

steady-state trough plasma concentrations of tamoxifen (TAM), N-desmethyltamoxifen (NDM), 4-hydroxytamoxifen (4OH) and endoxifen (END) in European patients of the CYP2D6 EM genotype or phenotype following once daily administration of 20 mg of tamoxifen over 1 year, shown as a grey band (5th–95th percentiles) with a median (dark-grey line). Taken as the time point was the day on which the median trough level of the endoxifen concentration first reaches the median trough-level endoxifen concentration in the example population consisting of European patients of the CYP2D6 EM genotype or phenotype under standard therapy, in this case, day 217 after the start of FDC intake in the case of the 2-week drug holiday, day 250 after the start of FDC intake in the case of the 4-week drug holiday, day 283 after the start of FDC intake in the case of the 8-week drug holiday, and day 315 after the start of FDC intake in the case of the 12-week drug holiday.

In summary, the simulation results from **figures** 15 to 18 show that the fixed combined administration of 20 mg of tamoxifen and 3 mg of endoxifen is advantageous for speeding up the attainment of the effective steady-state concentrations of endoxifen in the event of non-compliance.

Patentkrav

- 1.** Fiksert dosekombinasjon av farmasøytisk formulering som inneholder en
5 tamoksifenforeldermasse, hvis virkning er avhengig av mengden eller aktiviteten av
uttrykte proteinvarianter, enzymvarianter, reseptorvarianter eller transportvarianter, og
minst endoksifen, én av metabolittene av tamoksifenforelderstoffet, som omfatter
15–25 mg av tamoksifen og 0,25–5,0 mg av endoksifen.
- 10 **2.** Formulering ifølge krav 1 for CYP2D6 IM-pasienter som omfatter 15–25 mg av
tamoksifen og 0,25–2,00 mg av endoksifen.
- 3.** Formulering ifølge krav 1 for CYP2D6 PM-pasienter som omfatter 15–25 mg av
tamoksifen og 1,0–5,00 mg av endoksifen.

