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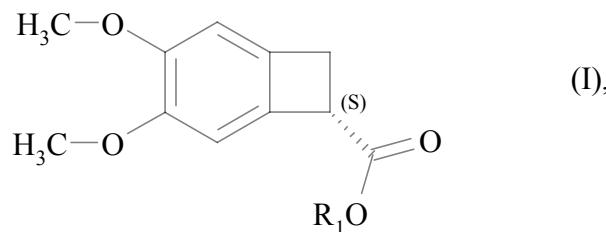
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| (73) | Innehaver | Les Laboratoires Servier, 35, rue de Verdun, 92284 Suresnes Cedex, FR-Frankrike |
| (72) | Oppfinner | Pedragosa Moreau, Sandrine, 79 bis rue Vieille Levée, 45100 ORLEANS, FR-Frankrike Lefoulon, François, 33, rue Jules Lemaître, 45000 ORLEANS, FR-Frankrike |
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| (54) | Benevnelse | Method for enzymatic synthesis of (7S)-3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylic acid or the esters thereof, and use for the synthesis of ivabradine and the salts thereof |
| (56) | Anførte publikasjoner | EP-A1- 2 145 871, WO-A1-2011/138625 Paravidino M. et al: "Chapter 8.2.2.1 Resolution of carboxylates with a non-functionalized stereogenic center at the alpha-position" In: Drauz K et al: "Enzyme Catalysis in Organic Synthesis", 1 février 2012 (2012-02-01), Wiley-VCH, Weinheim, Germany, XP002685584, ISBN: 978-3-527-32547-4 vol. 1, pages 266-270, * le document en entier * JÖRG PIETRUSZKA ET AL: "Dynamic Enzymatic Kinetic Resolution of Methyl 2,3-Dihydro-1 H-indene-1-carboxylate", EUROPEAN JOURNAL OF ORGANIC CHEMISTRY, vol. 2009, no. 35, 1 décembre 2009 (2009-12-01), pages 6217-6224, XP055041500, ISSN: 1434-193X, DOI: 10.1002/ejoc.200901025 FAZLENA H ET AL: "Dynamic kinetic resolution: alternative approach in optimizing S-ibuprofen production", BIOPROCESS AND BIOSYSTEMS ENGINEERING, SPRINGER, BERLIN, DE, vol. 28, no. 4, 1 mars 2006 (2006-03-01), pages 227-233, XP019347388, ISSN: 1615-7605, DOI: 10.1007/S00449-005-0024-1 HAN-YUAN LIN ET AL: "Dynamic kinetic resolution of (R, S)-naproxen 2,2,2-trifluoroethyl ester via lipase-catalyzed hydrolysis in micro-aqueous isoctane", JOURNAL OF MOLECULAR CATALYSIS B: ENZYMATIC, vol. 24-25, 1 octobre 2003 (2003-10-01), pages 111-120, XP055041511, ISSN: 1381-1177, DOI: 10.1016/S1381-1177(03)00145-0 |

Description

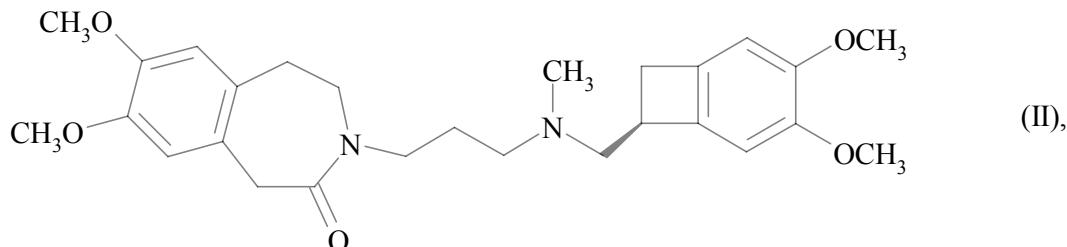
The present invention relates to a process for the enzymatic synthesis of the compound of formula (I):

5



wherein R_1 represents a hydrogen atom or a $\text{C}_1\text{-}\text{C}_6$ alkyl group, preferably methyl,

and also to its application in the synthesis of ivabradine of formula (II):



or $3\text{-}\{3\text{-}\{[(7S)\text{-}3,4\text{-dimethoxybicyclo[4.2.0]octa-1,3,5-trien-7-yl]methyl}\}(\text{methyl})\text{amino}\}\text{propyl}\}\text{-}7,8\text{-dimethoxy-1,3,4,5-tetrahydro-2H-3-$

10 benzazepin-2-one,

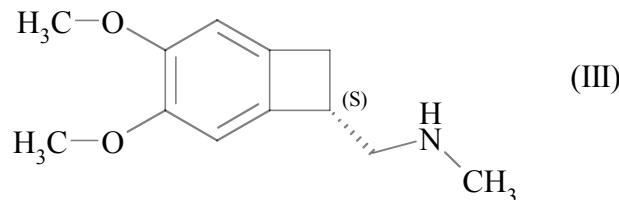
its addition salts with a pharmaceutically acceptable acid and their hydrates.

Ivabradine, and addition salts thereof with a pharmaceutically acceptable acid, and more especially the hydrochloride thereof, have very valuable pharmacological and therapeutic properties, especially bradycardic properties, which render those compounds useful in the treatment or prevention of various clinical conditions of myocardial ischaemia, such as angina pectoris, myocardial infarction and associated rhythm disorders, as well as in various pathologies involving rhythm disorders, especially supraventricular rhythm disorders, and in heart failure.

20 The preparation and therapeutic use of ivabradine and addition salts thereof with a pharmaceutically acceptable acid, and more especially the hydrochloride thereof, have been described in European patent specification EP 0 534 859.

That patent specification describes the synthesis of ivabradine hydrochloride starting from the compound of formula (III), (7S)-1-(3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-trien-7-yl) N-methyl methanamine:

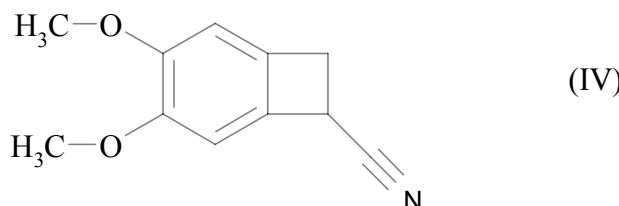
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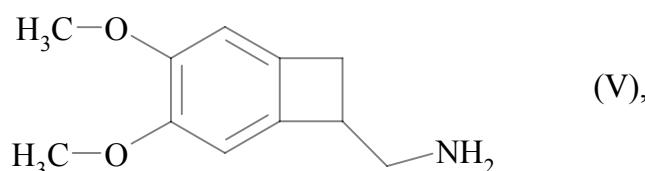
The compound of formula (III) is a key intermediate in the synthesis of ivabradine and its pharmaceutically acceptable salts.

The prior art discloses several methods for obtaining the compound of formula (III).

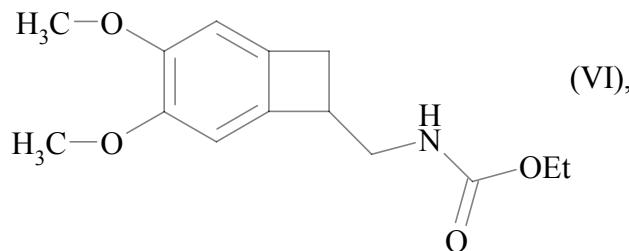
Patent specification EP 0 534 859 describes the synthesis of the compound of
10 formula (III) by reduction of the racemic nitrile of formula (IV):



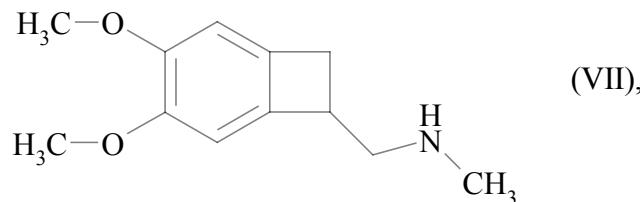
by BH_3 in tetrahydrofuran,
followed by addition of hydrochloric acid, to yield the hydrochloride of the racemic
15 amine of formula (V):



which is reacted with ethyl chloroformate to yield the carbamate of formula (VI):



the reduction of which, by LiAlH₄, yields the racemic methylated amine of formula (VII):

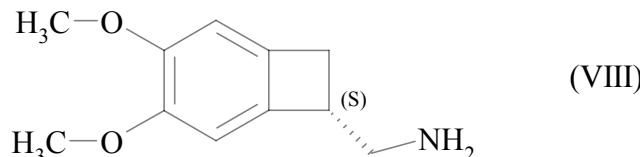


- 5 the resolution of which, using camphorsulphonic acid, yields the compound of formula (III).