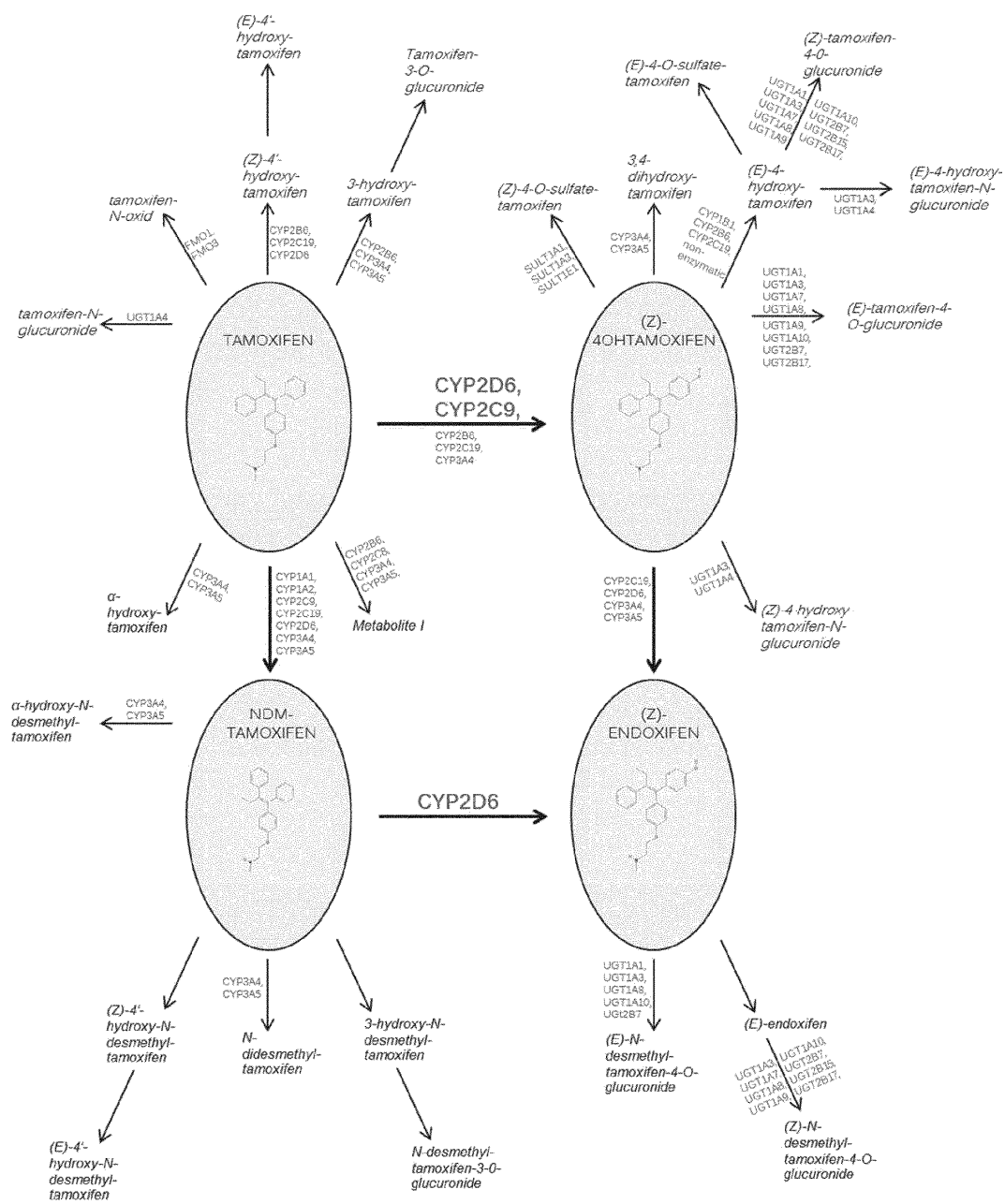


Abbildung 1

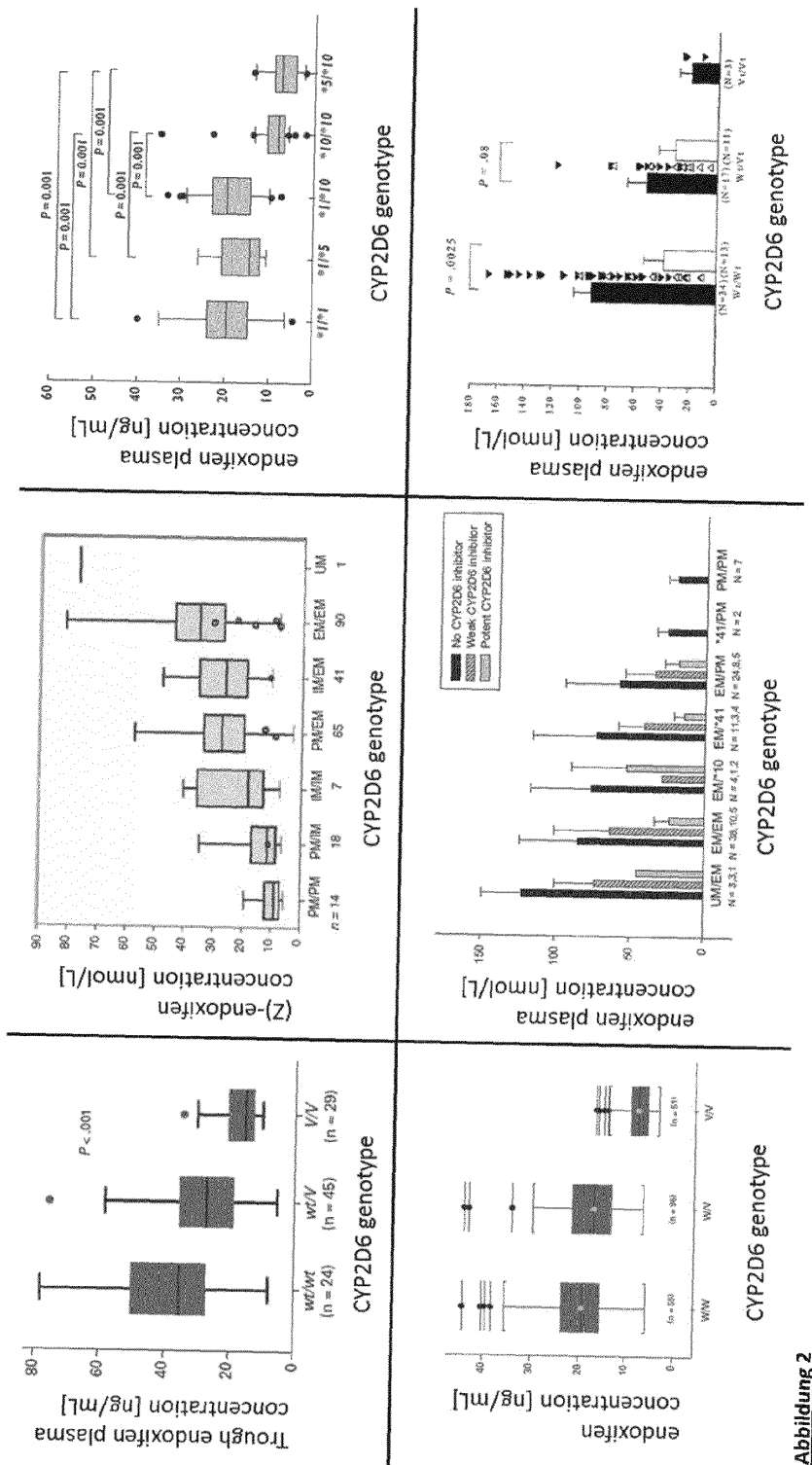


Abbildung 2

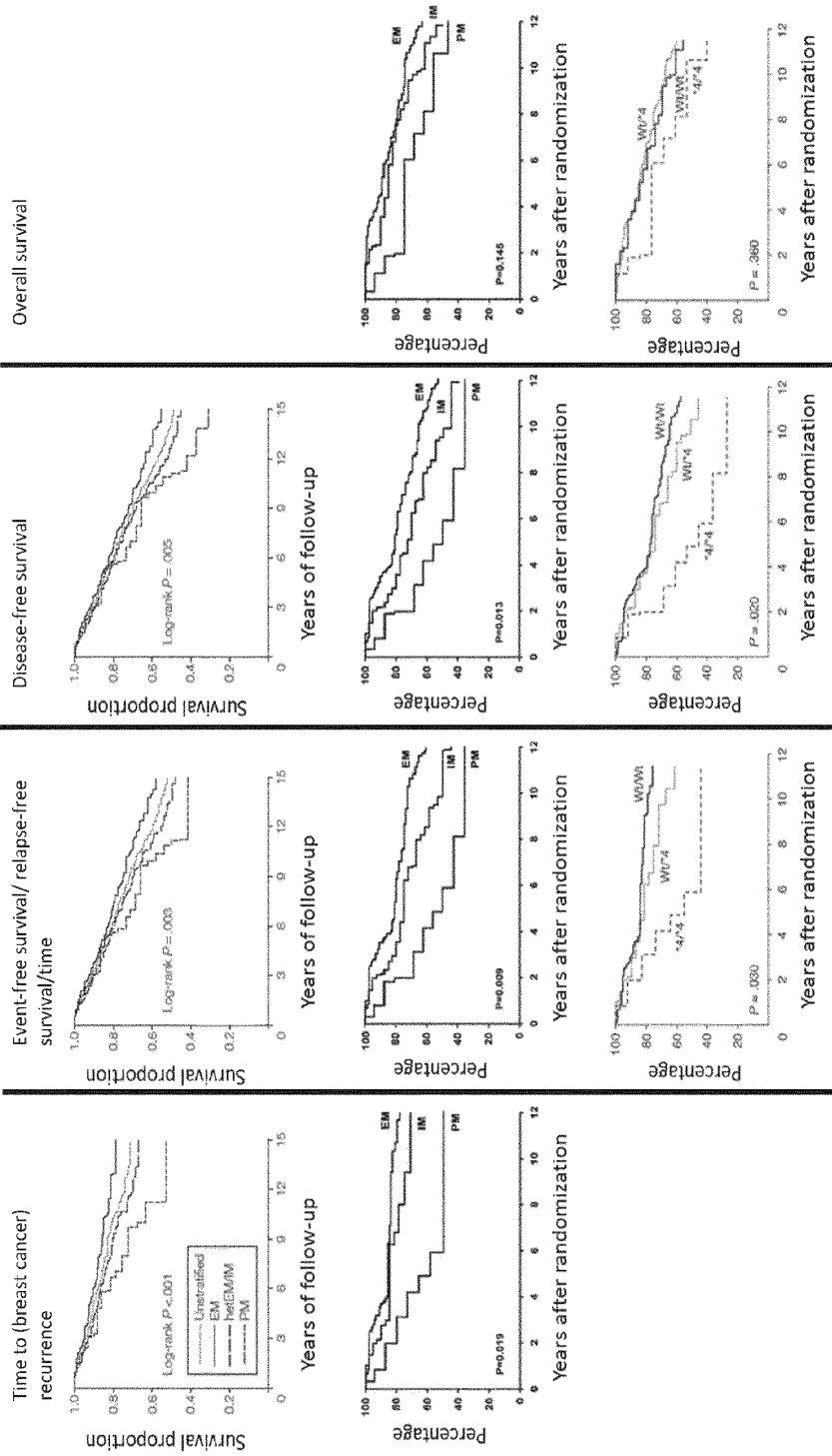


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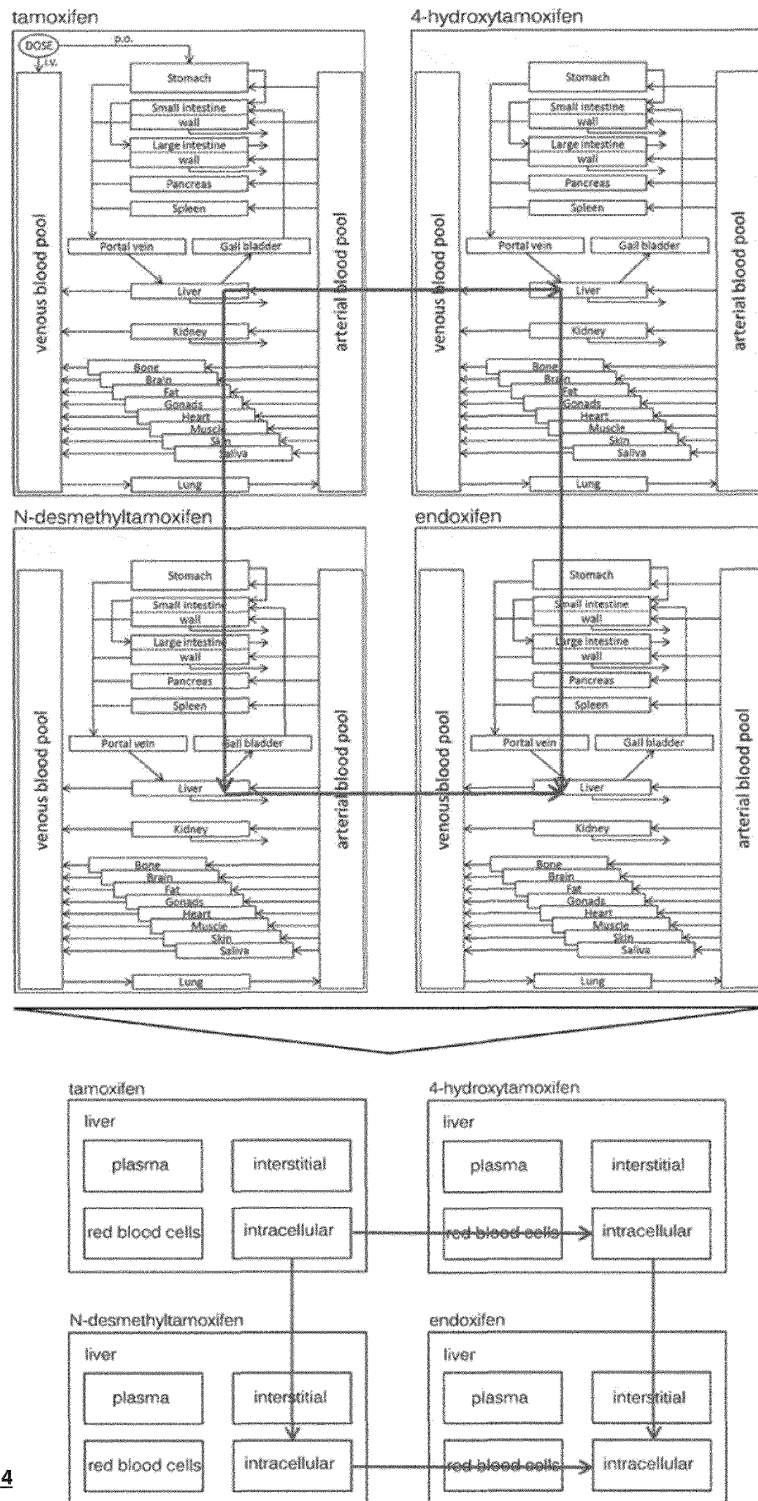