That method has the disadvantage of yielding the compound of formula (III) in only a very low yield of 2 to 3 % starting from the racemic nitrile of formula (IV).

- 10 That very low yield is due to the low yield (4 to 5 %) of the step of resolution of the secondary amine of formula (VII).

Patent specification EP 1 598 333 describes obtaining the compound of formula (III) by resolution of the racemic primary amine of formula (V) into the optically active amine of formula (VIII):



15

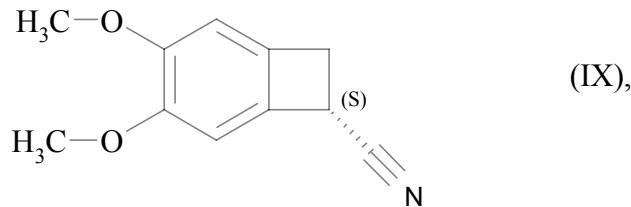
using N-acetyl-L-glutamic acid,

followed by methylation using the same reaction sequence as above (conversion into the carbamate, followed by reduction).

The yield of the resolution step is 39 %.

20

Patent specification EP 2 166 004 describes obtaining the compound of formula (III) by optical resolution of the racemic nitrile of formula (IV) by chiral chromatography to yield the optically pure nitrile of formula (IX):



which is reduced by NaBH₄ to yield the primary amine of formula (VIII), which is then methylated using the same reaction sequence as above (conversion into the carbamate, followed by reduction).

- 5 The yield of the resolution step is 45 %.

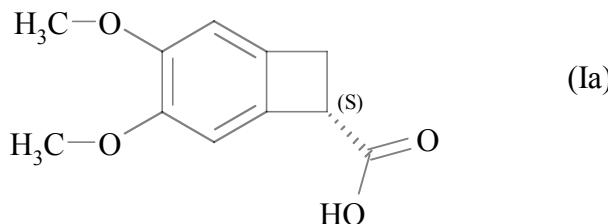
Patent specification WO2011138625 describes obtaining the compound of formula (III) by hydrolysis of the racemic nitrile of formula (IV) to yield the racemic acid of formula (X) (see hereinbelow), the resolution of which using a chiral base yields the optically pure acid of formula (Ia) (see hereinbelow). The acid (Ia) is then
10 converted into the compound of formula (III).

The problem of the present invention was to obtain the compound of formula (III) using an effective process, especially having a good yield, more especially for the resolution step.

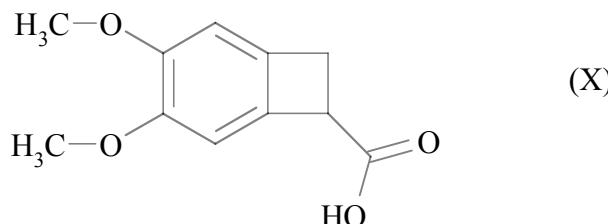
15 The use of biocatalysis for enabling chiral molecules to be obtained appears to be increasingly valuable as an alternative to traditional organic synthesis. Indeed, the use of enzymes which have intrinsic natural properties such as chemo-, regio- and stereo-selectivity makes it possible for enzymes to be used as reagents in green chemistry that has respect for the environment.

In the case described herein, the use of hydrolytic enzymes (hydrolases), which
20 function without cofactors, such as lipases (EC 3.1.1.3 in the international classification of enzymes) or esterases (EC 3.1.1.1) makes it possible to obtain chiral compounds - key intermediates in the synthesis of pharmaceutical active ingredients - in high enantiomeric excesses and good yields.

More specifically, the present invention relates to a process for the synthesis of the
25 optically pure compound of formula (Ia):



by enantioselective enzymatic esterification of the racemic, or not optically pure, acid of formula (X):

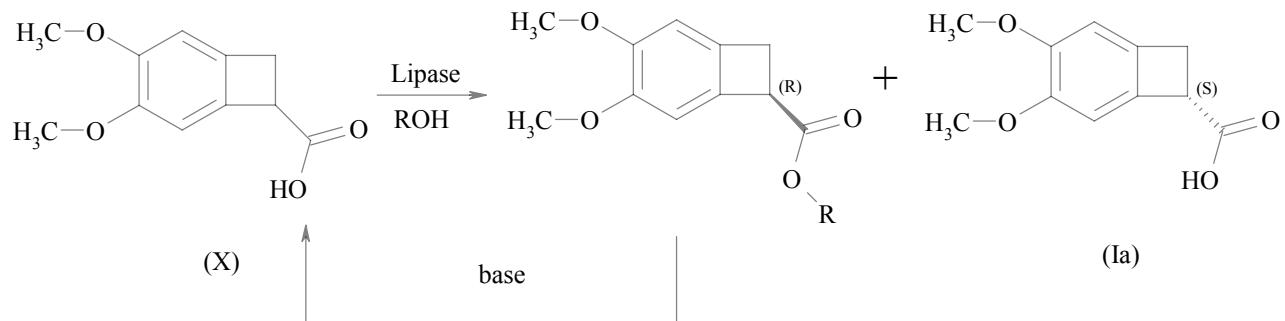


- 5 using a lipase of *Candida antarctica* or of *Pseudomonas fluorescens*,
in a mixture of alcohol ROH wherein R represents a linear or branched C₁-C₆alkyl
group, and an organic co-solvent,
at a concentration from 5 to 500 g/L, preferably from 100 g to 200 g of compound
of formula (X) per litre of solvent mixture,
- 10 at an E/S ratio of from 10/1 to 1/100, preferably from 1/5 to 1/10,
at a temperature from 25°C to 40°C.

Among the lipases of *Candida antarctica* there may be mentioned, by way of example, the lipases immobilised on a polymeric matrix, especially on an acrylic resin, such as Novozym® 435 from the company Novozymes or SPRIN adsorbed
15 CALB® from the company Sprin Technologies, or on a polystyrene resin, such as SPRIN actiplus CALB®, SPRIN acti CALB® or SPRIN lipo CALB® from the company Sprin Technologies, or on an acrylic epoxy resin, such as SPRIN epobond CALB® from the company Sprin Technologies.

- The alcohol ROH is preferably methanol or ethanol. Preferred organic co-solvents
20 are acetonitrile, toluene, MTBE and n-heptane.
The preferred organic co-solvent/alcohol ratio is from 8/2 to 9/1.

The enzymatic esterification scheme according to the invention is as follows:



Advantageously, the ester of configuration (R), the secondary product of the reaction, can be hydrolysed by the action of a base, preferably KOH, DBU,

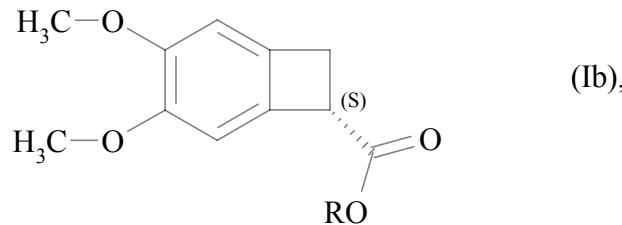
- 5 triethylamine, DABCO, TBD, sodium ethoxide, sodium methoxide or K_2CO_3 , to form the racemic acid of formula (X) in order to be recycled into the enzymatic esterification process.

When the hydrolysis/racemisation step is carried out *in situ*, the process according to the invention is a dynamic kinetic resolution (DKR) process which makes it

- 10 possible to obtain the S acid of formula (Ia) in an ee $\geq 98\%$ and a yield $\geq 65\%$.

The acid of formula (Ia) is preferably isolated from the reaction mixture after one or more enzymatic esterification cycles.

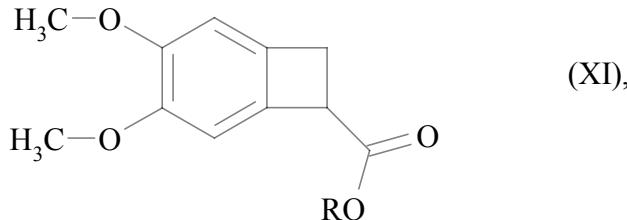
Another aspect of the invention relates to a process for the synthesis of the optically pure compound of formula (Ib):



15

wherein R represents a linear or branched $\text{C}_1\text{-}\text{C}_6$ alkyl group, preferably methyl or ethyl,

by enantioselective enzymatic hydrolysis of the racemic, or not optically pure, ester of formula (XI):



20

wherein R represents a linear or branched C₁-C₆alkyl group,
using a lipase of *Candida antarctica* or of *Pseudomonas fluorescens*, in water, in a
buffer of pH=5 to 8 or in a mixture of organic solvent and buffer of pH=5 to 8, at a
concentration of from 1 to 200 g/L, preferably about 100 g of compound of formula
5 (XI) per litre of solvent or solvent mixture,
at an E/S ratio of from 10/1 to 1/100, preferably from 1/5 to 1/10,
at a temperature from 25°C to 40°C,
followed by isolation of the ester of formula (Ib).