Abbildung 4

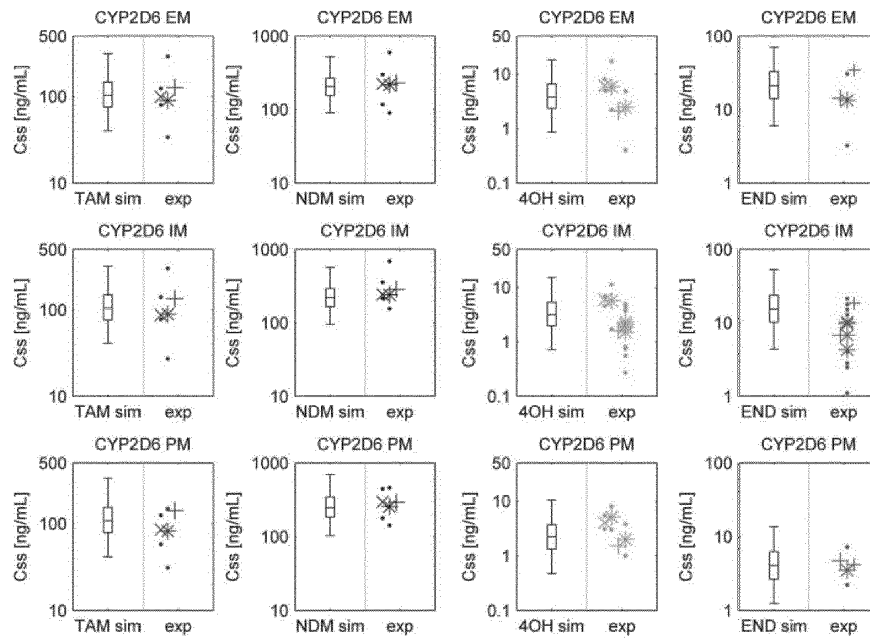


Abbildung 5A

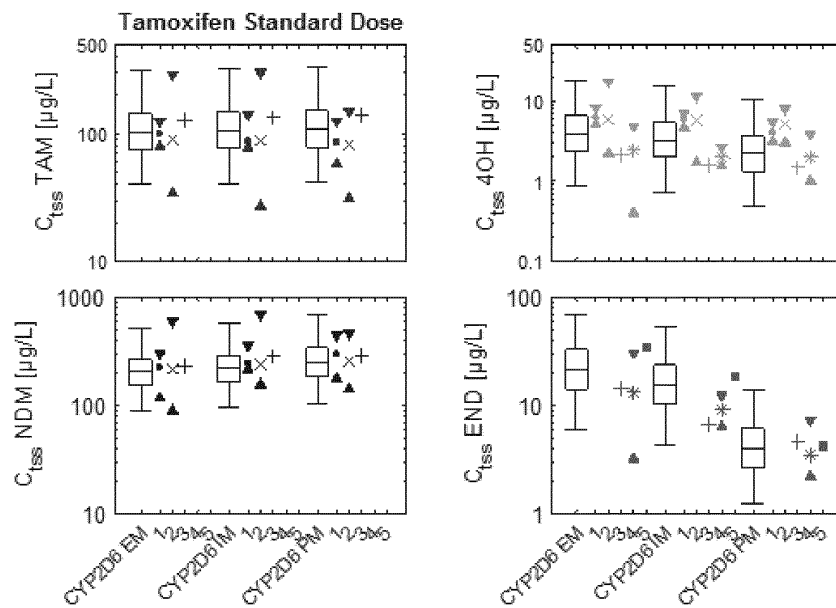


Abbildung 5B

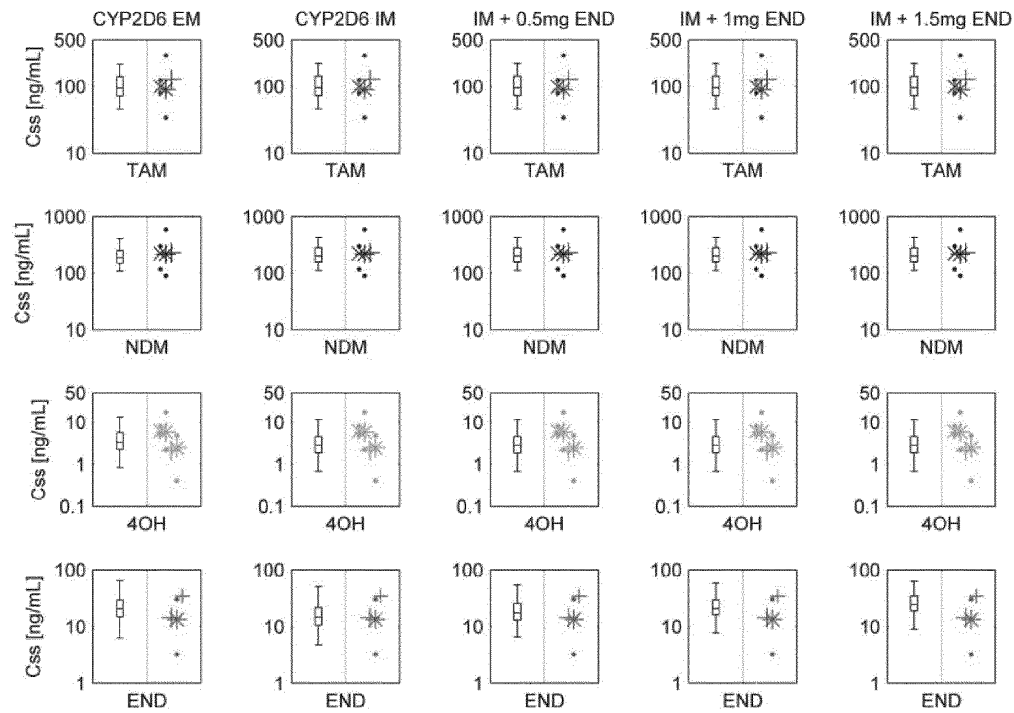


Abbildung 6A

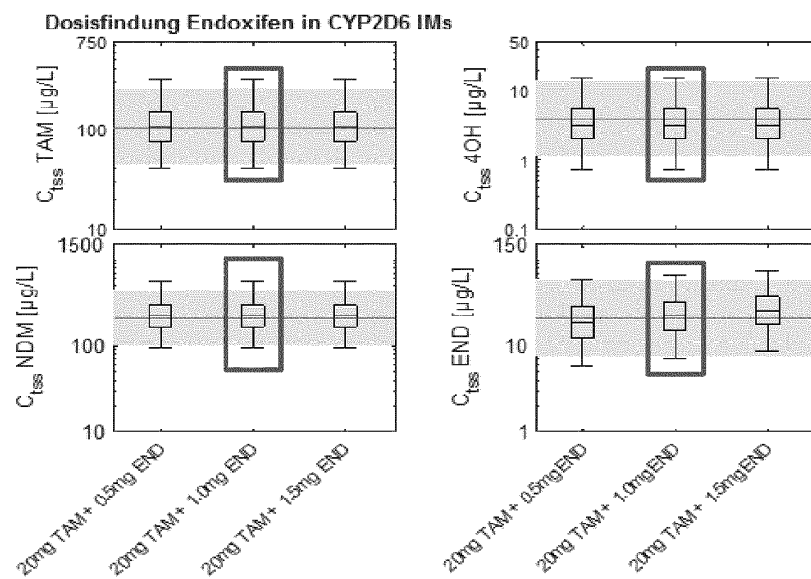


Abbildung 6B

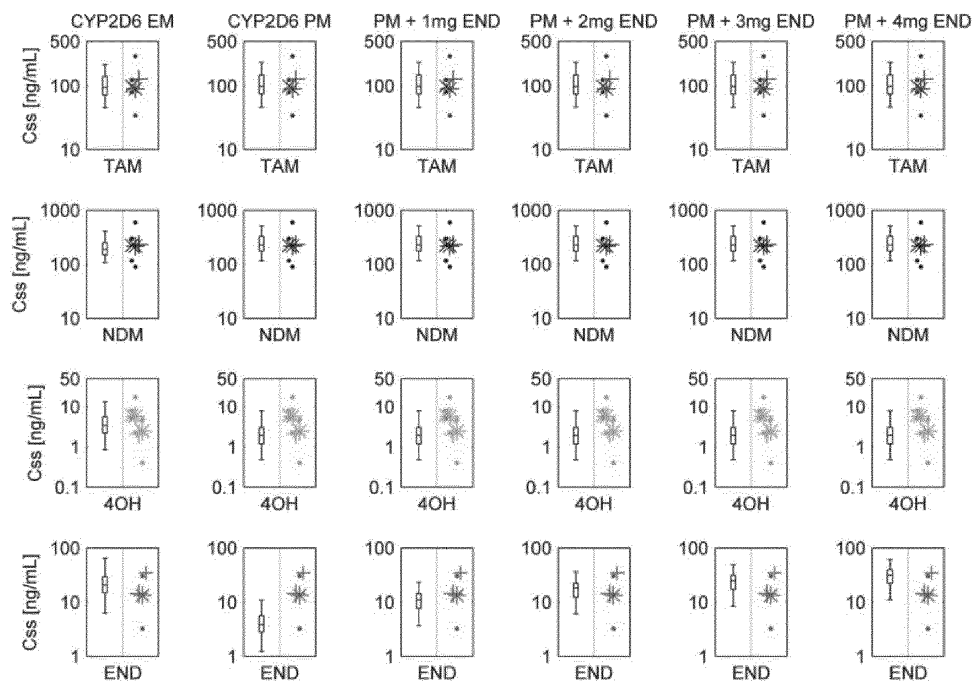


Abbildung 7A

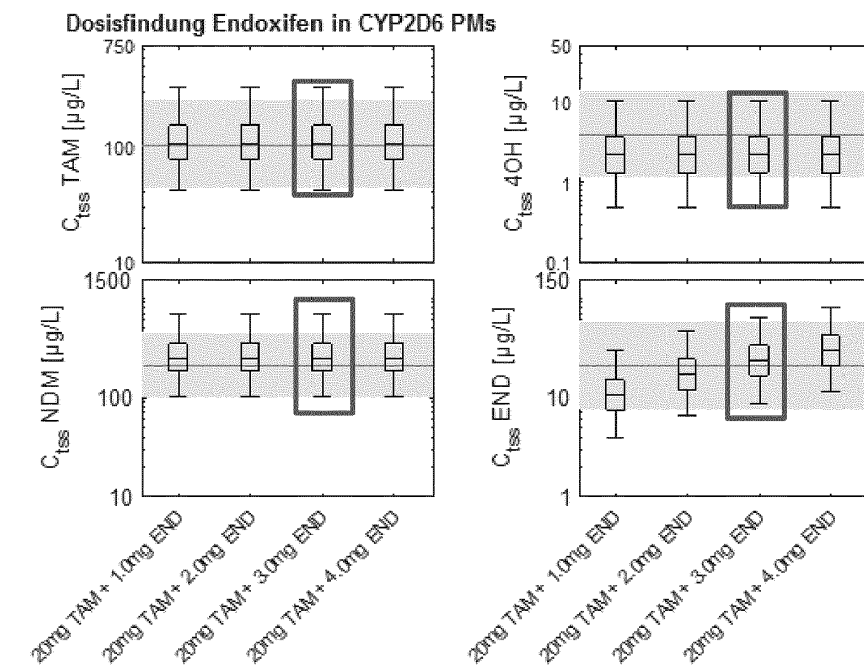








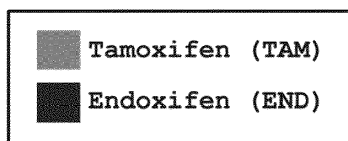
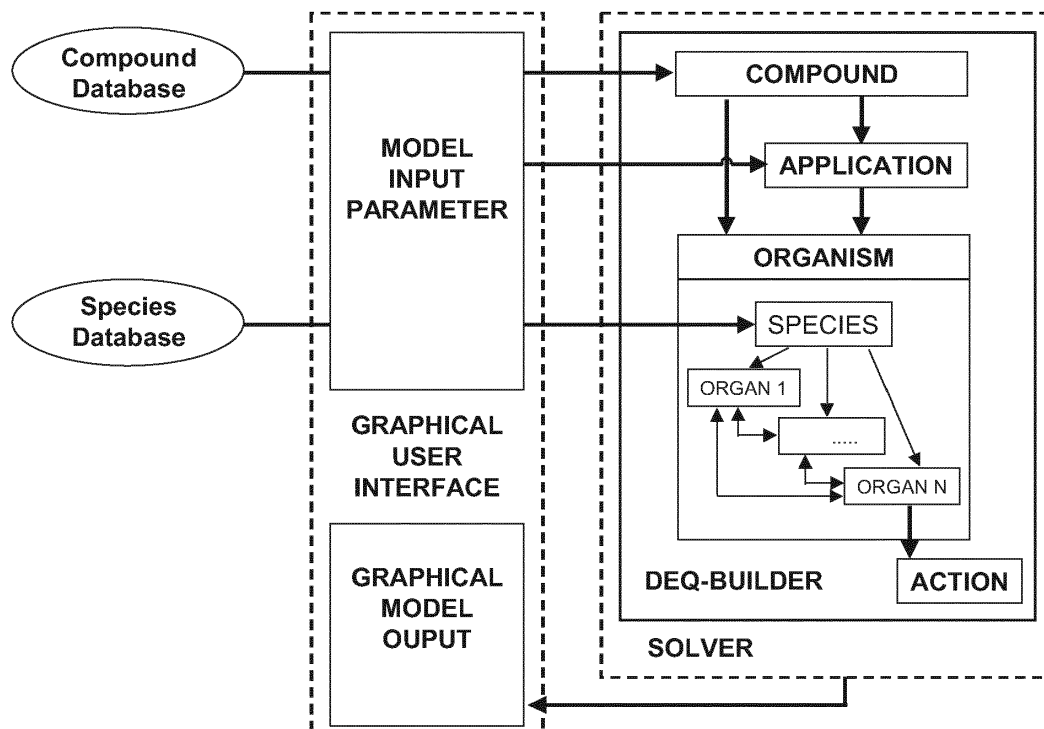
Abbildung 7B