Among the lipases and esterases which may be used in the enzymatic hydrolysis
10 process according to the present invention there may mentioned, without implying
any limitation, the lipases of *Candida antarctica*, of *Pseudomonas fluorescens*, of
Pseudomonas cepacia, of *Rhizopus oryzae*, of *Aspergillus niger*, of *Mucor javanicus*,
of *Aspergillus oryzae* and of *Penicillium camemberti*, and the esterases of *Rhizopus*
oryzae, of *Mucor miehei* and of *Rhizopus niveus*.

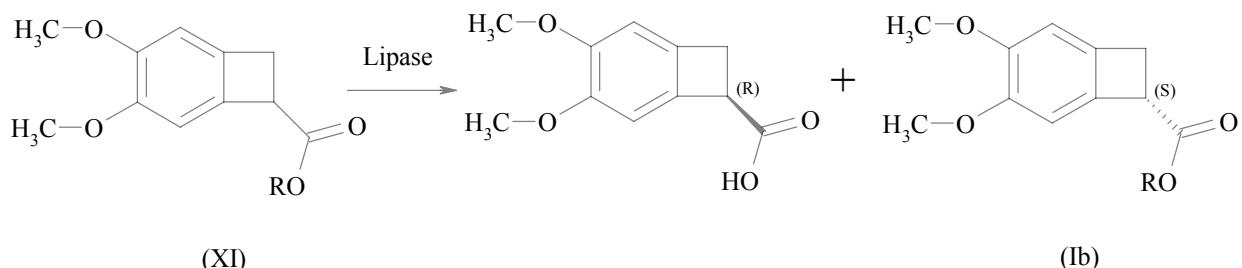
15 Lipases that are preferred according to the invention are the lipases of *Candida*
antarctica and of *Pseudomonas fluorescens*.

Among the lipases of *Candida antarctica* there may be mentioned, by way of
example, the lipases immobilised on a polymeric matrix, especially on an acrylic
resin, such as Novozym® 435 from the company Novozymes or SPRIN adsorbed
20 CALB® from the company Sprin Technologies, or on a polystyrene resin, such as
SPRIN actiplus CALB®, SPRIN acti CALB® or SPRIN lipo CALB® from the company
Sprin Technologies, or on an acrylic epoxy resin, such as SPRIN epobond CALB®
from the company Sprin Technologies.

When the reaction is carried out in the presence of an organic solvent, the latter is
25 preferably acetonitrile, toluene, MTBE or n-heptane.

The preferred organic solvent/water or buffer ratio ranges from 8/2 to 9/1.

The enzymatic hydrolysis scheme according to the invention is as follows:



Advantageously, the acid of configuration (R), the secondary product of the reaction, can be racemised by the action of a base, preferably by the action of KOH in the hot state, and then the racemic acid thereby obtained can be alkylated to form the racemic ester of formula (XI) in order to be recycled into the enzymatic hydrolysis process.

- 5 Alternatively, the acid of configuration (R), the secondary product of the reaction, can first be alkylated and then the ester of configuration (R) thereby obtained can be racemised by the action of a base, preferably by the action of DBU, KOH, triethylamine, DABCO, TBD, sodium ethoxide, sodium methoxide or K₂CO₃, in order to be recycled into the enzymatic hydrolysis process.

When the racemisation is carried out in the hot state, the temperature is preferably from 50 to 80°C.

Definitions

- 15 An optically pure compound is understood to be a compound having an enantiomeric excess greater than or equal to 90 %.

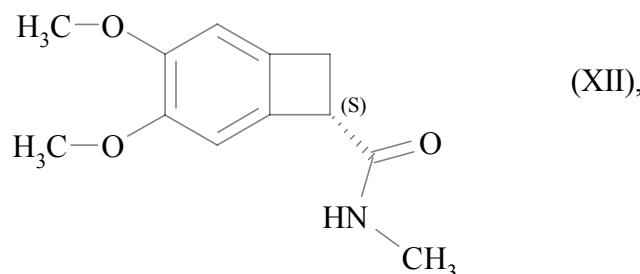
An acid or ester which is not optically pure is understood to be an acid or ester having an enantiomeric excess less than 90 %.

- 20 A racemic acid or ester is understood to be the acid or ester in the form of a mixture of two enantiomers in a ratio of from 55:45 to 45:55.

Enantioselective esterification of a racemic, or not optically pure, acid is understood to be preferential esterification of one of the enantiomers of the mixture.

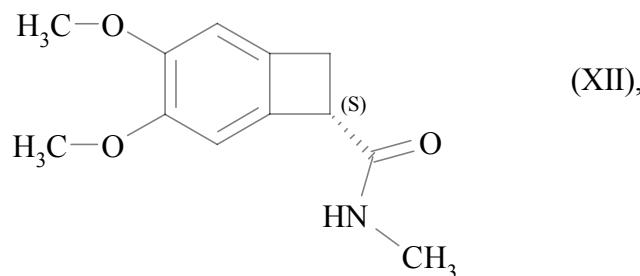
Enantioselective hydrolysis of a racemic, or not optically pure, ester is understood to be preferential hydrolysis of one of the enantiomers of the mixture.

Another aspect of the invention relates to a process for the synthesis of the compound of formula (III) starting from the nitrile of formula (IV), which is hydrolysed to form the racemic acid of formula (X), the enzymatic esterification of which according to the invention yields the optically pure acid of formula (Ia), which 5 is then converted into the optically pure amide of formula (XII):



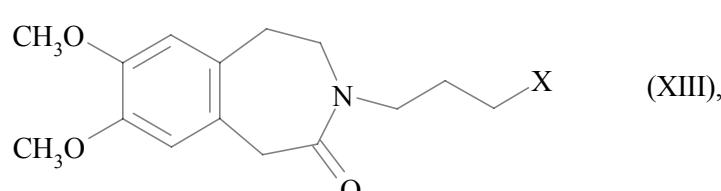
the reduction of which, preferably by BH_3 , NaBH_4 or LiAlH_4 , yields the compound of formula (III).

Another aspect of the invention relates to a process for the synthesis of the 10 compound of formula (III) starting from the nitrile of formula (IV), which is hydrolysed to form the racemic acid of formula (X), and then alkylated to form the racemic ester of formula (XI), the enzymatic hydrolysis of which according to the invention yields the optically pure ester of formula (Ib), which is converted into the optically pure amide of formula (XII):

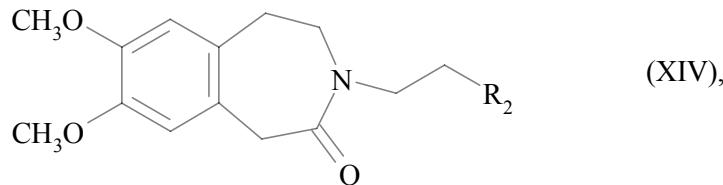


15 the reduction of which, preferably by BH_3 , NaBH_4 or LiAlH_4 , yields the compound of formula (III).

The compound of formula (III) is subsequently either coupled with a compound of formula (XIII):



20 wherein X represents a halogen atom, preferably an iodine atom, or subjected to a reductive amination reaction with a compound of formula (XIV) in the presence of a reducing agent:



wherein R₂ represents a group selected from CHO and CHR₃R₄,

wherein R₃ and R₄ each represent a linear or branched (C₁-C₆)alkoxy group or form, together with the carbon atom carrying them, a 1,3-dioxane, 1,3-dioxolane or 1,3-

5 dioxepane ring,

to yield ivabradine, which is then converted into an addition salt with a pharmaceutically acceptable acid, said salt being in anhydrous or hydrate form.

The compound of formula (III) may also be used in the reductive amination reaction in the form of its addition salt with a pharmaceutically acceptable acid,

10 preferably its hydrochloride. In that case, ivabradine is obtained directly in the form of the hydrochloride.

Among the pharmaceutically acceptable acids there may be mentioned, without implying any limitation, hydrochloric acid, hydrobromic acid, sulphuric acid,

phosphoric acid, acetic acid, trifluoroacetic acid, lactic acid, pyruvic acid, malonic

15 acid, succinic acid, glutaric acid, fumaric acid, tartaric acid, maleic acid, citric acid, ascorbic acid, oxalic acid, methanesulphonic acid, benzenesulphonic acid and camphoric acid.

Among the reducing agents that may be used for the reductive amination reaction between the compound of formula (III) and the compound of formula (XIV) there

20 may be mentioned, without implying any limitation, hydride donor compounds such as sodium triacetoxyborohydride or sodium cyanoborohydride, and dihydrogen in the presence of a catalyst such as palladium, platinum, nickel, ruthenium, rhodium or a compound thereof, especially on a support or in the form of oxides.

The preferred reducing agent for the reductive amination reaction between the

25 compound of formula (III) and the compound of formula (XIV) is dihydrogen catalysed by palladium-on-carbon.

The Examples hereinbelow illustrate the invention.

Abbreviations

| | |
|-----------|---|
| TFA | TriFluoroAcetic acid |
| TLC | Thin-Layer Chromatography |
| DABCO | 1,4-DiAzaBiCyclo[2.2.2]Octane |
| 5 DBU | DiazaBicycloUndecene |
| DKR | Dynamic Kinetic Resolution |
| E | Enantioselectivity coefficient |
| ee | enantiomeric excess |
| eq | molar equivalent |
| 10 HPLC | High Performance Liquid Chromatography |
| MeOH | Methanol |
| MTBE | Methyl Tert-Butyl Ether |
| op | optical or enantiomeric purity |
| E/S ratio | Enzyme/Substrate ratio (g/g) |
| 15 NMR | Nuclear Magnetic Resonance (spectroscopy) |
| MS | Mass Spectrometry |
| TBD | 1,5,7-TriazaBicyclo-[4.4.0]Dec-5-ene |
| THF | TetraHydroFuran |
| TMS | TetraMethylSilane |

20 **EXAMPLE 1:** **3,4-Dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylic acid**

Suspend 3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carbonitrile (11 g, 58.1 mmol) in 1N sodium hydroxide solution (70 mL) and reflux (110°C) the reaction mixture for 2 hours.

25 Allow to return to ambient temperature and then acidify the mixture using concentrated hydrochloric acid. Precipitation is observed. Dissolve the product in 200 mL of dichloromethane and then extract the aqueous phase. Dry over MgSO₄ and evaporate to yield the title product (11.6 g) in a yield of 95.9 %.

30 **EXAMPLE 2:** **(7S)-3,4-Dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylic acid**

0.5 g (c = 200 g/L) of racemic acid obtained in Example 1 is dissolved in 2.5 mL of an 8/2 mixture of acetonitrile/methanol.

0.1 g ($c = 40$ g/L) of lipase of *Candida antarctica* NOVOZYM 435® (Novozymes Denmark) is then added to the mixture (E/S ratio 1/5). The reaction mixture is maintained at 30°C, with rotary stirring at 220 rpm, for 48 hours.

- The reaction is monitored by chiral-phase HPLC under conditions allowing the
5 enantiomeric excesses of both the ester and the acid to be determined:

*Chiraldak® IC 250*4.6 column*

30 % absolute ethanol + 0.1 % TFA + 70 % heptane + 0.1 % TFA
1ml/min, 25°C, 288nm

| | % acid | % ester | Ee (%) Acid (S) | Ee (%) Ester (R) | E |
|---------|--------|---------|--------------------|---------------------|-----|
| 18 hrs. | 59 | 41 | 66 | > 99 | 77 |
| 24 hrs. | 55 | 45 | 78 | > 99 | 100 |
| 48 hrs. | 51 | 49 | 97 | > 98 | 890 |

- 10 The chiral-phase HPLC chromatograms of the racemic compounds and after 48 hours are shown in Figures 1 and 2.

After 48 hours there is seen the presence of optically pure ester and acid in an optimum acid/ester ratio of close to 50/50. The reaction mixture is filtered, the enzyme is washed with 5 mL of methanol and then the filtrate is evaporated *in*

- 15 *vacuo*. The optically pure S acid and R ester are separated by chromatography on a silica column (eluant: dichloromethane/methanol 98/1).

(S) acid: 0.22 g (44 %) ; optical purity > 96 %; $[\alpha]^{20}_D$ at 589nm: +57.1° (5 mg/ml in MeOH)

(R) ester: 0.24 g; optical purity > 96 %; $[\alpha]^{20}_D$ at 589nm: -62.7° (5 mg/ml in

- 20 MeOH)

Overall yield (S + R): 92 %.

EXAMPLE 3: Methyl 3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylate

Suspend methyl (7*R*)-3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylate

- 25 (445 mg) (ee > 96 %) in isopropanol (2.5 mL) and add diazabicycloundecene (58 µl - 1.5 eq).

Heat the reaction mixture at 65°C for 2 hours. Complete racemisation is observed at the end of 2 hours of reaction of the ester.

Analysis conditions:

*Chiralpak® IC 250*4.6 column*

30 % absolute ethanol + 0.1 % TFA + 70 % heptane + 0.1 % TFA

1ml/min, 25°C, 288nm

EXAMPLE 4: **3,4-Dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylic acid**

5

Suspend methyl (*7R*)-3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylate (50 mg) (ee > 96 %) in methanol (1 mL) and add potassium hydroxide (56.1) (25 mg – 2 eq).

Heat the reaction mixture at 65°C for 6 hours. Hydrolysis of the ester to the

10 racemic acid is observed.

Analysis conditions:

*Chiralpak® IC 250*4.6 column*

30 % absolute ethanol + 0.1 % TFA + 70 % heptane + 0.1 % TFA

1ml/min, 25°C, 288nm

15 **EXAMPLE 5:** **(*7S*)-3,4-Dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylic acid**

2 g (c = 200 g/L) of racemic 3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylic acid are dissolved in 20 ml of an acetonitrile/methanol mixture (9/1).

20 0.4 g (c = 20 g/L) of lipase of *Candida antarctica* SPRIN actiplus CALB® (Sprin Technologies) is then added to the mixture. The reaction mixture is maintained at 30°C, with rotary stirring at 220 rpm for 24 hours. The enzyme is filtered off and then washed with methanol. 0.5 g of KOH (2 eq) is then added to the mixture (filtrate) and stirring is maintained for 6 hours at 30°C. The mixture is then 25 evaporated *in vacuo*. This allows complete racemisation and hydrolysis of the *R* ester without racemising the *S* acid. The residue is taken up in ethyl acetate and then washed with 10 % citric acid solution. Extraction with ethyl acetate not being sufficient, the water-soluble acid is re-extracted with butan-1-ol solution. The extracts are dried over MgSO₄ to yield, after evaporation, 1.9 g of acid having a 30 ratio of 75:25 (*S*:*R*). This enantiomerically enriched acid is used in a second enzymatic reaction in the presence of 0.2 g of lipase. After 24 hours at 30°C, the enzyme is filtered off and then washed with methanol.

After evaporation, the residue is chromatographed on a silica column (eluent CH₂Cl₂/MeOH from 99/1 to 99/2) to yield the following products:

(S) acid: 1.33 g; op > 96 %; yield of acid (theoretically 75%): 67 %

(R) ester: 0.42 g; op > 96 %; yield of ester (theoretically 25%): 21%

The overall yield of the reaction is ~88 %.

NMR and MS characterisation of the acid

- 5 ¹H NMR (DMSO-d6, ppm / TMS): 3.17 (dd; 1H; 13.6Hz; 2.4Hz); 3.27 (dd; 1H; 13.6Hz; 5.3Hz); 4.13 (dd; 1H); 3.71 (s;3H); 6.78 (s; 1H); 6.80 (s; 1H); 12.40 (s; 1H).

MS (EI+) Molecular ion M+ at m/z 208.

NMR and MS characterisation of the ester

- 10 ¹H NMR (DMSO-d6, ppm / TMS) = 3.19 (dd; 1H; 13.6Hz; 2.4 Hz); 3.33 (dd; 1H; 13.6Hz; 5.5Hz); 3.65 (s; 3H); 3.71 (s; 6H); 4.23 (dd; 1H); 6.79 (s; 1H); 6.82 (s;1H).

MS (EI+) Molecular ion M+ at m/z 222.

- 15 The sequence of reactions is monitored by chiral-phase HPLC under conditions allowing the enantiomeric excesses of both the ester and the acid to be determined:

*Chiralpak® IC 250*4.6 column*

30 % absolute ethanol + 0.1 % TFA + 70 % heptane + 0.1 % TFA

20 *1ml/min, 25°C, 288nm*

EXAMPLE 6: Methyl 3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylate

Dissolve 3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylic acid (3 g – 14.4 mmol) in methanol and add acetyl chloride (1.65 g – 21.1 mmol).