A: Lose Kombination von Tamoxifen und Endoxifen

- a)  20 mg TAM + 0 mg END (für CYP2D6 EMs und UMs)
- b)  + ● 20 mg TAM + 1 mg END (für CYP2D6 IMs)
- c)  + ● 20 mg TAM + 3 mg END (für CYP2D6 PMs)

B: FDC bestehend aus Tamoxifen und Endoxifen

- a)  20 mg TAM + 0 mg END (für CYP2D6 EMs und UMs)
- b)  20 mg TAM + 1 mg END (für CYP2D6 IMs)
- c)  20 mg TAM + 3 mg END (für CYP2D6 PMs)

Abbildung 8Abbildung 9

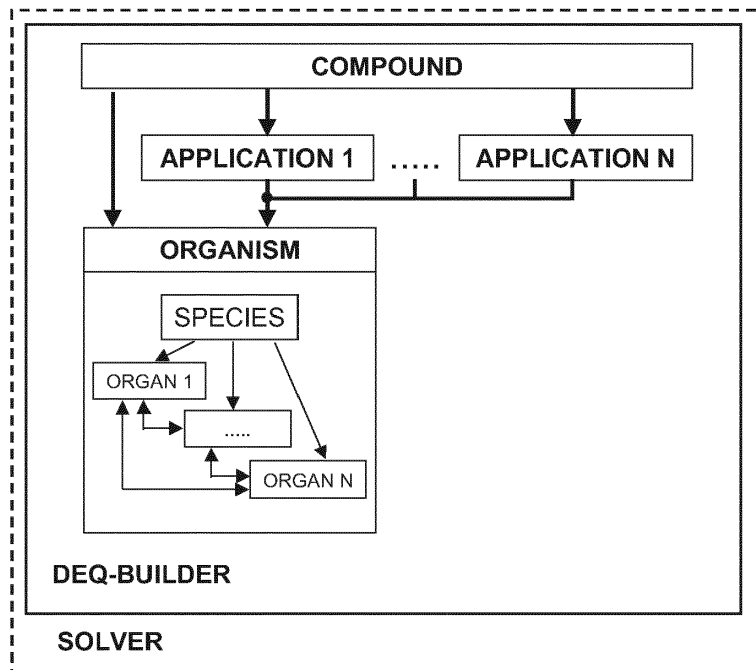


Abbildung 10

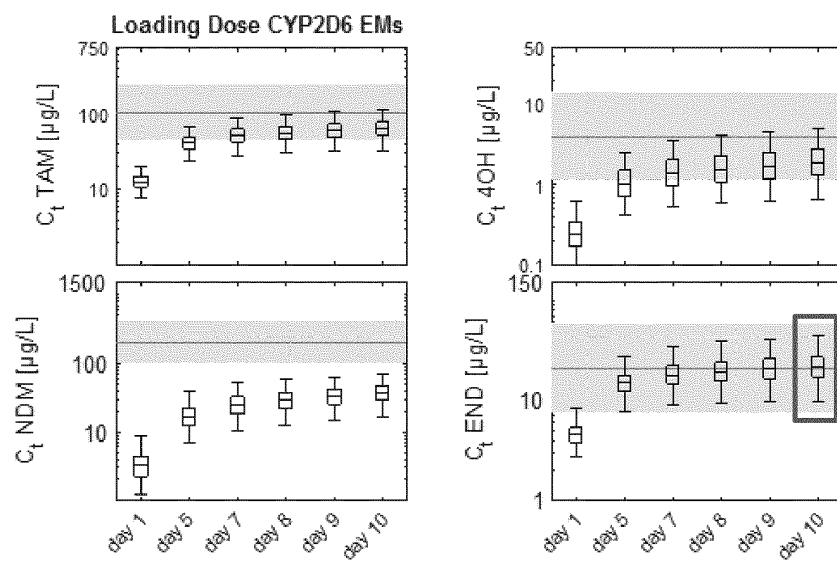
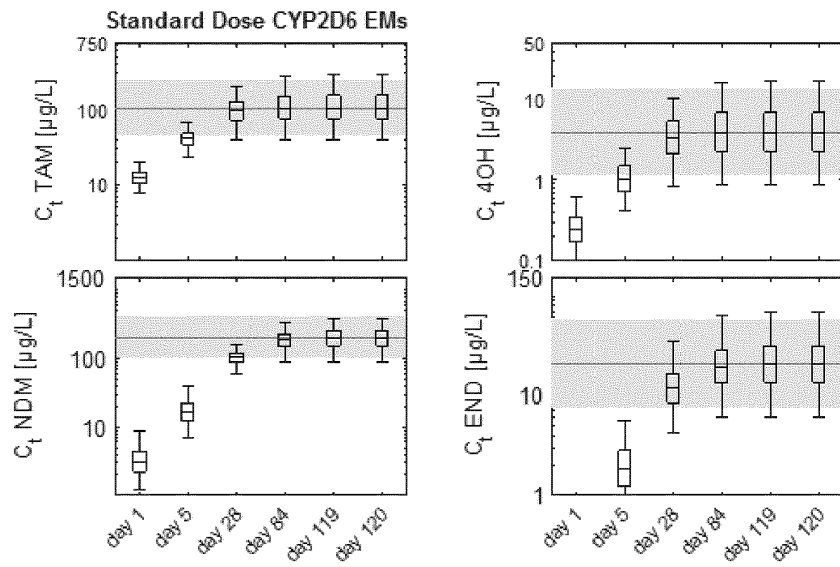
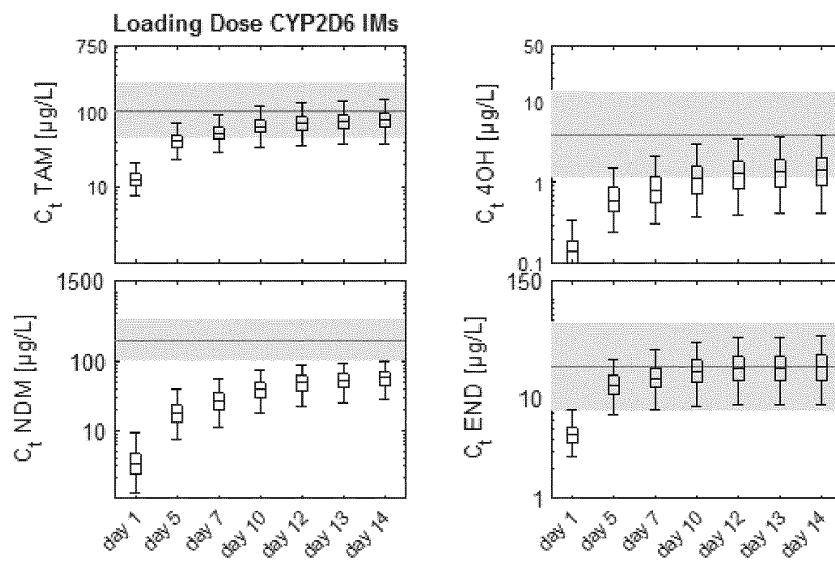
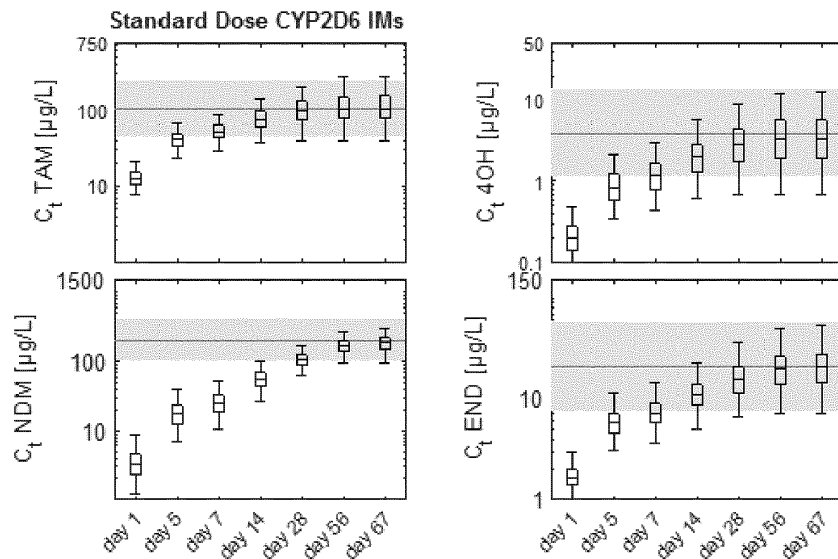
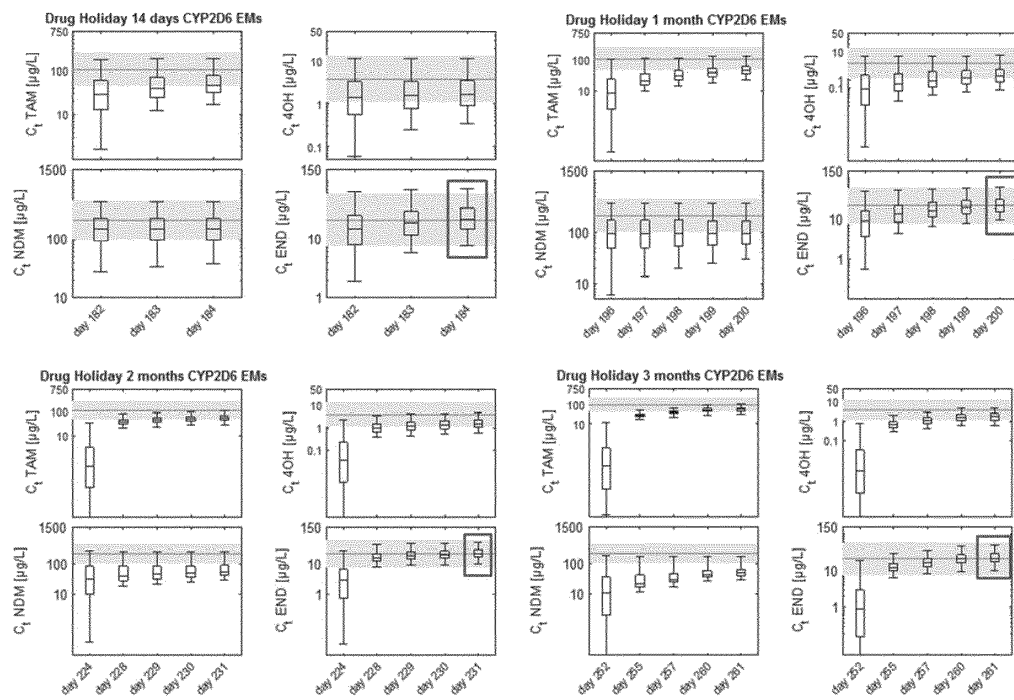