- 25 Reflux the reaction mixture for 2 hours. Analysis by TLC (eluant: dichloromethane) shows the absence of the racemic acid starting material. Evaporate the reaction mixture, take up the residue in ethyl acetate and wash the organic phase with NaHCO₃. Evaporate to dryness, and dry to yield the title product in a yield of 97 %.

EXAMPLE 7: **Methyl (7S)-3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylate**

2 g (c = 100 g/L) of racemic methyl 3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylate are dissolved in 20 mL of an 80/20 mixture of acetonitrile/buffer pH=7.

5 0.4 g (c = 20 g/L) of lipase of *Candida antarctica* NOVOZYM 435® (Novozymes Denmark) is then added to the mixture (E/S ratio 1/5). The reaction mixture is maintained at 30°C, with rotary stirring at 230 rpm.

After reacting for 4 hours (pH=5.8), the pH is adjusted to 7.2. After 24 hours, the 10 enzyme is filtered off and washed by stirring in methanol. All the filtrates are collected, evaporated and lyophilised.

The lyophilisate is taken up in ethyl acetate, stirring is maintained overnight and then the reaction mixture is filtered and the filtrate is evaporated.

15 The residue is purified on a silica column (eluant dichloromethane/methanol) to obtain 0.81 g of the ester of the title (7S), that is to say in a yield of 41 %.

[α]²⁰_D at 589nm: +64.7° (5 mg/ml in MeOH)

The fraction containing the acid is taken up in ethyl acetate to yield 0.72 g of (7R) acid, that is to say in a yield of 36 % .

20 [α]²⁰_D at 589nm: -58.8° (5 mg/ml in MeOH)

EXAMPLE 8: **Methyl (7S)-3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylate**

Racemic methyl 3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylate (1 mg; c = 1 g/L) is dissolved in 1 mL of a 90/10 mixture of phosphate buffer

25 pH=7/toluene.

5 mg (c = 5 g/L) of lipase of *Pseudomonas fluorescens* are then added to the mixture (E/S ratio 5/1). The reaction mixture is maintained at 28°C, with rotary stirring at 220 rpm, for 48 hours.

The reaction mixture is analysed by reverse-phase HPLC and the enantioselectivity (ee) of the residual ester is monitored by chiral-phase HPLC, in accordance with the methods described below:

Conditions for analysis of the reaction mixture by reverse-phase HPLC:

*Kinetex® 2.6μm C18 50*2.1, 40°C, 0.6ml/min 100 % A to 100 % B over 5mins. A (1000 water+25 ACN+1 TFA)*

B (1000 ACN+25 water+1 TFA)

Conditions for analysis of the enantioselectivity by chiral-phase HPLC:

*Chiralpak® IC 250*4.6 column, 100% absolute ethanol, 1ml/min, 25°C, 288nm*

| Enantiomer | Retention time (min) |
|------------|----------------------|
| (7R) | 7.19 |
| (7S) | 9.03 |

- 5 Analysis of the reaction mixture shows good hydrolytic activity (percentage of residual ester: 25 %).

Analysis of the enantioselectivity shows an ee of 90 % for the ester (7S).

EXAMPLE 9: 3,4-Dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylic acid

10

Suspend (7R)-3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylic acid (50 mg - ee > 95 %) in methanol (1 mL) and add potassium hydroxide (20 mg).

Heat the reaction mixture at 65°C for 24 hours. Complete racemisation of the acid is observed.

- 15 Analysis conditions:

*Chiralpak® IC 250*4.6 column*

30 % absolute ethanol + 0.1 % TFA + 70 % heptane + 0.1 % TFA

1ml/min, 25°C, 288nm

EXAMPLE 10: (7S)-3,4-Dimethoxy-N-methylbicyclo[4.2.0]octa-1,3,5-triene-7-carboxamide

20

Suspend the (7S)-3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylic acid obtained in Example 5 (300 mg) in THF (3 ml) at ambient temperature and then add triethylamine (200 µl). Ethyl chloroformate (150 µl) is added slowly to the mixture. The reaction mixture precipitates (mixture I).

- 25 In another flask, methylamine, as a 2M solution in THF (2.25 ml), is stirred with water (1 ml) and triethylamine (300 µl). Stirring is maintained for 20 minutes and then the resulting mixture is added to mixture I and stirred at ambient temperature overnight.

The reaction mixture is then evaporated and purified by preparative HPLC.

(7*S*)-3,4-Dimethoxy-N-methylbicyclo[4.2.0]octa-1,3,5-triene-7-carboxamide is obtained in a yield of 60 %.

¹H NMR (DMSO-d₆, ppm / TMS) = 2.61 (m; 3H); 3.16 (m; 2H); 3.71 (s; 6H); 4.05 (m; 1H); 6.78 (s; 1H); 6.81 (s; 1H); 7.78 (s; 1H).

5

EXAMPLE 11: (7*S*)-3,4-Dimethoxy-N-methylbicyclo[4.2.0]octa-1,3,5-triene-7-carboxamide

Suspend methyl (7*S*)-3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylate (500 mg) in water and then slowly add, at ambient temperature, 20 mL of 33 % methylamine solution in absolute ethanol.

After stirring for 3 hours, the reaction mixture is evaporated. The residue obtained is purified by preparative HPLC (eluant: water/acetonitrile/trifluoroacetic acid from 98/2/0.2 to 20/80/0.2) over 30 minutes to yield the title product in a yield of 70 %.

EXAMPLE 12: (7*S*)-3,4-Dimethoxybicyclo[4.2.0]octa-1,3,5-trien-7-yl]-N-methyl-methanamine

Suspend (7*S*)-3,4-dimethoxy-N-methylbicyclo[4.2.0]octa-1,3,5-triene-7-carboxamide (450 mg) in tetrahydrofuran (20 mL) and then slowly add 1.6 mL of 2M LiAlH₄ solution in tetrahydrofuran to the reaction mixture at ambient temperature. Marked evolution of gas is observed and the reaction mixture becomes clear. Heat the reaction mixture at reflux for 30 minutes.

After returning to ambient temperature, hydrolyse and then extract with ethyl acetate. Dry over MgSO₄ and then evaporate. The residue obtained is purified by preparative HPLC (eluant: water/acetonitrile/trifluoroacetic acid from 98/2/0.2 to 20/80/0.2) over 30 minutes to yield the title product in a yield of 46 %.

25 ¹H NMR (DMSO-d₆, ppm / TMS) = 2.60 (m; 3H); 2.85 (m; 1H); 3.15 (m; 1H); 3.25 (dd; 1H); 3.30 (m; 1H); 3.62 (m; 1H); 3.70 (s; 6H); 6.82 (s; 1H); 6.89 (s; 1H); 8.48 (s; 1H).

EXAMPLE 13: (7*S*)-3,4-Dimethoxybicyclo[4.2.0]octa-1,3,5-trien-7-yl]-N-methyl-methanamine hydrochloride

30 20 mL of a molar solution of BH₃ in tetrahydrofuran are added, at ambient temperature, to a mixture of 2.2 g (10 mmol) of (7*S*)-3,4-dimethoxy-N-

methylbicyclo[4.2.0]octa-1,3,5-triene-7-carboxamide in 45 mL of tetrahydrofuran.

After stirring for 1 hour, 10 mL of the solution of BH_3 in tetrahydrofuran are added.

After stirring overnight at ambient temperature, 20 mL of ethanol are added

dropwise and the mixture is stirred until no more gas is evolved (about 1 hour). 20

5 mL of hydrochloric acid solution in ethanol are then added dropwise. After stirring for 4 hours, the precipitate obtained (1.2 g of the title product) is filtered off. The filtrate is concentrated and an additional 0.65 g of the title product is obtained by rendering it solid in an 80/20 mixture of ethyl acetate/ethanol.

The two precipitates are combined to yield 1.85 g of the title product (yield: 77 %).

10 **EXAMPLE 14: Ivabradine hydrochloride**

Load 5.5 kg of 3-[2-(1,3-dioxolan-2-yl)ethyl]-7,8-dimethoxy-1,3-dihydro-2*H*-3-benzazepin-2-one, 27.5 litres of ethanol and 550 g of palladium-on-carbon into an autoclave.

Purge with nitrogen and then with hydrogen, heat to 55°C, and then hydrogenate 15 at that temperature under a pressure of 5 bars until the theoretical amount of hydrogen has been absorbed.

Then return to ambient temperature and depressurise the autoclave.

Then add 4 kg of (7*S*)-3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-trien-7-yl]-N-methylmethanamine hydrochloride, 11 litres of ethanol, 5.5 litres of water and 1 kg of 20 palladium-on-carbon.

Purge with nitrogen and then with hydrogen, heat to 85°C, and then hydrogenate at that temperature under a pressure of 30 bars until the theoretical amount of hydrogen has been absorbed.

Then bring back to ambient temperature, purge the autoclave and then filter the 25 reaction mixture; distil off the solvents and then isolate the ivabradine hydrochloride by crystallisation from a toluene/1-methyl-2-pyrrolidinone mixture.

Ivabradine hydrochloride is thereby obtained in a yield of 85 % and with a chemical purity greater than 99 %.

Comparative EXAMPLE: Screening of lipases and esterases for the enzymatic hydrolysis of methyl 3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylate

5 Racemic methyl 3,4-dimethoxybicyclo[4.2.0]octa-1,3,5-triene-7-carboxylate (1 mg; c = 1 g/L) is dissolved in 1 mL of a 90/10 mixture of phosphate buffer pH=7/toluene.

5 mg (c = 5 g/L) of the lipase or esterase being studied are then added to the medium (E/S ratio 5/1). The reaction mixture is maintained at 28°C, with rotary 10 stirring at 220 rpm for 48 hours.

The reaction mixture is analysed by reverse-phase HPLC and the enantioselectivity (ee) of the residual ester is monitored by chiral-phase HPLC, in accordance with the methods described hereinbelow:

15 *Conditions for analysis of the reaction mixture by reverse-phase HPLC:*

*Kinetex® 2.6µm C18 50*2.1, 40°C, 0.6 ml/min 100% A to 100% B over 5 minutes*
A (1000 water+25 ACN+1 TFA)
B (1000 ACN+25 water+1 TFA)

20 *Conditions for analysis of the enantioselectivity by chiral-phase HPLC:*

*Chiralpak® IC 250*4.6 column 100 % absolute ethanol, 1 ml/min, 25°C, 288 nm*

25

The results are summarised in the following table:

| Enantiomer | Retention time (min) |
|------------|----------------------|
| (7R) | 7.19 |
| (7S) | 9.03 |

| Lipase | % ester | % acid | Ee ^a (%) ester | E ^b |
|--|---------|--------|---------------------------|----------------|
| Porcine Pancreatic Lipase Type II | - | 100 | 0 | - |
| Lipase PS (<i>Pseudomonas cepacia</i>) | 55 | 45 | 34 (S enantio) | 3 |
| Lipase AY 30 (<i>Candida rugosa</i>) | - | 100 | 0 | - |
| Lipase FAP-15 (<i>Rhizopus oryzae</i>) | 45 | 55 | 52 (S enantio) | 4 |

| Lipase | % ester | % acid | Ee^a (%) ester | E^b |
|---|-----------|-----------|---------------------------------|----------------------|
| Lipase A6 (<i>Aspergillus niger</i>) | 76 | 24 | 68 (R enantio) | 6 |
| Lipase AH (<i>Pseudomonas cepacia</i>) | 90 | 10 | 14 (S enantio) | 8 |
| Lipase M "Amano"10 (<i>Mucor javanicus</i>) | 60 | 40 | 36 (S enantio) | 5 |
| Lipase of <i>Aspergillus oryzae</i> | 78 | 22 | 64 (S enantio) | 5 |
| Lipase G "Amano" (<i>Penicillium camemberti</i>) | 40 | 60 | 26 (R enantio) | 2 |
| Lipase AYS "Amano" (<i>Candida rugosa</i>) | 60 | 40 | 4 (R enantio) | 1 |
| Lipase R "Amano" (<i>Penicillium roqueforti</i>) | - | 100 | 0 | |
| Porcine liver esterase | - | 100 | 0 | |
| Esterase of <i>Rhizopus oryzae</i> | 40 | 60 | 50 (S enantio) | 3 |
| Esterase of <i>Mucor miehei</i> | 79 | 21 | 45 (S enantio) | 6 |
| Horse liver esterase | - | 100 | 0 | |
| Newlase F (<i>Rhizopus niveus</i>) | - | 100 | 0 | - |
| Lipase of <i>Pseudomonas fluorescens</i> | 25 | 75 | 90 (S enantio) | 6 |
| Lipase B of <i>Candida antarctica</i> (Novozym® 435) | 30 | 70 | 94 (S enantio) | 9 |

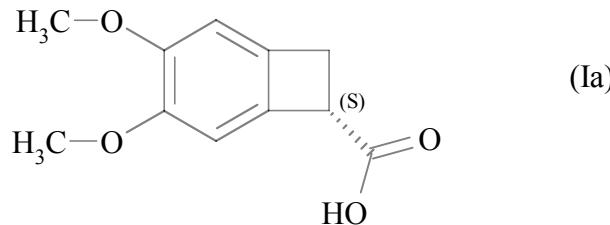
^a Enantiomeric excess ee (in %) = % enantioE2 - % enantioE1 / % enantio E2 + % enantio E1 (enantio E2 being the predominant enantiomer)

^b Enantioselectivity coefficient E = $\ln[(1-c)(1-ee(S))] / \ln[(1-c)(1+ee(S))]$; c = level

of conversion = ee(ester) /ee(ester) + ee(acid)

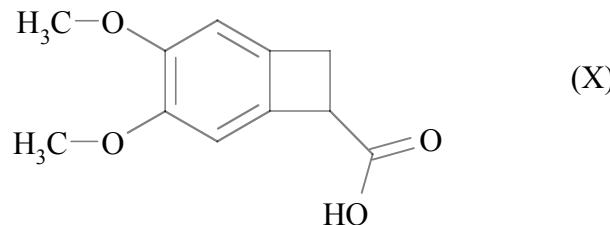
Patentkrav

1. Fremgangsmåte for syntese av den optisk rene forbindelse av formel (Ia):



ved enantioselektiv enzymatisk esterifisering av den rasemiske eller ikke optisk

- 5 rene syre av formel (X):



ved å bruke en lipase av *Candida antarctica* eller av *Pseudomonas fluorescens*,
i en blanding av alkohol ROH hvor R representerer en lineær eller forgrenet C₁-
C₆alkylgruppe og et organisk ko-oppløsningsmiddel,

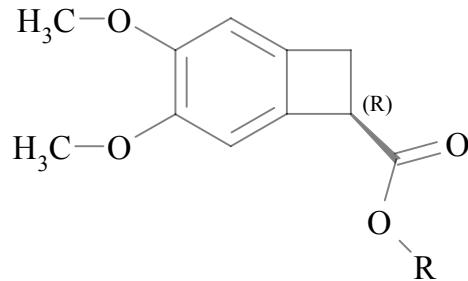
- 10 ved en konsentrasjon fra 5 til 500 g/l av forbindelsen av formel (X) per liter av
oppløsningsmiddelblanding,
ved et E/S-forhold fra 10/1 til 1/100,
ved en temperatur fra 25 °C til 40 °C.

2. Syntesefremgangsmåte ifølge krav 1, hvor E/S-forholdet er fra 1/5 til 1/10.

- 15 3. Syntesefremgangsmåte ifølge enten krav 1 eller krav 2, hvor alkoholen ROH
er metanol og ko-oppløsningsmiddelet er acetonitril.

4. Syntesefremgangsmåte ifølge krav 3, hvor acetonitril/metanol-forholdet er
fra 8/2 til 9/1.

- 20 5. Syntesefremgangsmåte ifølge ethvert av kravene 1 til 4, hvor esteren av
konfigurasjon (R) som er det sekundære reaksjonsproduktet:

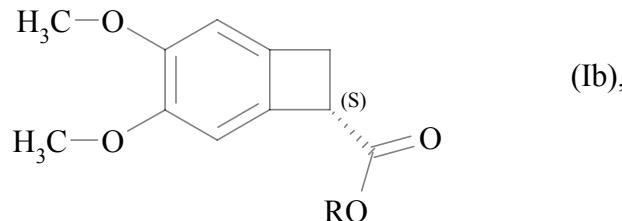


hydrolyseres ved virkningen av en base for å danne den rasemiske syre av formel (X) for å bli gjeninnført i den enzymatiske esterifiseringsprosess.

6. Syntesefremgangsmåte ifølge krav 5, hvor basen er KOH.
- 5 7. Syntesefremgangsmåte ifølge enten krav 5 eller krav 6, hvor hydrolyse/rasemiseringstrinnet utføres *in situ*.