Abbildung 11

**Abbildung 12****Abbildung 13**

**Abbildung 14****Abbildung 15**

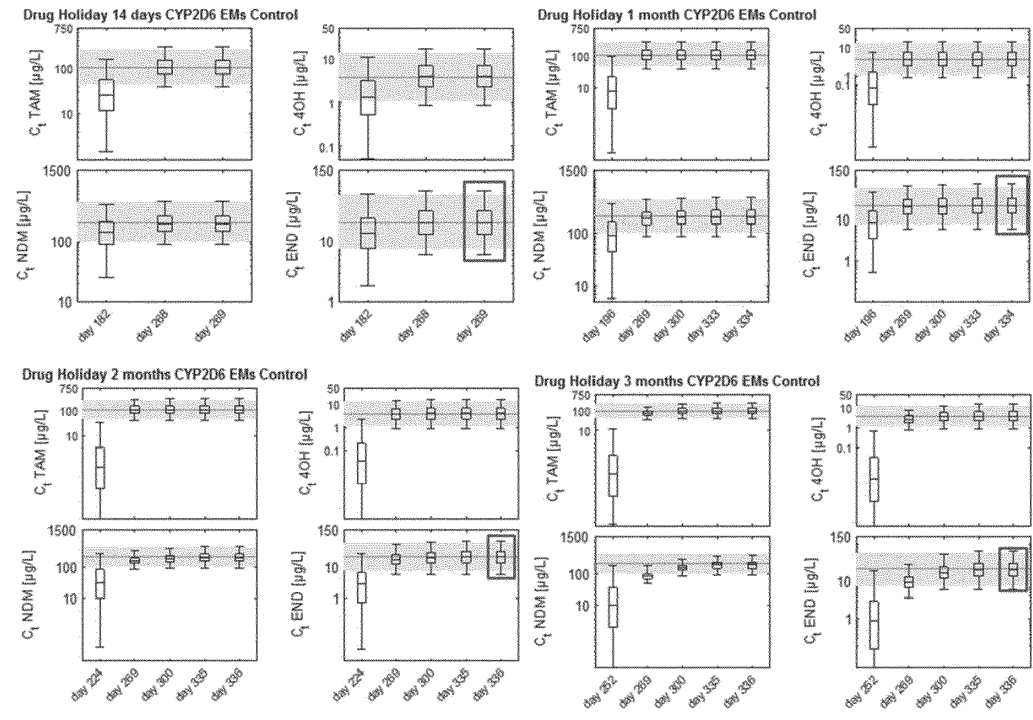


Abbildung 16

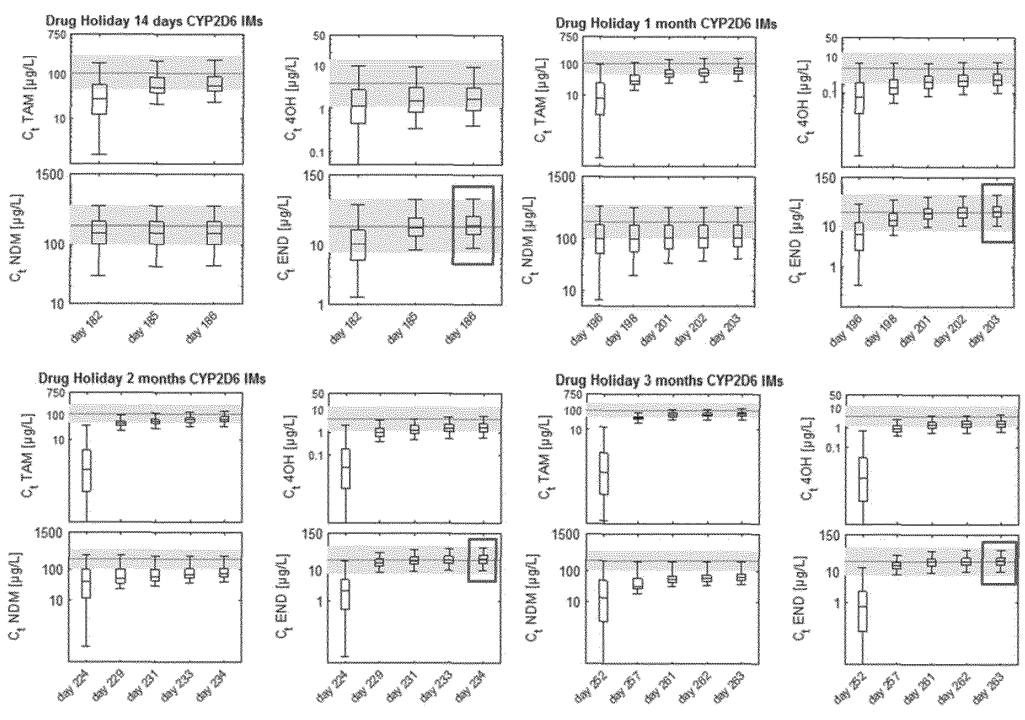
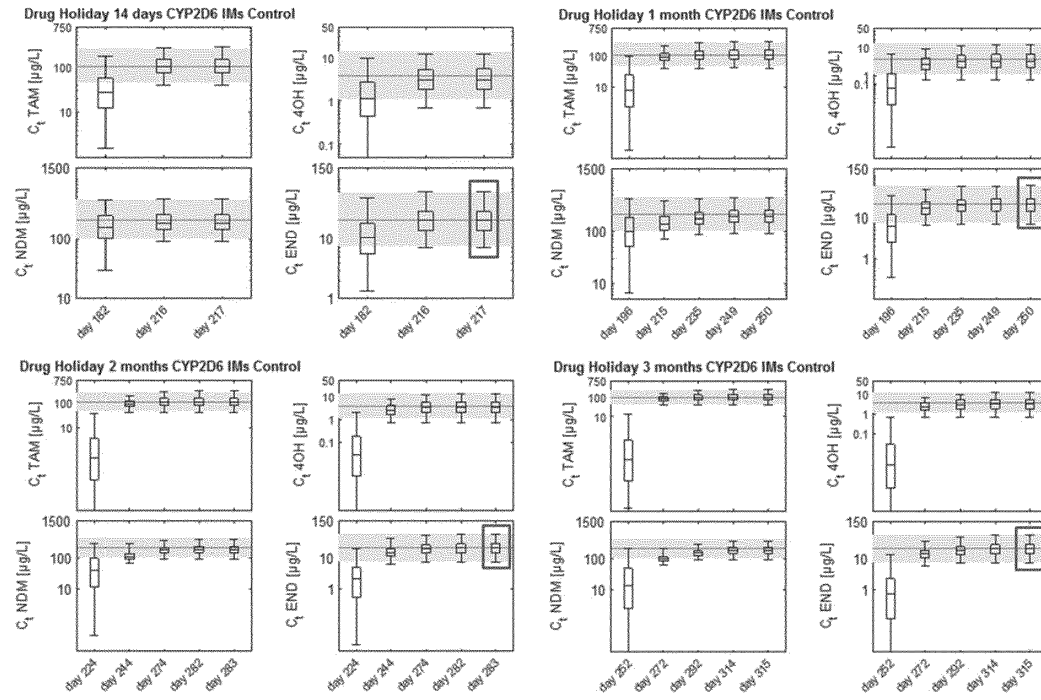


Abbildung 17

**Abbildung 18**