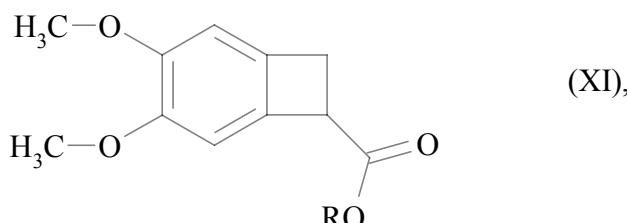
8. Syntesefremgangsmåte ifølge ethvert av kravene 1 til 7, hvor syren av formel (Ia) isoleres etter en eller flere cykler av enzymatisk esterifisering.

9. Syntesefremgangsmåte av den optisk rene forbindelsen av formel (Ib):



10

hvor R representerer en lineær eller forgrenet C₁-C₆alkylgruppe,
ved enantioselektiv enzymatisk hydrolyse av den rasemiske eller ikke optisk rene
ester av formel (XI):



- 15 hvor R representerer en lineær eller forgrenet C₁-C₆alkylgruppe,
ved å bruke en lipase fra *Candida antarctica* eller *Pseudomonas fluorescens* i vann,

en bufferoppløsning med pH=5 til 8 eller i en blanding av organisk oppløsningsmiddel og vann eller bufferoppløsning på pH= 5 til 8, ved en konsentrasjon på fra 1 til 200 g/l av forbindelse av formel (XI) per liter av oppløsningsmiddel eller oppløsningsmiddelblanding;

- 5 ved et E/S-forhold på fra 10/1 til 1/100,
ved en temperatur fra 25 °C til 40 °C,
fulgt av isolering av esteren av formel (Ib).

10. Syntesefremgangsmåte ifølge krav 9, hvor E/S-forholdet er fra 1/5 til 1/10.

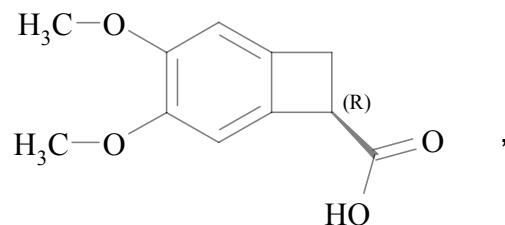
11. Syntesefremgangsmåte ifølge enten krav 9 eller krav 10, hvor R er en

10 methylgruppe.

12. Syntesefremgangsmåte ifølge ethvert av kravene 9 til 11, hvor reaksjonen utføres i en blanding av acetonitril og en buffer med pH=7.

13. Syntesefremgangsmåte ifølge krav 12, hvor acetonitril/buffer pH=7 forholdet er fra 8/2 til 9/1.

15 14. Syntesefremgangsmåte ifølge ethvert av kravene 9 til 13, hvor syren av konfigurasjon (R):

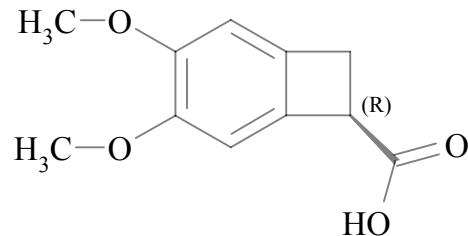


som er det sekundære produkt fra reaksjonen,

rasemiseres ved virkningen av en base, og så blir den rasemiske syre som derved blir oppnådd, alkylert for å danne den rasemiske ester av formel (XI) for å bli tilbakeført til den enzymatiske hydrolyseprosessen.

15. Syntesefremgangsmåte ifølge krav 14, hvor syren av konfigurasjon (R) rasemiseres ved virkningen av KOH i varm tilstand.

16. Syntesefremgangsmåte ifølge ethvert av kravene 9 til 13, hvor syren med konfigurasjon (R) som er det sekundære produkt fra reaksjonen,

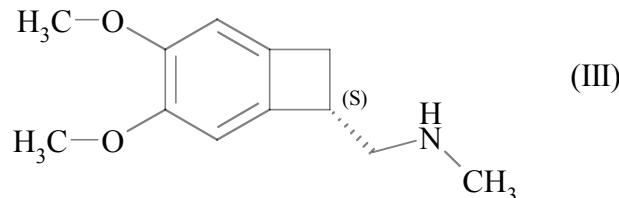


først alkyleres og så blir esteren av konfigurasjon (R) som derved blir oppnådd,

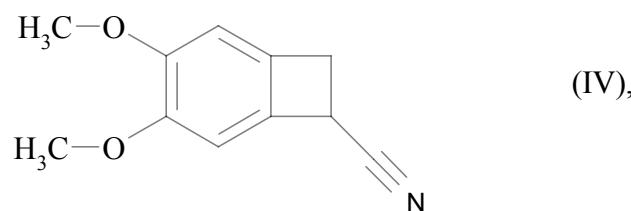
- 5 rasemisert ved virkningen av en base for å bli tilbakeført i den enzymatiske hydrolyseprosess.

17. Syntesefremgangsmåte ifølge krav 16, hvor esteren med konfigurasjon (R) rasemiseres ved virkningen av DBU i varm tilstand eller med KOH ved romtemperatur.

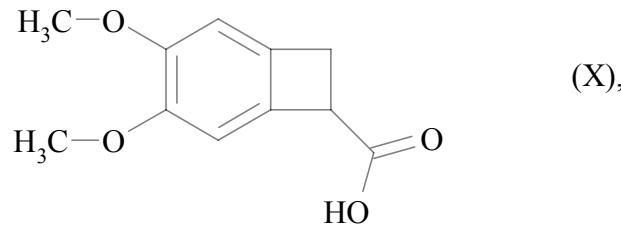
- 10 18. Fremgangsmåte for syntese av forbindelsen av formel (III):



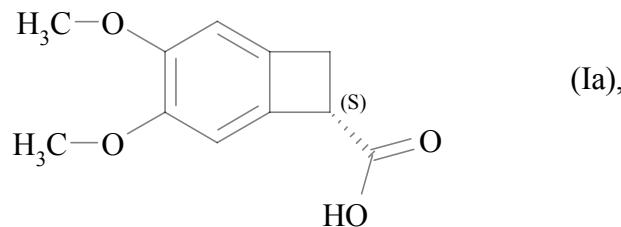
ved å starte fra nitrilet av formel (IV):



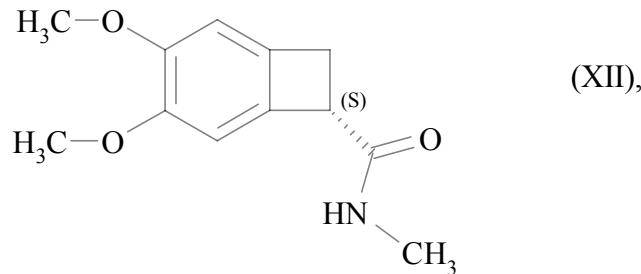
som hydrolyseres for å danne den rasemiske syre av formel (X):



hvor den enzymatiske esterifisering av denne ifølge ethvert av kravene 1 til 8 gir den optisk rene syre av formel (Ia):

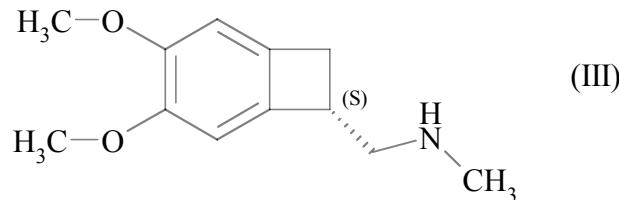


5 som så blir konvertert til det optisk rene amid av formel (XII):

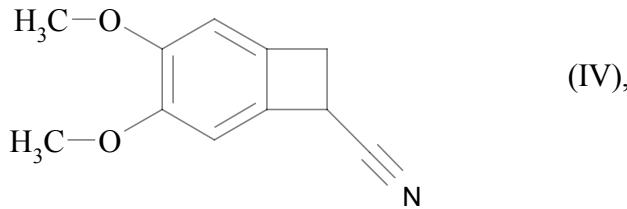


hvor reduksjon av denne gir forbindelsen av formel (III).

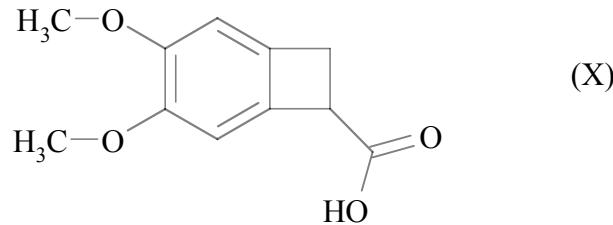
19. Fremgangsmåte for syntese av forbindelsen av formel (III):



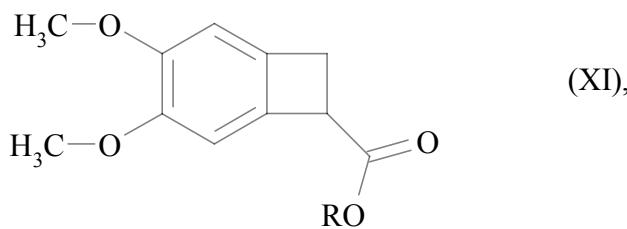
10 ved å starte fra nitrilet av formel (IV):



som blir hydrolysert for å danne den rasemiske syre av formel (X):

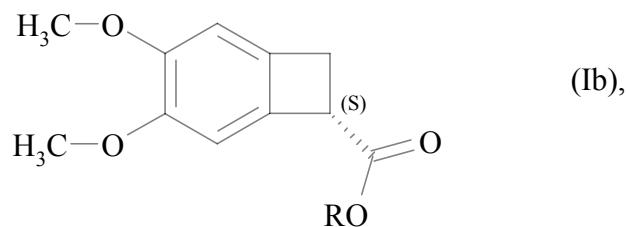


og så alkylert for å danne den rasemiske ester av formel (XI):

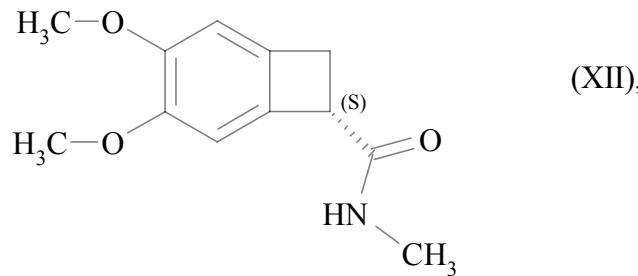


5

hvor R representerer en lineær eller forgrenet C₁-C₆alkylgruppe,
hvor enzymatisk hydrolyse av denne i henhold til ethvert av kravene 9 til 17 gir den
optisk rene ester av formel (Ib):



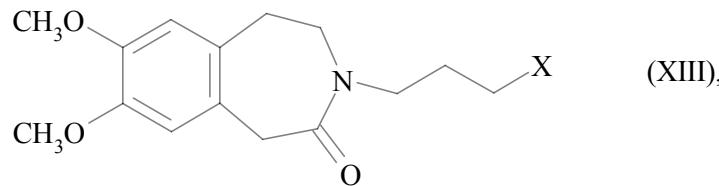
- 10 hvor R representerer en lineær eller forgrenet C₁-C₆alkylgruppe,
som blir konvertert til det optisk rene amid av formel (XII):



hvor reduksjon av denne gir forbindelsen av formel (III).

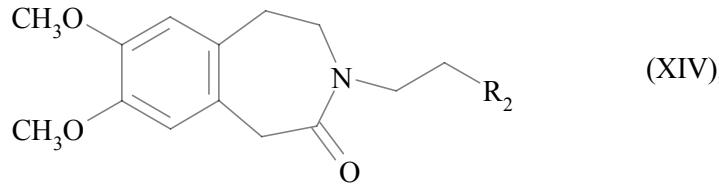
20. Syntesefremgangsmåte ifølge enten krav 18 eller krav 19, hvor reduksjonen av forbindelsen av formel (XII) for å danne forbindelsen av formel (III) utføres med
5 BH_3 , NaBH_4 eller LiAlH_4 .

21. Syntesefremgangsmåte ifølge ethvert av kravene 18 til 20, hvor forbindelsen av formel (III) etterfølgende enten blir koblet med en forbindelse av formel (XIII):



hvor X representerer et halogenatom,

- 10 eller underkastes en reduktiv amineringsreaksjon med en forbindelse av formel (XIV) ved nærvær av et reduksjonsmiddel:



hvor R_2 representerer en gruppe valgt fra CHO og CHR_3R_4 ,

- 15 hvor R_3 og R_4 hver representerer en lineær eller forgrenet ($C_1\text{-}C_6$)alkoksygruppe eller danner, sammen med karbonatomet som bærer dem, et 1,3-dioksan-, 1,3-dioksolan- eller 1,3-dioksepan-ring

for å gi ivabradine, som så blir konvertert til et addisjonssalt med en farmasøytisk akseptabel syre, hvor nevnte salt er i vannfri eller hydratform.

22. Syntesefremgangsmåte ifølge krav 21, hvor X er et jodatom.

23. Syntesefremgangsmåte ifølge krav 21, hvor forbindelsen av formel (III) blir
5 anvendt i den reduktive amineringsreaksjonen i form av sitt hydroklorid for å gi
ivabradine i form av hydrokloridet.

24. Syntesefremgangsmåte ifølge enten krav 21 eller krav 23, hvor den
reduktive amineringsreaksjonen med en forbindelse av formel (XIV) utføres ved
nærvær av dihydrogen katalysert av palladium-på-karbon.

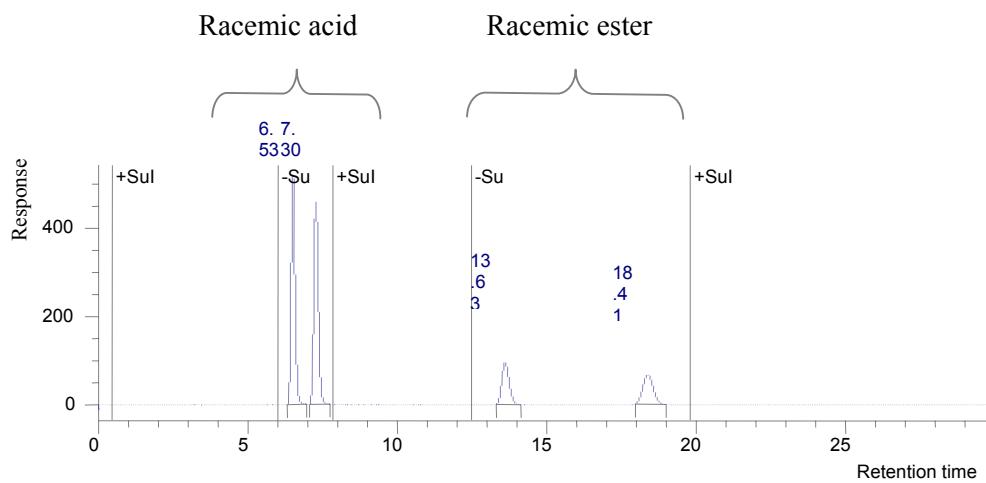


Figure 1 – racemic mixture

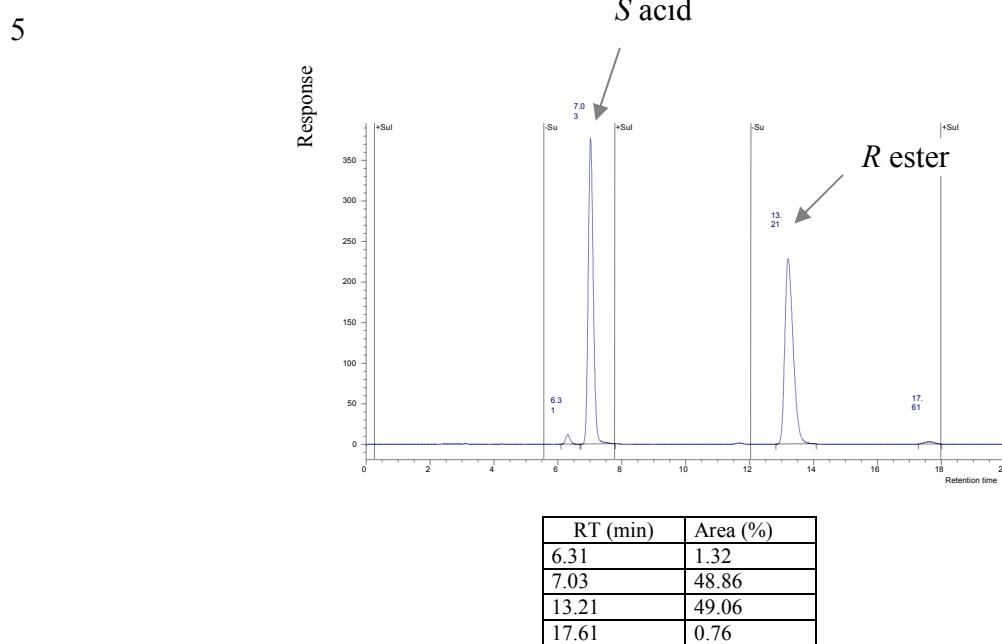


Figure 2 – Enzymatic esterification after 48 hrs.